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To cite this article: Imke Toborg, Rebecca Waldecker & Clemens B. Tietze (2024)  $L_9$ -free groups, Communications in Algebra, 52:7, 2815-2851, DOI: [10.1080/00927872.2024.2308602](https://doi.org/10.1080/00927872.2024.2308602)

To link to this article: <https://doi.org/10.1080/00927872.2024.2308602>



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Published online: 05 Feb 2024.



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## $L_9$ -free groups

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### ABSTRACT

In this article we classify all  $L_9$ -free finite groups.

### ARTICLE HISTORY

Received 18 July 2023  
Communicated by Peter Sin

### KEYWORDS

Finite groups;  $L$ -free groups;  
modular groups; subgroup  
lattices

### 2020 MATHEMATICS

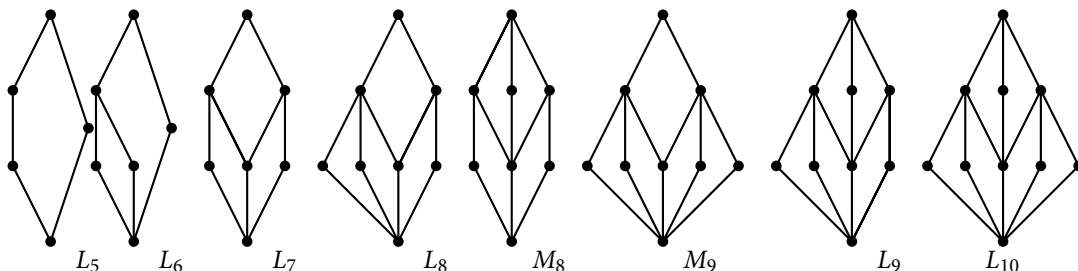
**SUBJECT CLASSIFICATION**  
20D30; 20E15

### Introduction

There are some algebraic laws that hold in a lattice  $L$  if and only if  $L$  does not have a sublattice of a specific shape. For example, a lattice is modular if and only if it does not have a sublattice isomorphic to the so-called pentagon  $L_5$ .

If  $L$  is a lattice, then we call a group  $L$ -free if and only if its subgroup lattice does not contain a lattice isomorphic to  $L$ . For example, the finite  $L_5$ -free groups are exactly the modular groups, and these have been classified by Iwasawa in 1941, see [4]. The subgroup lattice of the dihedral group of order 8, often denoted by  $L_{10}$ , and some of its sublattices are of particular interest. One reason is that, if  $p$  is a prime number, then a finite  $p$ -group is  $L_5$ -free if and only if it is  $L_{10}$ -free.

There are several sublattices of  $L_{10}$  containing  $L_5$ :



In 1999 Baginski and Sakowicz [2] studied finite groups that are  $L_6$ -free and  $L_7$ -free at the same time, and later Schmidt [8] classified the finite groups that are  $L_6$ - or  $L_7$ -free. Together with Andreeva and the first author he also characterized, in [1], all finite groups that are  $L_8$ -free or  $M_8$ -free. Finally, the finite  $M_9$ -free groups have been classified by Pölzing and the second author in [6]. Furthermore, there is a general discussion of  $L_{10}$ -free groups by Schmidt, which can be found in [9] and [10].

In this paper we investigate finite  $L_9$ -free groups. Since  $L_9$  is a sublattice of  $L_{10}$ , the groups that we consider are  $L_{10}$ -free and therefore we can use Corollary C in [9] as a starting point for our analysis:

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\*This article is partly based on the MSc thesis of the third author.

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Every finite  $L_{10}$ -free group  $G$  has normal Hall subgroups  $N_1 \leq N_2$  such that  $N_1 = \langle P \in \text{Syl}(G) \mid P \trianglelefteq G \rangle$ ,  $N_2/N_1$  is a 2-group and  $G/N_2$  is meta-cyclic.

Our strategy is to choose  $N := N_1$  maximal with respect to the above constraints, and then we show that  $N$  has a complement  $K$  that is a direct and coprime product of groups of the following structure: cyclic groups, groups isomorphic to  $Q_8$  or semi-direct products  $Q \rtimes R$ , where  $Q$  has prime order and  $R$  is cyclic of prime-power order such that  $1 \neq \Phi(R) = C_Q(R)$ . Furthermore,  $[N, K] \cap C_N(K)$  is a 2-group and  $C_{O_2(N)}(K)$  is cyclic or elementary abelian of order 4 and every Sylow subgroup of  $[N, K]$  is elementary abelian or isomorphic to  $Q_8$ . If the action of  $K$  on  $N$  satisfies some more conditions, then we say that  $NK$  is in class  $\mathfrak{L}$ .

The aim of our article is to prove the following theorem:

**Main Theorem.** *A finite group is in class  $\mathfrak{L}$  if and only if it is  $L_9$ -free.*

### 1. Notation and preliminary results

In this article we mostly follow the notation from Schmidt’s book [7] and from [5]. All groups considered are finite and  $G$  always denotes a finite group, moreover  $p$  and  $q$  always denote prime numbers. We quickly recall some standard concepts:

$L(G)$  denotes the **subgroup lattice** of  $G$ , consisting of the set of subgroups of  $G$  with inclusion as the partial ordering. The **infimum** of two elements  $A, B \in L(G)$  is  $A \cap B$  (their intersection) and the **supremum** is  $A \cup B = \langle A, B \rangle$  (the subgroup generated by  $A$  and  $B$ ).

If  $L$  is any lattice, then  $G$  is said to be  **$L$ -free** if and only if  $L(G)$  does not have any sub-lattice that is isomorphic to  $L$ .

A lattice  $L$  is said to be **modular** if and only if for all  $X, Y, Z \in L$  such that  $X \leq Z$ , the following (also called the **modular law**) is true:  $(X \cup Y) \cap Z = X \cup (Y \cap Z)$ . We say that a group  $G$  is **modular** if and only if  $L(G)$  is modular.

The modular law is similar to Dedekind’s law (see 1.1.11 of [5]). For all  $X, Y, Z \leq G$  such that  $X \leq Z$  it says that  $XY \cap Z = X(Y \cap Z)$ . We will use Dedekind’s law frequently throughout this article without giving an explicit reference each time.

If  $N \leq G$ , then we say that an element  $g \in G$  induces **power automorphisms** on  $N$  if and only if  $U^g = U$  for all subgroups  $U$  of  $N$ . Furthermore  $\text{Pot}_G(N) := \{g \in G \mid U^g = U \text{ f.a. } U \leq N\}$  is a subgroup of  $G$  because  $\text{Pot}_G(N) = \bigcap_{U \leq N} N_G(U)$ .

**Lemma 1.1.** *Let  $K$  be a finite group that acts coprimely on the  $p$ -group  $P$ . Then  $P = [P, K]C_P(K)$ . If  $P$  is abelian, then this product is direct. If  $[P, K] \leq \Phi(P)$ , then  $[P, K] = 1$ . Furthermore  $[P, K] = [P, K, K]$  and for all  $K$ -invariant normal subgroups  $N$  of  $P$  we have that  $C_{P/N}(K) = C_P(K)N/N$ .*

*Proof.* These statements are a collection from 8.2.2, 8.2.7, 8.2.9, and 8.4.2 of [5]. □

**Lemma 1.2.** *Let  $p \in \pi(G)$  and suppose that  $G = PK$ , where  $P$  is a normal Sylow  $p$ -subgroup of  $G$ ,  $K \leq G$  is a  $p'$ -group and  $P_0 := [P, K] \neq 1$ . Suppose further that  $\Phi(P_0) \leq C_P(K)$  and that  $K$  acts irreducibly on  $P_0/\Phi(P_0)$ . If  $g \in P \setminus C_P(K)$ , then  $P_0 \leq \langle [g, K], K \rangle \leq \langle g, K \rangle$ .*

*Proof.* Let  $g \in P \setminus C_P(K)$  and  $R := \langle [g, K], K \rangle$ . Then we first remark that  $R \leq \langle g, K \rangle$ . Lemma 1.1 shows that  $P = C_P(K)P_0$  and hence we have elements  $c \in C_P(K)$  and  $h \in P_0$  such that  $g = ch$ . We note that  $P_0 \trianglelefteq G$  and therefore  $\Phi(P_0)$  is a normal subgroup of  $G$ , moreover  $P_0$  is a  $p$ -group and hence  $P_0/\Phi(P_0)$  is elementary abelian. Let  $\bar{\cdot} : G \rightarrow G/\Phi(P_0)$  denote the natural homomorphism. As  $\bar{P}_0 = [\bar{P}, \bar{K}]$  is abelian and  $\bar{K}$  acts coprimely on it, we see that  $C_{[\bar{P}, \bar{K}]}(\bar{K}) \cap [\bar{P}, \bar{K}, \bar{K}] = 1$ , again by Lemma 1.1. Therefore  $C_{[\bar{P}, \bar{K}]}(\bar{K}) = C_{[\bar{P}, \bar{K}, \bar{K}]}(\bar{K}) = 1$ . We recall that  $ch = g \notin C_P(K)$  and thus  $h \notin C_P(K)$ , and then by hypothesis  $h \notin \Phi(P_0)$  and in particular  $1 \neq \bar{h} \in \bar{P}_0$ . It follows that  $[\bar{h}, \bar{K}] \neq 1$  because  $C_{[\bar{P}, \bar{K}]}(\bar{K}) = 1$ , see above. We conclude that  $1 \neq [\bar{h}, \bar{K}] = [\bar{g}, \bar{K}] = [\bar{g}, \bar{K}] \leq \bar{P}_0 \cap \bar{R}$ . By hypothesis  $K$  acts irreducibly

on  $\overline{P_0}$ , hence  $\bar{K}$  does as well and we see that  $\overline{P_0} = \overline{P_0 \cap R\Phi(P_0)} = \overline{(P_0 \cap R)\Phi(P_0)}$ . The main property of the Frattini subgroup (see for example 5.2.3 in [5]) finally gives that  $P_0 = P_0 \cap R$ .  $\square$

**Lemma 1.3.** *Let  $Q$  be a 2-group that is elementary abelian, cyclic or isomorphic to  $Q_8$ . Then  $Q$  does not admit power automorphisms of odd order.*

*Proof.* If  $Q$  is abelian, then the assertion follows from 2.2.5 of [5]. If  $Q \cong Q_8$ , then  $\text{Aut}(Q) \cong \text{Sym}_4$ , and any automorphism of order 3 interchanges the maximal subgroups of  $Q$ .  $\square$

**Lemma 1.4.** *Suppose that  $G = NK$ , where  $N$  is a normal Hall subgroup of  $G$  and  $K$  is a complement. Let  $N_1, N_2 \leq N$ ,  $Q, R \leq K$  and  $x \in N$ . Then the following hold:*

- (a) *If  $N_1Q$  and  $N_2R^x$  are subgroups of  $G$ , then  $N_1Q \cap N_2R^x = N_1(Q \cap R) \cap N_2(Q \cap R)^x$ .*
- (b) *If  $N_2R^x \leq G$  and  $\langle x^{Q \cap R} \rangle \cap N_2 = 1$ , then  $Q \cap N_2R^x = Q \cap R^x \leq C_{Q \cap R}(x)$ .*
- (c) *If  $K$  is abelian and acts irreducibly on the abelian group  $N/\Phi(N)$  or if  $N$  is abelian and  $K$  induces power automorphisms on it, then  $C_K(N) = C_K(x)$  or  $x \in \Phi(N)$ .*
- (d) *If  $Q \leq R$ , then  $\langle Q, R^x \rangle = \langle [x, Q]^{R^x} \rangle R^x$ .*

*Proof.* Suppose that  $N_1Q$  and  $N_2R^x$  are subgroups of  $G$ .

For (a) we do the following calculation:

$$\begin{aligned} N_1Q \cap N_2R^x &= (N_1Q \cap NQ) \cap N_2R^x = N_1Q \cap (NQ \cap N_2R^x) = N_1Q \cap N_2(NQ^x \cap R^x) \\ &= N_1Q \cap N_2(NQ^x \cap (K^x \cap R^x)) = N_1Q \cap N_2((NQ^x \cap K^x) \cap R^x) \\ &= N_1Q \cap N_2(Q^x \cap R^x) = N_1Q \cap (N(Q \cap R)^x \cap N_2(Q \cap R)^x) \\ &= (N_1Q \cap N(Q \cap R)) \cap N_2(Q \cap R)^x = N_1(Q \cap N(Q \cap R)) \cap N_2(Q \cap R)^x \\ &= N_1((Q \cap K) \cap N(Q \cap R)) \cap N_2(Q \cap R)^x \\ &= N_1(Q \cap (K \cap N(Q \cap R))) \cap N_2(Q \cap R)^x \\ &= N_1(Q \cap (Q \cap R)) \cap N_2(Q \cap R)^x = N_1(Q \cap R) \cap N_2(Q \cap R)^x. \end{aligned}$$

Then (a) yields that  $Q \cap N_2R^x = (Q \cap R) \cap N_2(Q \cap R)^x$ . Therefore, if  $\langle x^{Q \cap R} \rangle \cap N_2 = 1$  and if  $a, b \in Q \cap R$  and  $y \in N_2$  are such that  $a = yb^x = yx^{-1}x^{b^{-1}}b$ , then the fact that  $ab^{-1} = yx^{-1}x^{b^{-1}} \in K \cap N = 1$  implies that  $a = b$  and that  $y^{-1} = [x, b^{-1}] \in N_2 \cap \langle x^{Q \cap R} \rangle = 1$ . We deduce that  $a = b^x = a^x \in Q \cap R^x$  and that  $[x, a] = 1$ . Hence  $Q \cap N_2R^x = (Q \cap R) \cap N_2(Q \cap R)^x = Q \cap R^x \leq C_{Q \cap R}(x)$ . This is (b).

If  $K$  is abelian, then  $x \in C_N(C_K(x))$  and  $C_N(C_K(x))$  is  $K$ -invariant. Thus, if  $K$  acts irreducibly on  $N/\Phi(N)$  and  $x \notin \Phi(N)$ , then  $C_N(C_K(x))\Phi(N) = N$ , and the fact that  $[C_K(x), N] = 1$  implies that  $C_K(x) = C_K(N)$ . If  $K$  induces power automorphisms on the abelian group  $N$ , then 1.5.4 of [7] implies that these are universal. If  $x = 1$ , then  $x \in \Phi(N)$ , and otherwise  $x \neq 1$  and every element of  $K$  that centralizes  $x$  also centralizes  $N$ . Altogether (c) holds.

Suppose finally that  $Q \leq R$ . Then, for all  $g \in Q$ , we have that  $g = g^x \cdot (g^{-1})^x \cdot g \in R^x[x, Q] \leq \langle [x, Q]^{R^x} \rangle R^x$ . It follows that  $\langle Q, R^x \rangle \leq \langle [x, Q]^{R^x} \rangle R^x$ .

On the other hand  $(g^{-1})^x \in Q^x \leq R^x$  for all  $g \in Q$  and therefore  $[x, g] = (g^{-1})^x g \in \langle Q, R^x \rangle$ .

This implies that  $[x, Q] \leq \langle Q, R^x \rangle$ . Since  $R^x \leq \langle Q, R^x \rangle$ , we deduce that  $\langle [x, Q]^{R^x} \rangle R^x \leq \langle Q, R^x \rangle$ , which is (d).  $\square$

## 2. Battens and batten groups

- Definition 2.1.** (a) We say that  $G$  is a **batten** if and only if  $G$  is a cyclic  $p$ -group, or isomorphic to  $Q_8$ , or  $G = QR$ , where  $Q$  is a normal subgroup of prime order and  $R$  is a cyclic  $p$ -group of order coprime to  $|Q|$  and such that  $C_R(Q) = \Phi(R) \neq 1$ .
- (b) We say that  $G$  is a **batten group** if and only if  $G$  is a direct product of battens of pairwise coprime order.

(c) If  $G$  is a batten group, then we say that  $B \leq G$  is a **batten of  $G$**  if and only if  $B$  is a batten that is one of the direct factors of  $G$ .

**Warning:** It is possible for a subgroup of a batten group  $G$  to be a batten, abstractly, but not to be a batten of  $G$ . This can happen when it is a  $p$ -subgroup for some prime  $p$  of a batten as in the third case of [Definition 2.1](#).

**Example 2.2.** (a) Suppose that  $Q := \langle x \rangle$  is a group of order 19 and that  $R = \langle y \rangle$  is a subgroup of  $\text{Aut}(X)$  of order 27. Further suppose that  $x^y := x^7$ . Then  $B := QR$  is a non-nilpotent batten. For this we calculate that  $x^{y^3} = (x^7)^{y^2} = (x^{49})^y = (x^{11})^y = x^{77} = x$ . Then the fact that  $x^y = x^7 \neq x$  implies that  $C_R(Q) = \langle y^3 \rangle = \Phi(R)$ . We note that  $B$  is a batten group and that  $Q$  is a subgroup of  $B$  that is a batten, but not a batten of  $B$  because  $[Q, R] \neq 1$ .

(b) Let  $B = QR$  be as in (a), let  $T \cong Q_8$  and let  $S$  be a cyclic group of order 625. Then  $B \times T \times S$  is a batten group.

**Remark 2.3.** Let  $G$  be a batten group and let  $B$  be a batten of  $G$  such that  $|\pi(B)| = 2$ .

(a)  $B$  is not nilpotent, but  $B$  has a unique normal Sylow subgroup.

(b) A Sylow subgroup  $Q$  of  $B$  is cyclic, and therefore  $Q$  is batten. But  $Q$  is not a direct factor of  $G$  and hence  $Q$  is not a batten of  $G$ .

**Definition 2.4.** Suppose that  $G$  is a non-nilpotent batten. Then there is a unique prime  $q \in \pi(G)$  such that  $G$  has a normal Sylow  $q$ -subgroup  $Q$ , and  $Q$  is cyclic of order  $q$ . In this case we set  $\mathcal{B}(G) := Q$ .

From the definition we can immediately see that  $\mathcal{B}(G)$  is a characteristic subgroup of a non-nilpotent batten  $G$  and that it has prime order.

**Lemma 2.5.** Suppose that  $G$  is a non-nilpotent batten, that  $r \in \pi(G)$  and that  $R \in \text{Syl}_r(G)$  has order at least  $r^2$ . Then  $Z(G) = C_R(\mathcal{B}(G)) = \Phi(R) = O_r(G)$ .

*Proof.* Since  $|R| \geq r^2$ , we see that  $R \neq \mathcal{B}(G)$ . Then [Definition 2.1](#) implies that  $R$  is cyclic and that there is a prime  $q \in \pi(G) \setminus \{r\}$  such that  $Q := \mathcal{B}(G) \in \text{Syl}_q(G)$ .

Now  $G = Q \rtimes R$  and  $C_R(\mathcal{B}(G)) = \Phi(R)$ . We recall that  $R$  is cyclic, and then this implies that  $\Phi(R) \leq Z(G)$ . Since  $G$  is not nilpotent, we see that  $\mathcal{B}(G)$  is not contained in  $Z(G)$ . Thus  $Z(G)$  is an  $r$ -group, because  $\mathcal{B}(G)$  has prime order. In addition  $R \not\leq Z(G)$ , because  $G$  is not nilpotent. Since  $\Phi(R)$  is a maximal subgroup of the cyclic group  $R$ , it follows that  $\Phi(R) = Z(G)$ . Moreover we have that  $[Q, O_r(G)] \leq Q \cap R = 1$ , whence  $O_r(G) \leq C_R(Q) = \Phi(R) = Z(G) \leq C_R(Q)$ . This proves all statements. □

**Lemma 2.6.** If  $G$  is a batten group and  $P \leq G$  is a Sylow  $p$ -subgroup of  $G$  for some prime  $p$ , then  $G$  has a subgroup of order  $p$ . In addition,  $\Omega_1(P) \leq Z(G)$  or there is some non-nilpotent batten  $B$  of  $G$  such that  $\Omega_1(P) = P = \mathcal{B}(B)$ .

*Proof.* Since  $P \leq G$ , it follows that  $P$  is cyclic or isomorphic to  $Q_8$ . Therefore  $\Omega_1(P)$  has order  $p$ .

If  $P$  is a batten, then  $\Omega_1(P) \leq Z(P) \leq Z(G)$ . In particular  $\Omega_1(P)$  is the unique subgroup of  $G$  of its order. Otherwise there is a non-nilpotent batten  $B$  of  $G$  such that  $P \leq B$ . If  $P$  has order  $p$ , then  $P = \Omega_1(P) = \mathcal{B}(B)$  is a normal subgroup of  $B$  and so of  $G$ . Again  $\Omega_1(P)$  is the unique subgroup of  $K$  of order  $q$ . Otherwise we have that  $\Omega_1(P) \leq \Phi(P) = Z(B) \leq Z(G)$  by [Lemma 2.5](#). In particular  $\Omega_1(P)$  is the unique subgroup of  $K$  of its order. □

**Lemma 2.7.** Suppose that  $H$  is a batten and that  $U \leq H$ . Then  $U$  is a cyclic batten group. Furthermore, all subgroups of batten groups are batten groups.

*Proof.* Assume for a contradiction that  $U$  is not a cyclic batten group. Then  $U$  is not a cyclic batten, and therefore  $H$  is neither cyclic of prime power order nor isomorphic to  $Q_8$ . Thus  $H$  is not nilpotent, in particular  $|\pi(H)| = 2$  and all Sylow subgroups of  $H$  are cyclic. This implies that  $U$  is not a  $p$ -group. Let  $\pi(H) = \{q, r\}$ , let  $Q := \mathcal{B}(H)$  and  $R \in \text{Syl}_r(H)$  be such that  $H = QR$  and  $C_R(Q) = \Phi(R) \neq 1$ . Now  $\pi(U) = \{q, r\}$  as well and therefore  $\mathcal{B}(H) \leq U$ . Then Dedekind's law gives that  $U = \mathcal{B}(H) \cdot (U \cap R)$  is a proper subgroup of  $H = \mathcal{B}(H) \cdot R$ , and it follows that  $U \cap R$  is a proper subgroup of  $R$ . In particular, since  $R$  is cyclic, we have that  $1 \neq U \cap R \leq \Phi(R)$ . Then Lemma 2.5 gives that  $\Phi(R) = Z(H)$ . Altogether  $U \leq \mathcal{B}(H)\Phi(R) = \mathcal{B}(H) \times \Phi(R)$ . But then  $U$  is a direct product of cyclic groups of prime power order, i.e. a cyclic batten group, and this is a contradiction.

Next suppose that  $G$  is a batten group and that  $U$  is a subgroup of  $G$ . Then  $U$  is a direct product of subgroups of the battens of  $G$  whose orders are pairwise coprime. Consequently  $U$  is a batten group as well, by the arguments above.  $\square$

We remark that sections of battens, or batten groups, are not necessarily batten groups. For example,  $Q_8/Z(Q_8)$  is not a batten group.

**Lemma 2.8.** *Suppose that  $K$  is a batten group and that  $Q \leq K$  is a  $q$ -group.*

*If  $Q$  is not normal in  $K$ , then there is a non-nilpotent batten  $B$  of  $K$  such that  $B = \mathcal{B}(B)Q$  and  $N_K(Q) = C_K(Q)$ .*

*If  $Q \trianglelefteq K$ , then  $|K : C_K(Q)| \in \{1, 4\}$  or this index is a prime number.*

*Proof.* Since  $K$  is a batten group, there is a batten  $B$  of  $K$  such that  $Q \leq B$ . Moreover there is a subgroup  $L$  of  $K$  such that  $K = L \times B$ . Then  $L \leq C_K(B) \leq C_K(Q)$  (\*).

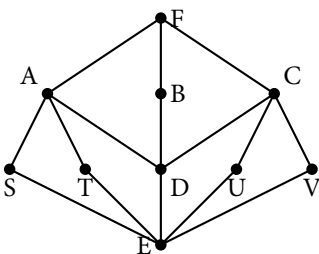
We first suppose that  $Q$  is not a normal subgroup of  $K$ . Since  $K$  is a direct product of battens, it follows that  $Q$  is not normal in  $B$ . Thus  $B$  is neither abelian nor hamiltonian (otherwise all subgroups of  $B$  would be normal), and it follows that  $B$  is not nilpotent. Now  $Q$  is a proper subgroup of  $B$  because  $Q \not\trianglelefteq B$ . We conclude from Lemma 2.5 that neither  $Q \leq \mathcal{B}(B)$  nor  $Q \leq Z(B)$ , whence  $B = \mathcal{B}(B)Q$  and therefore  $N_B(Q) = Q = C_B(Q)$ . Consequently (\*) and Dedekind's modular law yield that  $N_K(Q) = LN_B(Q) = LC_B(Q) = C_K(Q)$ .

Suppose now that  $Q \trianglelefteq K$ . Using (\*) we see that  $|K : C_K(Q)| = |B : C_B(Q)|$ . Hence we may suppose that  $B$  is not abelian. If  $B$  is not nilpotent, then  $Z(B)$  and a Sylow  $q$ -subgroup of  $B$  centralize  $Q$ . Thus Lemma 2.5 yields that  $|K : C_K(Q)| = |B : C_B(Q)|$  equals the prime in  $\pi(B) \setminus \{q\}$ . Let  $B \cong Q_8$  and suppose that  $Q \not\leq Z(B)$ . Then  $Q$  has order 4 or 8. In the first case  $|K : C_K(Q)| = |B : C_B(Q)| = |B : Q| = 2$  and in the second case  $|K : C_K(Q)| = |B : C_B(Q)| = |B : Z(B)| = 4$ , which completes the proof.  $\square$

### 3. $L_{10}$ and its sublattices

Throughout this article we will use the notation from the next definition whenever we refer to  $L_{10}$  and its sublattices:

**Definition 3.1.** The lattice  $L_{10}$  is defined to be isomorphic to  $L(D_8)$ , with notation as indicated in the picture.



Now we define

(a)  $L_5 := \{E, S, U, A, F\}$ ,

(c)  $L_7 := L_5 \cup \{D, C\}$ ,

(e)  $M_8 := L_{10} \setminus \{T, V\}$ ,

(g)  $M_9 := L_{10} \setminus \{B\}$ ,

with the corresponding inclusion relations induced from the lattice  $L_{10}$ .

(b)  $L_6 := L_5 \cup \{T\}$ ,

(d)  $L_8 := L_{10} \setminus \{B, V\}$ ,

(f)  $L_9 := L_{10} \setminus \{V\}$ ,

**Definition 3.2.** Let  $L$  be a lattice. An equivalence relation  $\equiv$  on  $L$  is called a congruence relation if and only if, for all  $A, B, C, D \in L$  such that  $A \equiv B$  and  $C \equiv D$ , we have that  $\langle A, C \rangle \equiv \langle B, D \rangle$  and  $A \cap C \equiv B \cap D$ .

**Lemma 3.3.** Let  $\equiv$  be a congruence relation on  $L_9 = \{A, B, C, D, E, F, U, T, S\}$  as in Definition 3.1 and suppose that  $\equiv$  is not equality. Then  $E \equiv D$ .

*Proof.* Let  $\equiv$  be a congruence relation on  $L_9$  and suppose that  $E \not\equiv D$ .

If  $X \in \{A, B, C, F\}$ , then  $E \cap D = E \not\equiv D = X \cap D$  and therefore  $E \not\equiv X$ .

First we assume that  $X_0 \in L_9 \setminus \{F\}$  is such that  $F \equiv X_0$ . Then there is some  $X \in \{A, B, C\}$  such that  $X_0 \leq X$ , and then  $X = \langle X_0, X \rangle \equiv \langle F, X \rangle = F$ . We choose  $Y \in \{T, U\}$  such that  $X \cap Y = E$ . Then  $E = X \cap Y \equiv F \cap Y = Y$  and therefore, if  $Z \in \{T, U\} \setminus \{Y\}$ , then  $Z = \langle Z, E \rangle \equiv \langle Z, Y \rangle = F$ . Now it follows that  $E = Z \cap D \equiv F \cap D = D$ , which gives a contradiction.

We have seen that  $E$  is not congruent to any of the elements  $A, B, C, D, F$ . Next we assume that  $X \in \{S, T, U\}$  is such that  $E \equiv X$  and we choose  $Y \in \{A, C\}$  such that  $X \not\leq Y$ . Then  $Y = \langle Y, E \rangle \equiv \langle Y, X \rangle = F$ , which gives another contradiction. We conclude that  $\{E\}$  and  $\{F\}$  are singleton classes with respect to  $\equiv$ .

If there are  $X, Y \in L \setminus \{E, F\}$  such that  $X \not\equiv Y$  and  $X \equiv Y, X \cap Y = E$  or  $\langle X, Y \rangle = F$ , then this implies that  $X = X \cap X \equiv X \cap Y = E$  or  $X = \langle X, X \rangle \equiv \langle X, Y \rangle = F$ . As this is impossible, we conclude that such elements  $X, Y$  do not exist.

In particular  $A, B, C$  are pairwise non-congruent and  $D, S, T, U$  are also pairwise non-congruent.

We assume that  $D \equiv X \in \{A, B, C\}$ . Then we choose  $Y \in \{T, U\}$  such that  $Y \not\leq X$ , and this gives the contradiction  $F \neq \langle Y, D \rangle \equiv \langle Y, X \rangle = F$ . Hence  $\{D\}$  is a singleton as well.

Finally, we assume that there are  $X \in \{A, B, C\}$  and  $Y \in \{T, S, U\}$  such that  $X \equiv Y$ . We have seen that  $X \cap Y \neq E$  and then it follows that  $Y \leq X$  by the structure of  $L_9$ . Now  $D = X \cap D \equiv Y \cap D = E$ , which is impossible. In conclusion, for all  $X, Y \in L_9$ , we have that  $X \equiv Y$  if and only if  $X = Y$ . This means that  $\equiv$  is equality. □

In the following lemma we argue similarly to Lemma 2.2 in [8].

**Lemma 3.4.** Suppose that  $n \in \mathbb{N}$  and that  $G_1, \dots, G_n$  are normal subgroups of  $G$  of pair-wise coprime order such that  $G = G_1 \times \dots \times G_n$ . Then  $G$  is  $L_9$ -free if and only if, for every  $i \in \{1, \dots, n\}$ , the group  $G_i$  is  $L_9$ -free.

*Proof.* Since subgroups of  $L_9$ -free groups are  $L_9$ -free we just need to verify the “if” part.

Suppose that  $G_1, \dots, G_n$  are  $L_9$ -free. Then Lemma 1.6.4 of [7] implies that  $L(G) \cong L(G_1) \times \dots \times L(G_n)$ . By induction we may suppose that  $n = 2$ . Assume that  $L = \{E, T, S, U, D, A, B, C, F\}$  is a sublattice of  $L(G) \cong L(G_1) \times L(G_2)$  that is isomorphic to  $L_9$  as in Definition 3.1. Then the projections  $\varphi_1$  and  $\varphi_2$  of  $L$  into  $L(G_1)$  and  $L(G_2)$ , respectively, are not injective, because  $L(G_1)$  and  $L(G_2)$  are  $L_9$ -free. Let  $i \in \{1, 2\}$  and define, for all  $X, Y \in L$ :

$$X \equiv_i Y \Leftrightarrow \varphi_i(X) = \varphi_i(Y).$$

Then  $\equiv_i$  is a congruence relation on  $L$ , because  $\varphi_i$  is a lattice homomorphism, but it is not equality because  $\varphi_i$  is not injective. Then Lemma 3.3 implies that  $\varphi_1(D) = \varphi_1(E)$  and  $\varphi_2(D) = \varphi_2(E)$ , and hence  $D = E$ . This is a contradiction. □

**Lemma 3.5.**  $L_9 = \{A, B, C, D, E, F, U, T, D\}$  as in Definition 3.1 is completely characterized by the following:

- $L_9$  (i)  $D \neq E$ .
- $L_9$  (ii)  $\langle S, T \rangle = \langle S, D \rangle = \langle T, D \rangle = A$  and  $S \cap T = S \cap D = T \cap D = E$ .
- $L_9$  (iii)  $\langle D, U \rangle = C$  and  $D \cap U = E$ .

L9 (iv)  $\langle S, U \rangle = \langle T, U \rangle = F$ .

L9 (v)  $\langle A, B \rangle = \langle B, C \rangle = F$  and  $A \cap B = A \cap C = B \cap C = D$ .

*Proof.* We first remark that  $L_9$  satisfies the relations given in L9 (i) – L9 (v).

Suppose conversely that a lattice  $L = \{A, B, C, D, E, F, S, T, U\}$  satisfies the relations given in L9 (i) – L9 (v). Then we see that  $E \leq A, B, C, D, S, T, U \leq F$  and  $D \leq A, B, C$  as well as  $S, T \leq A$  and  $U \leq C$ . If these are the unique inclusions and  $|L| = 9$ , then  $L \cong L_9$ .

For all  $X, Y \in L$  such that  $X \leq Y$  we have that  $X \cap Y = X$  and  $\langle X, Y \rangle = Y$ . Thus L9 (ii) shows that  $S, T$ , and  $D$  are pair-wise not subgroups of each other.

Using L9 (iii) we obtain that  $D \not\leq U$  and  $U \not\leq D$ , and L9 (iv) gives that also  $S, T$  and  $U$  are pair-wise not subgroups of each other. In addition, by L9 (v), we have that  $A, B$  and  $C$  are pair-wise not subgroups of each other. Together with the fact that  $S, T \leq A$  and  $U \leq C$ , this implies that  $A \not\leq U, C \not\leq S, T$  and  $B \not\leq S, T, U$ . Moreover we have that  $D \leq A, B, C$  and  $S, T \leq A$  and  $U \leq C$  and  $A \neq F \neq B$  and  $C \neq F$ . Together with L9 (ii) and L9 (iii), this information yields that  $A \not\leq U$  and  $C \not\leq S, T$  as well as  $B \not\leq S, T, U$ .

We conclude that there is a lattice homomorphism  $\varphi$  from  $L_9$  to  $L$ . Hence we obtain a congruence relation  $\equiv$  on  $L_9$  by defining that  $X \equiv Y$  if and only if  $\varphi(X) = \varphi(Y)$ , for all  $X, Y \in L_9$ .

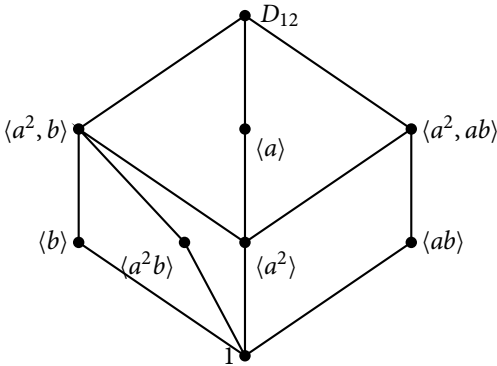
If  $\varphi$  is not injective, then Lemma 3.3 implies that  $E = D$ . This contradicts L9 (i). Consequently  $\varphi$  is injective and  $L \cong L_9$ . □

The next lemma gives an example of a group that is not  $L_9$ -free.

**Lemma 3.6.**  $D_{12}$  is not  $L_9$ -free.

*Proof.* Let  $G$  be isomorphic to  $D_{12}$  and let  $a, b \in G$  be such that  $o(a) = 6, o(b) = 2$  and  $G = \langle a, b \rangle$ . Then we find a sublattice in  $L(G)$  isomorphic to  $L_9$  by checking the equations from Lemma 3.5.

We let  $L := \{1, \langle b \rangle, \langle a^2b \rangle, \langle a^2 \rangle, \langle ab \rangle, \langle a^2, b \rangle, \langle a \rangle, \langle a^2, ab \rangle, G\}$  and we define  $A := \langle a^2, b \rangle$  and  $C := \langle a^2, ab \rangle$ .



L9 (i): We see that  $a^2 \neq 1$  and hence  $\langle a \rangle \neq 1$ .

L9 (ii): We notice that  $A \leq G$  is isomorphic to  $\text{Sym}_3$  with cyclic normal subgroup  $\langle a^2 \rangle$  of order 3 and distinct subgroups  $\langle b \rangle, \langle a^2b \rangle$  of order 2. Then  $\langle \langle b \rangle, \langle a^2b \rangle \rangle = \langle \langle b \rangle, \langle a^2 \rangle \rangle = \langle \langle a^2b \rangle, \langle a^2 \rangle \rangle = A$  and  $\langle b \rangle \cap \langle a^2b \rangle = \langle b \rangle \cap \langle a^2 \rangle = \langle a^2b \rangle \cap \langle a^2 \rangle = 1$ .

L9 (iii): The subgroup  $C$  is also isomorphic to  $\text{Sym}_3$ , the subgroup  $\langle ab \rangle \leq C$  has order 2, and moreover  $\langle \langle a^2 \rangle, \langle ab \rangle \rangle = C$  and  $\langle a^2 \rangle \cap \langle ab \rangle = 1$ .

L9 (iv): We first see that  $\langle \langle b \rangle, \langle ab \rangle \rangle = \langle a, b \rangle = G$  and then  $\langle \langle a^2b \rangle, \langle ab \rangle \rangle = \langle a^2b(ab)^{-1}, ab \rangle = \langle a, b \rangle = G$ .

L9 (v):  $\langle a \rangle$  is a cyclic normal subgroup of  $G$  of order 6, and the subgroups  $A$  and  $C$  also have order 6. These three subgroups are maximal in  $G$ . Hence  $\langle A, \langle a \rangle \rangle = \langle C, \langle a \rangle \rangle = G$ . Assume for a contradiction that  $A = C$ . Then  $b \in C = \{1, a^2, a^4, ab, a^3b, a^5b\}$ , which is false. Since  $\langle a^2 \rangle$  is the unique subgroup of order 3 of  $G$ , we conclude that  $A \cap \langle a \rangle = A \cap C = \langle a \rangle \cap C = \langle a^2 \rangle$ .

Altogether it follows, with Lemma 3.5, that  $L$  is isomorphic to  $L_9$ , and then  $G$  is not  $L_9$ -free. □

The next lemma shows how we can construct an entire class of groups that are not  $L_9$ -free.



**Lemma 3.7.** *Suppose that  $p \neq q$  and that  $G = PQ$ , where  $P$  is an elementary abelian normal Sylow  $p$ -subgroup of  $G$  and  $Q$  is a cyclic Sylow  $q$ -subgroup of  $G$ . Suppose that  $Q$  acts irreducibly on  $[P, Q] \neq 1$  and that  $|C_P(Q)| \geq 3$ . Then  $G$  is not  $L_9$ -free.*

*Proof.* Since  $Q$  is abelian, we see that  $E := C_Q(P) \leq G$ . We claim that  $G/C_Q(P)$  is not  $L_9$ -free. Therefore we may suppose that  $E = 1$ .

Our hypotheses imply that  $[P, Q]$  is not centralized by  $Q$ , and in particular  $|[P, Q]| \geq 3$ . Moreover  $|C_P(Q)| \geq 3$  by hypothesis. Since  $P$  is elementary abelian, Lemma 1.1 gives that  $P = [P, Q] \times C_P(Q)$ .

We let  $V \leq [P, Q]$  and  $D \leq C_P(Q)$  be subgroups of minimal order such that  $|V| \geq 3$  and  $|D| \geq 3$  and we set  $A := V \times D$ . If  $p$  is odd, then  $A$  has order  $p^2$ , and if  $p = 2$ , then  $|A| = 2^4 = 16$ . In the first case  $A$  has  $\frac{p^2-1}{p-1} = p + 1 \geq 4$  subgroups isomorphic to  $V$ . In the second case  $A$  has  $\frac{15 \cdot 14}{3} = 70$  subgroups isomorphic to  $V$ , where  $3 \cdot \frac{14}{2} - 2 = 19$  of these subgroups intersect  $V$  non-trivially and 19 of them intersect  $D$  non-trivially. In both cases, we find subgroups  $T$  and  $S$  of  $A$  isomorphic to  $V$  such that  $|\{D, T, S, V\}| = 4$  and  $T \cap V = T \cap D = T \cap S = S \cap D = S \cap V = 1 = E$ .

We recall that  $A$  is elementary abelian, and then it follows that  $L_9$  (i) and  $L_9$  (ii) hold and that  $A = \langle S, V \rangle = \langle T, V \rangle (*)$ .

We further set  $U := Q$ . Then  $U \cap D \leq Q \cap P = E = 1$  and  $\langle U, D \rangle = UD =: C$ , which implies  $L_9$  (iii).

If  $X \in \{T, S\}$ , then  $X \not\leq C_P(Q)$  and then the irreducible action of  $Q$  on  $[P, Q]$  and Lemma 1.2 yield that  $V \leq [P, Q] \leq \langle X, U \rangle$ . Using (\*) it follows that  $D \leq A \leq \langle V, X, U \rangle = \langle X, U \rangle$ . Combining all this information gives that  $\langle X, U \rangle = [P, Q]DQ$ . Now if we set  $F := [P, Q]DQ$ , then we have  $L_9$  (iv).

To prove our claim, it remains to show that property  $L_9$  (v) of Lemma 3.5 is satisfied.

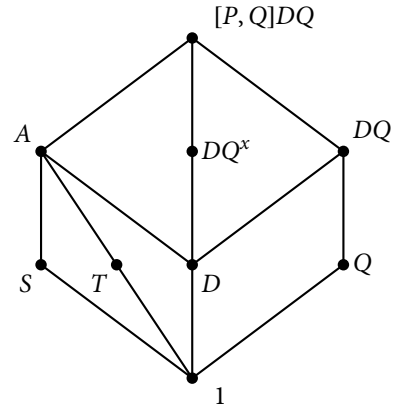
We set  $B := DQ^x$  for some  $x \in [P, Q]^\#$ .

If  $y \in \{1, x\}$ , then  $D \leq A \cap DQ^y = D(A \cap Q^y) = D$  and hence  $A \cap B = A \cap C = D$ . We further have that  $D \leq B \cap C = D(Q \cap DQ^x) = DC_Q(x) = D$ , by Lemma 1.4 (b) and (c), because  $Q$  acts irreducibly on  $[P, Q]$ .

In addition, the irreducible action of  $Q^x$  on  $[P, Q]$  and Lemma 1.2 yield that  $[P, Q] \leq \langle A, Q^x \rangle$ . It follows that  $\langle A, B \rangle = [P, Q]DQ^x = F$ . Finally we deduce from Part (d) of Lemma 1.4 that

$$\langle B, C \rangle = D\langle Q, Q^x \rangle = D\langle [x, Q]^{Q^x} \rangle Q^x = D[P, Q]Q^x = F.$$

□



**4. Group orders with few prime divisors**

Much of our analysis will focus on non-nilpotent groups with a small number of primes dividing their orders. The next lemma sheds some light on why this situation naturally occurs.

**Lemma 4.1.** *Suppose that  $G$  is  $L_9$ -free. Then  $G$  possesses a normal Sylow subgroup.*

*Proof.* Assume that this is false. Since  $G$  is  $L_9$ -free and hence  $L_{10}$ -free, [9, Corollary C] is applicable. Then  $G$  is metacyclic because it does not have any normal Sylow subgroup, and it follows that  $G$  is supersoluble. Then Satz VI.9.1(c) in [3] gives a contradiction. □

**Lemma 4.2.** *Suppose that  $G$  is a  $p$ -group. Then the following statements are equivalent:*

- $L(G)$  is modular.
- $G$  is  $L_5$ -free.

- $G$  is  $L_9$ -free.
- $G$  is  $L_{10}$ -free.

*Proof.* This lemma follows from Theorem 2.1.2 in [7] and Lemma 2.1 in [9], since  $L_9$  is a sublattice of  $L_{10}$  containing  $L_5$ .  $\square$

**Lemma 4.3.** *Suppose that  $p \neq q$  and that  $G$  is an  $L_9$ -free  $\{p, q\}$ -group. Let  $P$  be a normal Sylow  $p$ -subgroup of  $G$  and let  $Q \in \text{Syl}_q(G)$ . If  $G$  is not nilpotent, then  $Q$  is cyclic or  $Q \cong Q_8$ .*

*Proof.* First we note that all subgroups and sections of  $G$  are  $L_9$ -free and that  $G$  is  $L_{10}$ -free.

Let  $G$  be non-nilpotent and assume for a contradiction that  $Q$  is neither cyclic nor isomorphic to  $Q_8$ . Given that  $G$  is  $L_{10}$ -free, we may apply Theorem B of [9] and we see that neither (a), (b) nor (c) hold. Therefore  $p = 3$  and  $q = 2$ . Now there are  $a, b \in Q$  such that  $\langle a, b \rangle$  is not cyclic and  $b$  is an involution. If, for all choices of  $b$ , we have that  $C_P(b) = C_P(Q)$ , then  $\Omega_1(Q)$  acts element-wise fixed-point-freely on  $P/C_P(Q)$ , contradicting 8.3.4 (b) of [5]. Therefore we may choose  $b$  such that  $a$  does not centralize  $C_P(b)$ , and we also choose  $a$  of minimal order under these constraints. Then  $a^2$  centralizes  $P$  and  $a$  inverts an element  $x \in C_P(b)$  by a result of Baer (e.g. 6.7.7 of [5]). It follows that  $a$  inverts  $\Omega_1(\langle x \rangle)$  and we may suppose that  $x$  has order 3. Now  $\langle x, a, b \rangle / C_{\langle a \rangle}(x)$  is isomorphic to  $D_{12}$ , contrary to Lemma 3.6.  $\square$

**Lemma 4.4.** *Suppose that  $p \neq q$  and that  $G$  is an  $L_9$ -free  $\{p, q\}$ -group. Furthermore, let  $P$  be a normal Sylow  $p$ -subgroup of  $G$  and let  $Q \in \text{Syl}_q(G)$  be cyclic such that  $1 \neq [P, Q]$  is elementary abelian.*

*Then every subgroup of  $Q$  acts irreducibly or by inducing (possibly trivial) power automorphism on  $[P, Q]$ . Moreover,  $C_P(Q)$  is a cyclic 2-group and  $P$  is abelian.*

*Proof.* First we note that all subgroups and sections of  $G$  are  $L_9$ -free and that all subgroups and sections of  $[P, Q]$  are elementary abelian, by hypothesis. In addition Lemma 2.2 of [9] yields that  $P = C_P(Q) \times [P, Q]$ , as  $G$  is also  $L_{10}$ -free. In particular  $P$  is abelian if  $C_P(Q)$  is.

Assume that the lemma is false and let  $G$  be a minimal counterexample.

Since  $[P, Q]$  is elementary abelian, we introduce the following notation with Maschke's theorem:

Let  $n \in \mathbb{N}$  and let  $M_1, \dots, M_n \leq [P, Q]$  be  $Q$ -invariant and such that  $[P, Q] = M_1 \times \dots \times M_n$  and that  $Q$  acts irreducibly on  $M_1, \dots, M_n$ , respectively. Lemmas 2.3.5 of [7] and 4.2 yield that  $\Omega_1(C_P(Q))$  is elementary abelian. Now there are  $r \in \mathbb{N}$  and cyclic subgroups  $M_{n+1}, \dots, M_{n+r}$  of  $\Omega_1(C_P(Q))$  such that  $\Omega_1(C_P(Q)) = M_{n+1} \times \dots \times M_{n+r}$ .

We set  $H_1 := (M_1 \times \dots \times M_{n+r-1})Q$  and  $H_2 := (M_2 \times \dots \times M_{n+r})Q$ .

Then for every  $i \in \{1, 2\}$  the group  $O_p(H_i)$  is elementary abelian. Moreover  $H_i$  is a proper subgroup of  $G$  and then the minimal choice of  $G$  implies that every subgroup of  $Q$  either induces (possibly trivial) power automorphisms on  $[O_p(H_i), Q]$  or acts irreducibly on it.

(1)  $C_P(Q) = 1$  and  $n \leq 2$ .

*Proof.* We assume for a contradiction that  $n + r \geq 3$ .

Then  $Q$  does not act irreducibly on both  $O_p(H_1)$  and  $O_p(H_2)$ , and it follows that  $Q$  induces (possibly trivial) power automorphisms on  $[O_p(H_i), Q]$ .

We suppose first that  $C_P(Q) = 1$ . Then  $[O_p(H_i), Q] = O_p(H_i)$  for both  $i \in \{1, 2\}$ . Therefore Lemma 1.5.4 of [7], together with the fact that  $1 \neq M_2 \leq O_p(H_1) \cap O_p(H_2)$ , provides some  $k \in \mathbb{N}$  such that  $a^y = a^k$  for every  $a \in O_p(H_1)O_p(H_2) = [P, Q]\Omega_1(C_P(Q))$ . But this means that  $Q$ , and hence every subgroup of  $Q$ , induces (possibly trivial) power automorphism on  $O_p(H_1)O_p(H_2) = [P, Q]$  in this case. Thus  $G$  is not a counterexample, which is a contradiction.

We conclude that  $C_P(Q) \neq 1$  and now there is some  $i \in \{1, 2\}$  such that  $1 \neq [O_p(H_i), Q]$  and  $C_{O_p(H_i)}(Q) \neq 1$ . Then  $H_i$  satisfies the hypotheses of our lemma and it follows that  $C_{O_p(H_i)}(Q)$

is an non-trivial 2-group. In particular  $p = 2$ . But then Lemma 1.3 provides the contradiction that  $[O_p(H_i), Q] = 1$ .

For the proof of (1), we assume for a further contradiction that  $r = n = 1$ . Then  $Q$  acts irreducibly on the elementary abelian group  $[P, Q]$  and Lemma 3.7, applied to  $([P, Q] \times \Omega_1(C_P(Q)))Q$ , gives that  $|\Omega_1(C_P(Q))| = 2$ . In particular we have that  $p = 2$ . Thus the minimal choice of  $G$  and Lemma 1.3 yield, for every proper subgroup  $U$  of  $Q$ , that  $U$  centralizes  $P$  or acts irreducibly on  $[P, Q] = [P, U]$ .

Since  $G$  is a counterexample, it follows that  $C_P(Q)$  is not cyclic. But  $|\Omega_1(C_P(Q))| = 2$  and therefore  $C_P(Q)$  is a generalized quaternion group. It follows that  $C_P(Q) \cong Q_8$  by Lemma 4.2. In this case  $1 \neq Z := Z(C_P(Q)) \trianglelefteq G$  and  $G/Z$  satisfies the hypotheses of our lemma, but not the conclusion. Thus  $G$  is not a minimal counterexample, contrary to our choice.  $\square$

(2)  $Q$  acts irreducibly on  $P = [P, Q]$ .

*Proof.* Assume for a contradiction that  $n = 2$ . By hypothesis  $Q$  is cyclic, and then we may suppose that  $C_Q(M_1) \leq C_Q(M_2) =: Q_0$ . If  $C_Q(M_1) = C_Q(M_2)$ , then Lemma 2.8 of [9] implies that  $Q$  induces power automorphisms on  $P$ . Thus  $G$  is not a counterexample, which is a contradiction.

Therefore  $C_Q(M_1) \leq Q_0$  and  $1 \neq [M_1, Q_0] \leq [P, Q_0]$ . The minimal choice of  $G$  yields that  $Q_0$  acts irreducibly or by inducing power automorphisms on  $[P, Q_0]$  and that  $C_P(Q_0)$  is a cyclic 2-group. Now we notice that  $M_2 \leq C_P(Q_0)$ , but  $M_2 \not\leq 1 = C_P(Q)$ , whence we deduce a contradiction from 2.2.5 of [5].  $\square$

Since  $G = PQ$  is a counterexample to the lemma,  $Q$  has a proper subgroup  $U$  that does not act irreducibly on  $[P, Q] = P$  and it also does not induce power automorphisms on  $[P, Q]$ . In particular it does not act trivially. Since  $PU$  is a proper subgroup of our minimal counterexample  $G$ , it follows that  $1 \neq C_P(U) \neq P$ . But  $C_P(U)$  is  $Q$ -invariant, because  $Q$  is abelian. This is a final contradiction with regard to (2).  $\square$

**Lemma 4.5.** *Suppose that  $q$  is odd and that  $G$  is an  $L_9$ -free  $\{2, q\}$ -group. Suppose further that  $P$  is a normal Sylow 2-subgroup of  $G$  such that  $[P, Q]$  is hamiltonian and let  $Q \in \text{Syl}_q(G)$ . Then one of the following holds:*

- (a)  $G$  is nilpotent or
- (b)  $[P, Q] \cong Q_8$  and there exists a group  $I$  of order at most 2 such that  $P = [P, Q] \times I$  and  $Q$  is a cyclic 3-group. Moreover  $[P, Q]Q/Z([P, Q]Q) \cong \text{Alt}_4$ .

*Proof.* We suppose that  $G$  is not nilpotent.

Then  $Q$  is not normal in  $G$  and Lemma 4.3 implies that  $Q$  is cyclic. Furthermore,  $P$  is  $L_9$ -free and hence it is modular by Lemma 4.2. Since  $[P, Q]$  is hamiltonian, Theorem 2.3.1 of [7] provides subgroups  $P_0, I \leq P$  such that  $P_0 \cong Q_8, I$  is elementary abelian and  $P = P_0 \times I$ .

We recall that the automorphism group of  $Q_8$  is isomorphic to  $\text{Sym}_4$ . Thus, if  $Q_8 \cong P_1 \leq P$  is  $Q$ -invariant, but not centralized by  $Q$ , then  $Q_8 \cong P_1 \leq [P, Q]$  and  $1 \neq |Q/C_Q(P_1)| = 3$ . It follows that  $Q$  is a cyclic 3-group and  $[P_1, Q]Q/Z([P_1, Q]Q) \cong \text{Alt}_4$ .

We conclude that our assertion holds if  $I = 1$ . Now suppose that  $I \neq 1$ . We recall that  $P \trianglelefteq G$  and therefore  $\Phi(P_0) = \Phi(P) \trianglelefteq G$ . Since  $|\Phi(P_0)| = 2$ , it follows that  $\Phi(P_0) \leq Z(G)$ , and then  $\bar{G} := G/\Phi(P_0)$  is not nilpotent because  $G$  is not. Furthermore,  $\bar{G}$  is  $L_9$ -free,  $\bar{I} \cong I \neq 1, \bar{Q} \cong Q$  and  $\bar{P}_0$  is elementary abelian of order 4. In particular  $\bar{P}$  is elementary abelian, hence it is a non-hamiltonian 2-group of order at least 8. Lemma 4.4 states that  $\bar{Q}$  acts irreducibly on  $[\bar{P}, \bar{Q}] \neq 1$  or induces power automorphisms on it. The second case is not possible by Lemma 1.3.

Hence  $Q \cong \bar{Q}$  acts irreducibly on  $[\bar{P}, \bar{Q}] = [\bar{P}, \bar{Q}]$  and, by Lemma 4.4, we see that  $C_{\bar{P}}(\bar{Q})$  is a cyclic 2-group. Since  $I \neq 1$  and  $\Omega_1(P) = \Phi(P_0) \times I$ , we have that  $1 \neq \bar{I} = \overline{\Omega_1(P)}$  is  $\bar{Q}$ -invariant and  $\bar{P}_0$  is a non-cyclic complement of  $\bar{I}$  in  $\bar{P}$ . This implies that  $\bar{I} = C_{\bar{P}}(\bar{Q})$  is cyclic and elementary abelian at the same time. Thus  $I \cong \bar{I}$  has order 2 and with Lemma 1.1 we deduce that  $C_P(Q)\Phi(P_0) = I\Phi(P_0) = \Omega_1(P)$  is

elementary abelian of order 4. Then we deduce that  $C_P(Q) = \Omega_1(P)$  and then  $[P, Q] \cap C_P(Q) \neq 1$ . Moreover, since  $[P, Q]C_P(Q) = P = P_1 \times I \leq P_1C_Q(P)$ , it follows that  $|[P, Q]| \leq |P_1| \leq 8$ . In conclusion,  $[P, Q]$  is a subgroup of order at most 8 admitting an automorphism of odd order that centralizes  $\Omega_1([P, Q])$ . It follows that  $[P, Q] \cong Q_8$  and then, together with the fact that  $I \leq Z(G)$ , our assertions follow.  $\square$

**Definition 4.6.** Suppose that  $Q$  is a cyclic  $q$ -group that acts coprimely on the  $p$ -group  $P$ . We say that the action of  $Q$  on  $P$  **avoids**  $L_9$  (and we indicate more technical details by writing “**of type** ( $\cdot$ )”) if and only if one of the following is true:

- (std) Every subgroup of  $Q$  acts irreducibly or by inducing (possibly trivial) power automorphisms on the elementary abelian group  $[P, Q] = P$ .
- (cent) Every subgroup of  $Q$  acts irreducibly or trivially on the elementary abelian group  $[P, Q]$ ,  $P$  is abelian and  $C_P(Q)$  is a nontrivial cyclic 2-group.
- (hamil)  $[P, Q] \cong Q_8$ ,  $P = [P, Q] \times I$ , where  $I$  is a group of order at most 2, and  $Q$  is a cyclic 3-group such that  $[P, Q]Q/Z([P, Q]Q) \cong \text{Alt}_4$ .

**Lemma 4.7.** *Suppose that  $p$  is an odd prime and that  $G$  is an  $L_9$ -free  $\{2, p\}$ -group. Let further  $P$  be a normal Sylow  $p$ -subgroup of  $G$  and let  $Q \in \text{Syl}_2(G)$  be isomorphic to  $Q_8$  and such that  $1 \neq [P, Q]$  is elementary abelian.*

*Then  $p \equiv 3 \pmod{4}$ ,  $|P| = p^2$  and  $Q$  acts faithfully on  $P$ .*

*Proof.* We set  $Z := \Omega_1(Q)$ . If  $Z \trianglelefteq G$ , then  $Z \leq Z(G)$  and we consider  $\bar{G} := G/Z$ . Then  $\bar{G}$  is an  $L_9$ -free  $\{2, p\}$ -group,  $\bar{P}$  is a normal Sylow  $p$ -subgroup of  $\bar{G}$  and  $\bar{Q} \in \text{Syl}_2(\bar{G})$ . Since  $\bar{Q}$  is neither cyclic nor isomorphic to  $Q_8$ , **Lemma 4.3** is applicable and we see that  $\bar{G}$  is nilpotent. But then  $G$  is also nilpotent, contrary to our hypothesis that  $[P, Q] \neq 1$ . Thus  $\Omega_1(Q)$  is not normal in  $G$  and  $Q$  acts faithfully on  $P$ . Now, for all  $y \in Q$  of order 4, we apply **Lemma 4.4** on  $[P, Q](y)$  to deduce that  $\langle y \rangle$  either induces power automorphisms on  $[P, Q]$  or acts irreducibly on it. Theorem 1.5.1 of [7] states that  $\text{Pot}_G(P)$  is abelian, but  $Q \cong Q_8$  is not, which means that we may choose  $y$  such that  $y$  does not induce power automorphisms on  $P$ . In particular  $P$  is not cyclic of prime order. Moreover  $p$  is odd and therefore 4 divides  $(p+1)(p-1) = p^2 - 1$ , and Satz II 3.10 of [3] yields that  $|P| \leq p^2$ . It follows that  $|P| = p^2$ . More precisely, as  $|P| \neq p$ , the result implies that  $p \equiv 3 \pmod{4}$ , and then the proof is complete.  $\square$

**Definition 4.8.** Suppose that  $Q \cong Q_8$  acts coprimely on the  $p$ -group  $P$ . We say that the action of  $Q$  on  $P$  **avoids**  $L_9$  if and only if  $p \equiv 3 \pmod{4}$ ,  $|P| = p^2$  and  $Q$  acts faithfully on  $P$ .

**Lemma 4.9.** *Suppose  $Q \cong Q_8$  and that  $P$  is a  $p$ -group on which  $Q$  acts avoiding  $L_9$ . Then  $P$  is elementary abelian,  $\Omega_1(Q)$  inverts  $P$ , and every subgroup of  $Q$  of order at least 4 acts irreducibly on  $P$ .*

*Proof.* Since a cyclic group of order  $p^2$  has an abelian automorphism group by 2.2.3 of [5], it follows that  $P$  is elementary abelian. If  $1 \neq R$  is a cyclic subgroup of  $P$ , then  $|\text{Aut}(R)| = p-1$  and therefore  $R$  does not admit an automorphism of order 4. Additionally,  $[P, \Omega_1(Q)]$  is  $Q$ -invariant and, since  $Q$  acts faithfully on  $P$ , we see that  $[P, \Omega_1(Q)] \neq 1$ . Furthermore, **Lemma 1.1** gives that  $[P, \Omega_1(Q)] \cap C_P(\Omega_1(Q)) = 1$  because  $P$  is abelian. Moreover,  $Q$  has rank 1, and then it follows that  $Q$  acts faithfully on  $[P, \Omega_1(Q)]$ . This implies that  $|[P, \Omega_1(Q)]| \neq p$  and consequently  $[P, \Omega_1(Q)] = P$ . Hence 8.1.8 of [5] states that  $\Omega_1(Q)$  inverts  $P$ . In particular  $\Omega_1(Q)$  inverts every cyclic subgroup  $R$  of  $P$ .

In addition, these arguments show that every subgroup  $U$  of order 4 of  $Q$  does not normalize any nontrivial proper subgroup of the elementary abelian group  $P$ . This means that  $U$  acts irreducibly on  $P$ .  $\square$

**Corollary 4.10.** *Suppose that  $p \neq q$  and that  $G$  is an  $L_9$ -free  $\{p, q\}$ -group such that  $P \in \text{Syl}_p(G)$  is normal in  $G$  and  $Q \in \text{Syl}_q(G)$ .*

*Then either  $G$  is nilpotent and  $P$  and  $Q$  are modular or  $Q$  is a batten and it acts on  $P$  avoiding  $L_9$ .*

*In particular, if  $G$  is not nilpotent, then  $Q$  is isomorphic to  $Q_8$  or cyclic and  $[P, Q]$  is elementary abelian or isomorphic to  $Q_8$ , where in the second case  $q = 3$ .*

*Proof.* By hypothesis  $G$  is  $L_9$ -free, hence  $P$  and  $Q$  are, too. Then Lemma 4.2 implies that  $P$  and  $Q$  are modular.

Suppose that  $G$  is not nilpotent. Then Lemma 4.3 applies:  $Q$  is cyclic or isomorphic to  $Q_8$  and hence it is a batten. Moreover Lemma 2.2 of [9] states that  $[P, Q]$  is a hamiltonian 2-group or elementary abelian. In the first case Lemma 4.5 gives the assertion. In the second case our statement follows from Lemmas 4.4 and 4.7. □

**Lemma 4.11.** *Let  $Q$  be a nilpotent batten that acts on the  $p$ -group  $P$  avoiding  $L_9$ , and suppose that  $U$  is a subgroup of  $Q$ .*

*Then  $U$  induces power automorphisms on  $P$  or it acts irreducibly on  $[P, Q]/\Phi([P, Q])$ .*

*Proof.* First suppose that  $Q \cong Q_8$ . Then Lemma 4.9 implies that every subgroup of order at least 4 of  $Q$ , and in particular  $Q$  itself, acts irreducibly on  $P = [P, Q]/\Phi([P, Q])$ . Moreover, the involution of  $Q$  inverts  $P$  by Lemma 4.9, and then the statement holds.

Next we suppose that  $Q$  is cyclic. Then Definition 4.6 gives the assertion unless the action of  $Q$  on  $P$  avoids  $L_9$  of type (hamil). In this case every proper subgroup of  $Q$  centralizes  $P$ , while  $Q$  acts irreducibly on  $[P, Q]/Z([P, Q]) = [P, Q]/\Phi([P, Q])$ . □

Next we investigate groups of order divisible by more than two primes. This needs some preparation.

**Lemma 4.12.** *Suppose that  $P$  and  $R$  are distinct Sylow subgroups of  $G$ , that  $Q \in \text{Syl}_q(G)$  is cyclic and that it normalizes  $P$  and  $R$ , but does not centralize them. Suppose further that  $R$  normalizes every  $Q$ -invariant subgroup of  $P$ . If  $C_Q(P) = C_Q(R)$ , then  $G$  is not  $L_9$ -free.*

*Proof.* We suppose that  $C_Q(P) = C_Q(R) =: E$ . Then  $E$  is a normal subgroup of  $G$  because  $Q$  is abelian.

We claim that  $G/E$  is not  $L_9$ -free, and for this we may suppose that  $E = 1$ . Then  $Q \neq 1$  acts faithfully on  $P$  and  $R$ . Now we need a technical step before we move on:

There are a  $Q$ -invariant subgroup  $D$  of  $P$  and elements  $x, y \in D$  such that  $C_Q(x) = C_Q(y) = C_Q(xy^{-1}) = 1$  and  $D = [x, Q] = [y, Q] = [xy^{-1}, Q]$ . (\*)

Since  $Q$  is cyclic, there is some  $u \in Q$  such that  $\langle u \rangle = Q$ . Let  $x_0 \in [P, Q]$  be such that  $\Omega_1(Q)$  does not centralize  $x_0$ .

Then  $[x_0, Q] = [x_0, \langle u \rangle, Q] = [\langle [x_0, u] \rangle, Q] = [[x_0, u], Q]$  by Lemma 1.1, and for all integers  $n$  we have the following:  $(x_0^{-1}x_0^u)^{u^n} = 1$  iff  $x_0^{u^n} = x_0^{u^{n+1}}$  iff  $x_0^u = 1$ . It follows that  $[x_0, u] \in [[x_0, u], Q] = [x, Q]$  and  $C_Q([x_0, u]) = C_Q(x_0) = 1$ .

Now we set  $x := [x_0, u]$ ,  $y := x^u$  and  $D := [x, Q]$ . Then  $D$  is  $Q$ -invariant and we have that  $x, y \in D$ ,  $C_Q(x) = C_Q(y) = 1$  and  $D = [x, Q] = [y, Q]$ . If we set  $z := xy^{-1}$ , then  $z = [x^{-1}, u]$  and we can use the information from the end of the previous paragraph:

$[z, Q] = [x^{-1}, Q] = D$  and  $C_Q(z) = C_Q(x^{-1}) = C_Q(x) = 1$ . This concludes the proof of (\*).

We use (\*) and its notation and, similarly, we find a  $Q$ -invariant subgroup  $R_0$  of  $R$  and an element  $h \in R_0$  such that  $C_Q(h) = 1$  and  $R_0 = [h, Q]$ .

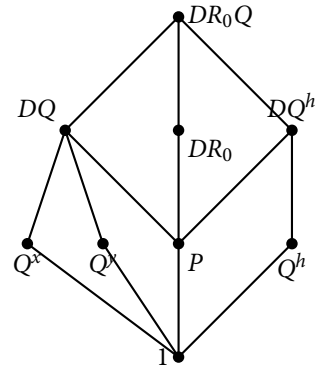
We set  $S := Q^x$ ,  $T := Q^y$ ,  $A := DQ$ ,  $B := DR_0$ ,  $U := Q^h$ ,  $C := DQ^h$  and  $F := DR_0Q$ , and we claim that  $\{A, B, C, D, E, F, S, T, U\}$  is isomorphic to  $L_9$ . The properties L9 (i) and L9 (iii) of Lemma 3.5 follow from the choice of  $D$ , since  $h$  and  $Q$  normalize  $D$ .

For L9 (ii) we first note that  $D \cap Q^x = D \cap Q^y = 1 = E$  and  $\langle D, Q^x \rangle = \langle D, Q^y \rangle = DQ$ , since  $x \in D$  and hence  $y = x^u \in D$ . Next, Lemma 1.4 (b) yields that  $T \cap S = Q^x \cap Q^y \leq C_Q(xy^{-1})^y = 1$ . Part (d) of the same lemma shows that  $\langle T, S \rangle = \langle Q^x, Q^y \rangle = \langle [xy^{-1}, Q]^{Q^{xy^{-1}}} \rangle Q^{xy^{-1}} = DQ^{xy^{-1}} = DQ = A$ , as  $xy^{-1} \in D$ .

For all  $z \in \{x, y\}$  we calculate that  $\langle Q^z, Q^h \rangle = \langle [zh^{-1}, Q]^{Q^{zh^{-1}}} \rangle Q^{zh^{-1}} = \langle ([z, Q]^{h^{-1}} [h^{-1}, Q])^{Q^{zh^{-1}}} \rangle Q^{zh^{-1}} = \langle D, R_0 \rangle Q^{zh^{-1}} = F$  by Lemma 1.4 (d).

Thus L9 (iv) of Lemma 3.5 is true.

We moreover have that  $\langle A, B \rangle = \langle D, Q, R_0 \rangle = F = \langle D, Q^h, R_0 \rangle$  and  $A \cap B = DQ \cap DR_0 = D(Q \cap DR_0) = D = D(Q^h \cap DR_0) = C \cap B$ . Finally  $A \cap C = DQ \cap DQ^h = D(Q \cap DQ^h) \leq DC_Q(h) = D$  by Lemma 1.4 (b).



Using Lemma 3.5 we conclude that  $G/E$  is not  $L_9$ -free, and hence  $G$  is not  $L_9$ -free. □

**Corollary 4.13.** *Suppose that  $p, q$  and  $r$  are pairwise distinct primes and that  $G$  is a directly indecomposable  $L_9$ -free  $\{p, q, r\}$ -group. Suppose further that  $P \in \text{Syl}_p(G)$  and  $R \in \text{Syl}_r(G)$  are normal in  $G$  and let  $Q \in \text{Syl}_q(G)$ .*

*Then  $Q$  is cyclic and  $C_Q(P) \neq C_Q(R)$ .*

*Proof.* Since  $G$  is directly indecomposable, we see that  $Q$  acts non-trivially on both  $P$  and  $R$ . Moreover,  $PQ$  and  $RQ$  are  $L_9$ -free by hypothesis, and then we conclude that  $Q$  is cyclic or isomorphic to  $Q_8$ .

In the first case, our assertion follows from Lemma 4.12, and in the second case, we choose a maximal subgroup  $Q_1$  of  $Q$ . Then  $Q_1$  acts irreducibly on  $P$  and  $R$  by Lemma 4.9, and the same Lemma shows that  $\Phi(Q)$  inverts  $P$  and  $R$ . Thus  $Q_1$  acts on  $P$  and on  $R$  avoiding  $L_9$ , respectively, and it acts faithfully. This contradicts Lemma 4.12. □

We explain another example where a subgroup lattice contains  $L_9$ .

**Lemma 4.14.** *Suppose that  $p, q$  and  $r$  are pairwise distinct primes and that  $G$  is a  $\{p, q, r\}$ -group. Suppose further that  $P \in \text{Syl}_p(G)$  is normal in  $G$  and that  $Q \in \text{Syl}_q(G)$  and  $R \in \text{Syl}_r(G)$  are cyclic groups such that  $R \trianglelefteq RQ$ . Suppose that  $|R| = r$  and  $C_Q(R) = 1$ .*

*If  $R$  acts irreducibly on  $P$ , but non-trivially, and if  $1 \neq [P, Q]$  is elementary abelian, then  $G$  is not  $L_9$ -free.*

*Proof.* We first remark that  $G$  is soluble, because  $P \trianglelefteq PR \trianglelefteq PRQ = G$ . We will construct the lattice  $L_9$  in  $L(G)$  using Lemma 3.5. For this we set  $E := 1$  and  $D := P$ . Then  $D \neq E$  and we see that L9 (i) is true.

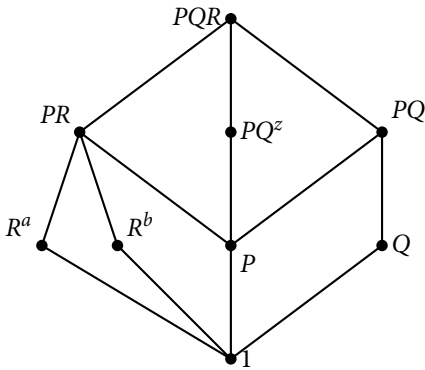
Next, we recall that  $1 \neq [P, Q]$  by hypothesis. Assume that  $|[P, Q]| = 2$ . Then  $Q$ , which normalizes  $[P, Q]$ , must centralize it, and then Lemma 1.1 gives a contradiction.

Therefore  $|[P, Q]| \geq 2$ . As a consequence, we find  $a, b \in [P, Q]^\#$  such that  $a \neq b$ , and then we set  $S := R^a$  and  $T := R^b$ . Now  $D \cap S = 1 = E = D \cap T$  and  $\langle D, T \rangle = PR^b = PR = PR^a = \langle D, S \rangle$ . We set  $A := PR$ . In addition, since  $R$  acts irreducibly, but non-trivially on  $P$ , it follows that  $C_R(ba^{-1}) \leq R$ . Then the fact that  $|R| = r$  gives that  $C_R(ba^{-1}) = 1 = E$ .

Lemma 1.4 (b) shows that  $S \cap T = (R \cap R^{ba^{-1}})^a \leq C_R(ba^{-1})^a = E$ , and now we recall that  $[ba^{-1}, R] \neq 1$ . Moreover,  $R$  acts irreducibly on  $P$ , and then Part (e) of the same lemma yields the following:

$$\langle T, S \rangle = \langle R, R^{ba^{-1}} \rangle^a = (\langle [ba^{-1}, R]^{R^{ba^{-1}}} \rangle R^{ba^{-1}})^a = PR = A. \text{ We conclude that L9 (ii) holds.}$$

For L9 (iii) we set  $U := Q$  and  $C := \langle D, Q \rangle = PQ$ . Then we note that  $U \cap D = Q \cap P = 1 = E$ .



Assume for a contradiction that  $X := \langle R^c, Q \rangle$  has odd order for some  $c \in \{a, b\}$ .

In both cases  $X$  is a  $p'$ -Hall subgroup of the soluble group  $G = PRQ$  and therefore  $R^c = O_r(X)$ . It follows that  $Q$  normalizes  $R^c$  and then that  $Q^{c^{-1}}$  and  $Q$  normalize  $R$ .

Since  $N_P(R)$  is  $R$ -invariant and  $R$  acts irreducibly, but non-trivially on  $P$ , we conclude that  $N_G(R) = RQ$ . Thus Sylow's theorem provides some  $y \in R$  such that  $Q^{c^{-1}} = Q^y$ . Now  $[yc, Q] \leq PR \cap Q = 1$ . In addition  $|R| = r$  and  $[R, Q] \neq 1$  by hypothesis. Together this gives that  $yc \in C_G(Q) \cap PR \leq PQ \cap PR = P(Q \cap PR) = P$ , by Dedekind's modular law. Altogether we have that  $yc \in C_P(Q)$ . We recall that  $c \in \{a, b\} \subseteq P$ , and then  $y = ycc^{-1} \in P$ . But we chose  $y \in R$  and now  $y \in R \cap P = 1$ , whence  $Q^{c^{-1}} = Q$ . In other words,  $c \in N_P(Q)$ , and this means that  $[Q, c] \leq Q \cap P = 1$  and  $c \in C_P(Q)$ . We recall that  $c \in [P, Q]^\#$  and that  $[P, Q]$  is elementary abelian by hypothesis. Then Lemma 1.1 implies that  $[P, Q] = [P, Q, Q] \times C_{[P, Q]}(Q)$ , and this contradicts the fact that  $c \in C_P(Q) \cap [P, Q]$ .

It follows that  $X$  has even order and since  $R^c$  acts irreducibly on  $P$ , we conclude that  $P \leq X$ . This implies that  $\langle S, U \rangle = \langle R^a, Q \rangle = PRQ = G = \langle R^b, Q \rangle = \langle T, Q \rangle$  and then L9 (iv) holds for  $F := G$ .

We finally set  $B := PQ^z$  for some  $z \in R^\#$ . Then  $R = \langle z \rangle$  and Lemma 1.4 (b) and (c), together with our hypothesis, show that  $P \leq PQ \cap PQ^z = P(Q \cap PQ^z) \leq PC_Q(z) = PC_Q(R) = P$ . Thus we have that  $B \cap C = P = D$ . We further see that  $A \cap C = PR \cap PQ = P(R \cap PQ) = P = D$  and  $A \cap B = PR \cap PQ^z = P(R \cap PQ^z) = P = D$ . Since  $\langle A, B \rangle = \langle PR, PQ^z \rangle = PQR = G$  and  $\langle B, C \rangle = \langle PQ, PQ^z \rangle = P([Q, z]^{Q^z})Q^z = P[Q, R]Q = PRQ = G$  by Lemma 1.4 (d), we finally obtain L9 (v).

Altogether Lemma 3.5 gives the assertion. □

**Proposition 4.15.** *Suppose that  $p, q$ , and  $r$  are pairwise distinct primes and that  $G$  is a non-nilpotent  $L_9$ -free  $\{p, q, r\}$ -group with normal Sylow  $p$ -subgroup  $P$ . Suppose further that  $R \in \text{Syl}_r(G)$  and  $Q \in \text{Syl}_q(G)$  are not normal in  $G$ , that  $R \trianglelefteq RQ$  and  $[R, Q] \neq 1$ .*

*Then  $RQ$  is a batten,  $P$  is elementary abelian of order  $p^r$ ,  $R$  and  $Q$  act irreducibly on  $P$  and  $\Phi(Q)$  induces non-trivial power automorphisms on  $P$ .*

*Proof.* We proceed in a series of steps.

(1) The groups  $PQ$  and  $PR$  are not nilpotent,  $Q$  is cyclic and  $R \cong Q_8$  or  $|R| = r$ . In addition  $R = [R, Q]$  and  $[R, C_Q(P)] \leq C_R(P)$ .

*Proof.* By hypothesis  $R$  is not normal in  $G$ , but  $Q$  normalizes  $R$ . Hence  $P \not\leq N_G(R)$  and in particular  $PR$  is not nilpotent. But  $PR$  is  $L_9$ -free, because  $G$  is. Moreover,  $RQ$  is non-nilpotent and  $L_9$ -free, again by hypothesis. Then Corollary 4.10 implies that  $R$  and  $Q$  are battens, that  $R$  acts on  $P$  avoiding  $L_9$  and that  $Q$  acts on  $R$  avoiding  $L_9$ . More specifically,  $R$  and  $Q$  are cyclic or isomorphic to  $Q_8$ , and  $[P, R]$  as well as  $[R, Q]$  are elementary abelian or isomorphic to  $Q_8$ .

It follows that  $R \cong Q_8$  or that  $R$  is cyclic of order  $r$ . In both cases Lemma 1.1 yields  $R = [R, Q]$  and the avoiding  $L_9$  action of  $Q$  on  $R$  gives that  $Q$  is cyclic.

In addition  $[P, C_Q(P), R] = 1$ ,  $[P, R, C_Q(P)] \leq [P, C_Q(P)] = 1$  and then the Three Subgroups Lemma (see for example 1.5.6 of [5]) implies that  $1 = [R, C_Q(P), P]$ . Thus  $[R, C_Q(P)] \leq C_R(P)$ .

If it was true that  $[P, Q] = 1$ , then  $R = [R, Q] = [R, C_Q(P)]$  would centralize  $P$ . But this is a contradiction. □

(2)  $C_R(P) = 1$  and  $C_Q(P) \leq Z(G)$ .

*Proof.* Since  $[P, R] \neq 1$  by (1), we can apply [Corollary 4.10](#) to  $PR$ , and this shows that  $R$  acts on  $P$  avoiding  $L_9$ . Otherwise (1) implies that  $R \cong Q_8$  and then [Definition 4.8](#) gives that  $R$  acts faithfully on  $P$ . If  $|R| = r$ , then  $R$  acts faithfully on  $P$  because  $[P, R] \neq 1$ . In both cases we see that  $C_R(P) = 1$ , and then the last statement of (1) implies that  $[R, C_Q(P)] \leq C_R(P) = 1$ . Then  $C_Q(P)$  centralizes  $P$  and  $R$ , and  $Q$  is cyclic by (1), and therefore it follows that  $C_Q(P) \leq Z(G)$ .  $\square$

(3)  $Z(G) = 1$  or  $p \neq 2$ .

*Proof.* We suppose that  $p = 2$ . Let  $- : G \rightarrow G/Z(G)$  be the natural homomorphism. We show that  $\bar{G}$  satisfies the hypotheses of our lemma.

From (1) we see that none of the groups  $P, Q$  or  $R$  is contained in  $Z(G)$ . We even have that  $R \cap Z(G) = 1$  by (1). In particular  $p, q, r \in \pi(\bar{G})$  and  $\bar{G}$  is  $L_9$ -free. We see that  $\bar{P}$  is a normal Sylow  $p$ -subgroup of  $G$  and that  $\bar{Q} \in \text{Syl}_q(G)$  and  $\bar{R} \in \text{Syl}_r(G)$  are such that  $\bar{R} \trianglelefteq \bar{R}\bar{Q} \leq G$ . Let  $X \in \{R, Q\}$ . If  $\bar{X} \trianglelefteq \bar{G}$ , then  $XZ(G) \trianglelefteq G$  and  $X$  is a characteristic Sylow subgroup of  $XZ(G)$  and hence normal in  $G$ . This is a contradiction.

We deduce that all hypotheses of the lemma hold for  $\bar{G}$  and that  $[\bar{R}, \bar{Q}] = [\bar{R}, \bar{Q}] = \bar{R} \neq 1$ . Therefore, if  $Z(G) \neq 1$ , then the minimal choice of  $G$  implies that  $\Phi(\bar{Q})$  induces non-trivial power automorphisms on the elementary abelian group  $\bar{P}$ . Then [Lemma 1.3](#) yields that  $p \neq 2$ .  $\square$

(4)  $C_P(R) = 1$ , and the groups  $[P, Q]$  and  $P = [P, R]$  are elementary abelian.

*Proof.* As  $PR$  and  $PQ$  are not nilpotent by (1), [Corollary 4.10](#) implies that  $X$  acts on  $P$  avoiding  $L_9$  and that  $[P, X]$  is elementary abelian or isomorphic to  $Q_8$  for both  $X \in \{Q, R\}$ .

Assume for a contradiction that  $[P, X]$  is isomorphic to  $Q_8$  for some  $X \in \{Q, R\}$ . Then  $X$  acts on  $P$  of type (hamil). Hence we obtain a group  $I$  of order 1 or 2 such that  $P \cong Q_8 \times I$ . It follows that  $\text{Aut}(P)$  is a  $\{2, 3\}$ -group. But this is impossible because  $Q$  and  $R$  both act coprimely and non-trivially on  $P$  by (1), and  $p = 2, q$  and  $r$  are pairwise distinct.

We conclude that  $[P, Q]$  and  $[P, R]$  are elementary abelian, and then it follows that  $P$  is abelian, by [Lemma 4.4](#), applied to  $PR$ .

Assume for a further contradiction that  $C_P(R) \neq 1$ . As  $R$  avoids  $L_9$  in its action on  $P$ , it follows from [Lemma 4.9](#) that  $R$  is not isomorphic to  $Q_8$ . Now (1) yields that  $R$  is cyclic and we may apply [Lemma 4.4](#) to  $PR$ , because  $[P, R]$  is elementary abelian. It follows that  $C_P(R)$  is a cyclic 2-group and thus  $q$  and  $r$  are odd.

Furthermore  $C_P(R)$  is normalized by  $Q$ , because  $Q$  normalizes  $P$  and  $R$ . Since  $q$  is odd, it follows that  $C_P(R)$  is centralized by  $Q$ . We recall that  $P$  is abelian, and then (3) yields that  $C_P(R) \leq Z(G) = 1$ . This is a contradiction.

Altogether  $C_P(R) = 1$  and [Lemma 1.1](#) gives that  $P = [P, R]$  is elementary abelian.  $\square$

(5)  $C_P(Q) = 1$  and  $Q$  acts on  $P$  avoiding  $L_9$  of type (std).

*Proof.* Since  $PQ$  is not nilpotent and  $Q$  is cyclic by (1), [Corollary 4.10](#) implies that the action of  $Q$  on  $P$  avoids  $L_9$ . But  $P$  is elementary abelian by (4), and therefore the action is not of type (hamil).

We assume for a contradiction that  $C_P(Q) \neq 1$ . Then it follows that  $PQ$  is not of type (std). We consequently have type (cent) and we see that  $C_P(Q)$  is a cyclic 2-group. In particular  $p = 2$ , and thus  $q$  and  $r$  are odd. Then  $|R| = r$  by (1).

Next we claim that  $G$  satisfies the hypotheses of [Lemma 4.14](#).

First,  $q$  and  $r$  are pairwise distinct odd primes and  $G$  is a finite  $\{2, q, r\}$ -group. From above, (1) and our assumption we see that  $P \in \text{Syl}_2(G)$  is normal in  $G$  and that  $Q \in \text{Syl}_q(G)$  and  $R \in \text{Syl}_r(G)$  are cyclic groups such that  $R \trianglelefteq RQ$ . We have shown that  $R$  has order  $r$ .



We recall that  $C_P(Q)$  is a cyclic 2-group (first paragraph). As  $r$  is odd and  $C_P(R) = 1$  by (4), we see that  $C_P(Q)$  is not  $R$ -invariant. But  $C_P(C_Q(R))$  is  $R$ -invariant, and now the irreducible action of  $R$  on  $P$  and the fact that  $1 \neq C_P(Q) \leq C_P(C_Q(R))$  show that  $P = C_P(C_Q(R))$ . Then (2) and (4) imply that  $C_Q(R) \leq C_Q(P) \leq Z(G) = 1$ .

By (4)  $P$  is an elementary abelian 2-group, and  $P = [P, R] \neq 1$  by (1) and (4). In particular  $R$  does not induce power automorphism on  $P$  by Lemma 1.3. As  $C_P(R) = 1$  by (4), we deduce that  $R$  acts irreducibly on  $P$  (using Lemma 4.4). Finally, (1) and (4) yield that  $1 \neq [P, Q]$  is elementary abelian.

All hypotheses of Lemma 4.14 are satisfied now, and we infer that  $G$  is not  $L_9$ -free. This is a contradiction.

Thus  $C_P(Q) = 1$  and we deduce that the action of  $Q$  on  $P$  is not of type (cen). It remains that  $Q$  acts on  $P$  avoiding  $L_9$  of type (std). □

(6)  $R$  and  $Q$  act irreducibly on  $P$ . If  $X \leq Q$  induces power automorphisms on  $P$ , then  $X$  centralizes  $R$ .

*Proof.* We recall from (2) that  $C_Q(P) \leq Z(G)$  and  $C_R(P) = 1$ . Consequently  $C_{RQ}(P) = C_Q(P) \leq Z(RQ)$  and  $RQ/C_Q(P)$  is isomorphic to a subgroup of  $\text{Out}(P)$ . Since  $RQ$  is not nilpotent, we see that  $RQ/C_Q(P)$  is not nilpotent.

The group  $P$  is an elementary abelian  $p$ -group by (4) and hence, if  $X \leq RQ$  induces power automorphisms on it, then it follows that  $XC_Q(P)/C_Q(P) \leq Z(RQ/C_Q(P))$ , see page 177 of [3]. We denote this fact by (\*). Then we deduce that  $[R, X] \leq C_Q(P) \leq Z(RQ)$  (see above) and therefore  $[R, X] = [X, R] = [X, R, R] = 1$  by Lemma 1.1.

In addition, the fact (\*) shows that neither  $R$  nor  $Q$  induces power automorphisms on  $P$ . It follows from (5) and Definition 4.6 (std) that  $Q$  acts irreducibly on  $P$ . Furthermore (1), together with Corollary 4.10, yields that  $R$  acts on  $P$  avoiding  $L_9$ . Since  $C_P(R) = 1$  by (4), this action has type (std) or (hamil). In the first case, the irreducible action follows from Definition 4.6 (std), and in the second case, it follows from Lemma 4.9. □

(7)  $C_Q(R)$  induces nontrivial power automorphisms on  $P$ .

*Proof.* We set  $Q_0 := C_Q(R)$  and we assume that  $Q_0$  acts irreducibly on  $P$ . Then II 3.11 of [3] implies that  $RQ = C_{RQ}(Q_0)$  is isomorphic to a subgroup of the multiplicative group of some field of order  $|P|$ , and it follows that  $RQ$  is cyclic. This is a contradiction.

Thus (6) and Lemma 4.11 show that  $Q_0$  induces power automorphisms on  $P$ . Since  $R$  normalizes every  $Q$ -invariant subgroup of  $P$  by (7), we see from Lemma 4.12 and (2) that  $C_Q(R) \gtrsim C_Q(P)$ . □

(8)  $|P| = p^q$  and  $\Phi(Q) = C_Q(R)$ .

*Proof.* We recall that  $Q$  is cyclic, by (1). Moreover  $[R, Q] \neq 1$ , whence  $C_Q(R) < Q$  and therefore we may choose  $y \in Q$  such that  $C_Q(R) < \langle y \rangle$ .

By (5) and Lemma 4.11, it follows that every subgroup of  $\langle y \rangle$  either acts irreducibly on  $P$  or induces power automorphism on it (in particular normalizing every subgroup of  $P$ ). Then  $P\langle y \rangle$  satisfies (b) of Lemma 3.1 in [8], which implies that it satisfies one of the possibilities 3.1 (i)–3.1 (iii). By (5) and the choice of  $y$ , we see that 3.1 (i) is not true. Further (7) provides some  $x \in C_Q(R)$  that induces a power automorphism of order  $q$  on  $P$ . This implies that  $q$  divides  $p - 1$  and therefore  $P\langle y \rangle$  satisfies (ii) of Lemma 3.1 (b) in [8]. It follows that  $|P| = p^q$  and that, if  $k$  is the largest positive integer such that  $q^k$  divides  $p - 1$ , then  $y$  induces an automorphism of order  $q^{k+1}$  on  $P$ . We conclude that  $q^{k+1} = |\langle y \rangle : C_{\langle y \rangle}(P)| = |\langle y \rangle : C_R(P)|$ , because  $Q$  is cyclic. Finally, we deduce that  $o(y)$  is uniquely determined, that  $Q = \langle y \rangle$  and that  $C_Q(P) = \Phi(Q)$ . □

By (6), we see that  $R$  and  $Q$  act irreducibly on  $P$ , and (1) gives that  $Q$  is cyclic. Then (8) and (7) say that  $\Phi(Q)$  induces nontrivial power automorphisms on  $P$ . In addition  $P$  is elementary abelian by (4),

and it has order  $p^r$  by (8). If  $|R| = r$ , then  $R$  is a cyclic group of order  $r$  and  $RQ$  is a batten. In particular  $G$  satisfies the assertion of our lemma.

But  $G$  is a counterexample, and then it follows that  $R \cong Q_8$  and  $r = 2$ . Then (4) yields that  $PR$  fulfills the hypothesis of Lemma 4.7, and consequently  $p^r = p^2 = |P| = p^q$  by (8). This is our final contradiction, because  $q \neq r$ .  $\square$

**Definition 4.16.** Suppose that  $B$  is a non-nilpotent batten that acts coprimely on the  $p$ -group  $P$ . We say that the action of  $B$  on  $P$  **avoids**  $L_9$  if and only if  $[P, Z(B)] \neq 1$  and if one of the following occurs:

- (Cy)  $[P, \mathcal{B}(B)] = 1$  and  $Q$  acts on  $P$  avoiding  $L_9$  for every Sylow subgroup  $Q$  of  $B$  different from  $\mathcal{B}(B)$   
or  
(NN)  $P$  is elementary abelian of order  $p^{|B:\mathcal{B}(B)Z(B)|}$  and the Sylow subgroups of  $B$  act irreducibly on  $P$ , while  $Z(B)$  induces power automorphisms on  $P$ .

As in Definition 4.6, we specify the type of the  $L_9$ -avoiding action by writing that “ $B$  acts on  $P$  avoiding  $L_9$  of type  $(\cdot)$ ”.

**Lemma 4.17.** Let  $B$  be a batten that acts non-trivially and avoiding  $L_9$  on the  $p$ -group  $P$ . Then the following hold:

- (a) If  $C_P(B) \neq 1$ , then  $p = 2$ .  
(b) Either  $P = [P, B] \times C_P(B)$ , where  $[P, B]$  is elementary abelian and  $C_P(B)$  is cyclic, or  $P = [P, B] \times I$ , where  $I$  is a group of order at most 2 and  $[P, B] \cong Q_8$ .  
(c)  $C_P(B)$  is centralized by every automorphism of  $P$  of order coprime to  $p$  that leaves  $C_P(B)$  invariant.

*Proof.* If  $B \cong Q_8$ , then Definition 4.8 and Lemma 4.9 imply that  $P$  is elementary abelian and that  $B$  acts irreducibly on it. We conclude that  $P = [P, B]$  is elementary abelian and we deduce from Lemma 1.1 that  $C_P(B) = 1$ . Hence, in this case, all statements of our lemma hold.

Now suppose that  $B$  is not nilpotent and that it acts of type (NN). Then Definition 4.16 states that, once more,  $P$  is elementary abelian and  $B$  acts irreducibly on it. Again we see that  $P = [P, B]$  is elementary abelian, and as before all statements hold.

Next we suppose  $B$  is not nilpotent and that it acts of type (Cy), or that  $B$  is cyclic. In the first case  $B$  has a cyclic Sylow subgroup  $Q$  that acts on  $P$  avoiding  $L_9$  such that  $C_P(Q) = C_P(B)$  and  $[P, B] = [P, Q]$  by Definition 4.16. In the second case we set  $Q := B$ .

Then, in both cases,  $Q$  is a cyclic group that acts on  $P$  avoiding  $L_9$  such that  $C_P(Q) = C_P(B)$  and  $[P, B] = [P, Q]$ . If  $Q$  acts of type (std), then  $P = [P, Q]$  is elementary abelian by Definition 4.6. Again we deduce the statements of our lemma.

Suppose that  $Q$  acts of type (cent). Then Definition 4.6 yields that  $[P, Q] = [P, B]$  is elementary abelian, that  $P$  is abelian and that  $C_P(Q) = C_P(B)$  is a cyclic 2-group. In particular  $P = [P, B] \times C_P(B)$  by Lemma 1.1. It also follows that  $C_P(B)$  is centralized by every automorphisms of  $P$  of odd order that leaves  $C_P(B)$  invariant. These are the statements of our lemma.

Finally, suppose that  $Q$  acts of type (hamil). Then Definition 4.6 yields that  $[P, Q] \cong Q_8$  and  $P = [P, Q] \times I$ , where  $I$  is a group of order at most 2. In particular statement (a) is true. Moreover, we deduce that  $C_P(Q) \leq \Omega_1(P) = \Phi([P, Q]) \times I$ , where  $\Phi([P, Q])$  is cyclic of order 2. In particular  $\Phi([P, Q])$  is centralized by  $B$  and by every automorphisms of  $P$ . We conclude that  $C_P(Q)$  is elementary abelian of order at most 4 and that every automorphism of  $P$  centralizes a cyclic subgroup of order 2. This implies (b).  $\square$

## 5. Avoiding $L_9$

We now work toward a classification of arbitrary  $L_9$ -free groups, and therefore we need to understand in more detail the group structures that appear when “ $L_9$  is avoided” in the sense of the previous section.

**Definition 5.1.** Suppose that  $K$  is a batten group that acts coprimely on the  $p$ -group  $P$ . We say that the action of  $K$  on  $P$  **avoids**  $L_9$  if and only if  $[P, K] \neq 1$  and every batten of  $K$  either centralizes  $P$  or avoids  $L_9$  in its action on  $P$ .

**Lemma 5.2.** Let  $K$  be a batten group that acts coprimely on the  $p$ -group  $P$  avoiding  $L_9$ . Suppose further that  $L \leq K$  and  $L_0 \trianglelefteq L$  such that  $[P, L] \neq 1 = [P, L_0]$ . Then  $L/L_0$  acts on  $P$  avoiding  $L_9$ . In particular  $L/L_0$  is a batten group.

*Proof.* By induction we may suppose that  $K$  is a batten and that either  $L_0 = 1$  and  $L$  is a maximal subgroup of  $K$  or that  $L_0$  is a minimal normal subgroup of  $K = L$ . Thus either  $|L_0|$  has order  $q$  or  $|K : L| = q$ . Since  $L_0 \leq C_K(P)$ , we first remark that  $L/L_0$  induces automorphisms on  $P$ .

If  $K \cong Q_8$ , then  $K$  acts faithfully on  $P$  by **Definition 4.8**. Thus  $L_0 \leq C_K(P) = 1$  and it follows that  $L$  is a cyclic group of order 4. Thus **Lemma 4.9** yields that  $\Omega_1(L)$  inverts  $P$  and that  $L$  acts irreducibly on the elementary abelian group  $P = [P, L]$ . Then we see that  $L \cong L/L_0$  acts on  $P$  avoiding  $L_9$  of type (std).

Next suppose that  $K$  is cyclic. Then  $L/L_0$  is cyclic. If  $K$  acts of type (std) on  $P$ , then  $L$  and every subgroup of  $L$  act irreducibly or via inducing power automorphisms on the elementary abelian group  $P = [P, K]$ . Since  $[P, L] \neq 1$  and power automorphisms are universal, by **Lemma 1.5.4** of [7], it follows that  $P = [P, L]$ . Moreover, the action of  $L$  on  $P$  is equivalent to that of  $L/L_0$ , and then it follows that  $L/L_0$  acts on  $P$  avoiding  $L_9$  of type (std).

If  $K$  acts on  $P$  of type (cent), then  $L$  and all its subgroups act irreducibly or trivially on the elementary abelian group  $[P, K]$ . Again the fact that  $[P, L] \neq 1$  implies that  $P = [P, L]$ , and then  $C_P(L) = C_P(K)$  by **Lemma 1.1**. Since the action of  $L$  on  $P$  is equivalent to that of  $L/L_0$ , it follows that  $L/L_0$  acts on  $P$  avoiding  $L_9$  of type (cent).

We suppose now that  $K$  acts of type (hamil). Then  $K$  is a cyclic 3-group and  $K/C_K(P)$  has order 3. It follows that  $L = K$ . But again, the action of  $L/L_0 = K/L_0$  on  $P$  is equivalent to the action of  $K$  on  $P$ , which means that it has type (hamil).

We finally suppose that  $K$  is a non-nilpotent batten. Let  $R$  be a Sylow subgroup of  $K$  such that  $K = \mathcal{B}(K) \cdot R$ . Suppose first that  $L/L_0$  is a  $q$ -group. Then our choice of  $L$  and  $L_0$  implies that  $L/L_0 \cong R$ . If  $K$  acts on  $P$  of type (Cy) in this case, then it follows that  $L/L_0 \cong R$  is cyclic and that it acts on  $P$  avoiding  $L_9$ , according to **Definition 4.16**. Otherwise, if  $K$  acts of type (NN), then  $\mathcal{B}(K) \not\leq C_K(P)$  and then  $L_0 = 1$ . It follows that  $L = R$  acts irreducibly on the elementary abelian group  $P$ , whence  $P = [P, L] = [P, L/L_0]$ . In addition  $\Phi(L)$  and all of its subgroups induce power automorphism on  $P$ . Altogether the cyclic group  $L/L_0 \cong L$  acts on  $P$  of type (std).

Now we suppose that  $L/L_0$  does not have prime power order. Then  $L_0 \leq \Phi(R) = Z(K)$ . Now if  $L/L_0$  is nilpotent, then  $L \neq K$  and therefore  $L_0 = 1$ . It follows from **Lemma 2.5** that  $L = Z(K) \times \mathcal{B}(K)$ . We have already proven that  $R$  acts on  $P$  avoiding  $L_9$ , and then  $Z(K)$  also acts on  $P$  avoiding  $L_9$ . In addition  $\mathcal{B}(K)$  either centralizes  $P$  or it acts irreducibly on the elementary abelian group  $P = [P, \mathcal{B}(K)]$ . Since  $\mathcal{B}(K)$  has prime order, it follows that the cyclic group  $\mathcal{B}(K)$  acts on  $P$  avoiding  $L_9$  of type (std). Altogether  $L/L_0 \cong L = Z(K) \times \mathcal{B}(K)$  acts on  $P$  avoiding  $L_9$ .

Finally, suppose that  $L/L_0$  is not nilpotent. Then  $L$  is not nilpotent and hence **Lemma 2.7** implies that  $L = K$ . We conclude that  $L_0 \neq 1$ . Since  $[P, Z(K)] \neq 1$  by **Definition 4.16**, it follows that  $L_0$  is a proper subgroup of  $Z(K)$  and that  $L/L_0 = K/L_0 \cong \mathcal{B}(K) \rtimes R/L_0$  is a non-nilpotent batten. If  $[P, \mathcal{B}(K)] = 1$ , then our investigation above imply that the cyclic group  $R/L_0$  acts on  $P$  avoiding  $L_9$ , and then  $K/L_0$  acts on  $P$  avoiding  $L_9$ . Otherwise  $1 \neq [P, \mathcal{B}(K)]$  is elementary abelian of order  $p^{|K:\mathcal{B}(K)Z(K)|} = p^{|K/L_0:\mathcal{B}(K)Z(K)/L_0|}$ , moreover  $\mathcal{B}(K) \cong \mathcal{B}(K)L_0/L_0$  and  $R/L_0$  act irreducibly on  $P$ . At the same time  $Z(K/L_0) = Z(K)/L_0$  induces power automorphisms on  $P$ . Altogether  $K/L_0$  acts on  $P$  avoiding  $L_9$  of type (NN).  $\square$

**Lemma 5.3.** Let  $K$  be a batten group that acts coprimely on the  $p$ -group  $P$  avoiding  $L_9$ . Then the following assertions are true:

- (a) If  $L \trianglelefteq K$ , then  $[P, K] = [P, L]$  or  $[P, L] = 1$ .

(b)  $[P, K]$  is elementary abelian or isomorphic to  $Q_8$ .

*Proof.* Let  $L \trianglelefteq K$  be such that  $[P, L] \neq 1$ . Then  $L$  is a batten group by Lemma 2.7 and therefore there is a batten  $B$  of  $L$  such that  $[P, B] \neq 1$ . Assume for a contradiction that  $[P, B] \leq [P, L] \leq [P, K] \leq P$ . Then the fact that  $P \neq [P, B]$  implies that  $C_P(B) \neq 1$  by Lemma 1.1. In addition  $B$  avoids  $L_9$  in its action on  $P$ , by Lemma 5.2. Since  $B$  is a batten of  $L$ , it is characteristic in  $L$ , and therefore  $B \trianglelefteq K$ . In particular  $C_P(B)$  is  $K$ -invariant and hence it is centralized by  $K$  by Lemma 4.17 (c). This implies that  $C_P(B) = C_P(K)$ . Finally  $[P, K] = [[P, B]C_P(B), K] = [[P, B]C_P(K), K] = [[P, B], K] \leq [P, B] \leq [P, K]$ , which is a contradiction. In particular (a) is true.

Together with Lemma 4.17 (b), the statement in (b) follows from (a).  $\square$

**Lemma 5.4.** *Let  $K$  be a batten group that acts on the  $p$ -group  $P$  avoiding  $L_9$ , and suppose that  $H$  is a subgroup of  $K$ . Then  $H$  centralizes  $P$  or  $[P, H] = [P, K]$ .*

*Moreover,  $H$  induces power automorphisms on  $P$  or it acts irreducibly on  $[P, K]/\Phi([P, K])$ .*

*Proof.* Let  $H \leq K$ . If  $H$  centralizes  $P$ , then it induces power automorphisms on  $P$ . We may suppose that  $[P, H] \neq 1$ . Then  $H$  has a  $q$ -subgroup  $Q$  such that  $[P, Q] \neq 1$ . Therefore, if  $Q \trianglelefteq K$ , then we have that  $[P, K] = [P, Q]$  by Lemma 5.3 (a). Then the fact that  $[P, Q] \leq [P, H] \leq [P, K]$  yields that  $[P, H] = [P, K]$ .

Assume for a contradiction that  $[P, K] \neq [P, H]$ . Then Lemma 2.8 implies that  $K$  has a non-nilpotent batten  $B$  such that  $B = \mathcal{B}(B)Q$ . We moreover deduce that  $[P, Q] \leq [P, K]$  and  $[P, B] = [P, K]$  by Lemma 5.3 (a), because  $B \trianglelefteq K$ . Since the action of  $K$  on  $P$  avoids  $L_9$ , the action of  $B$  also does. If  $B$  acts of type (Cy), then we obtain the contradiction that  $[P, Q] = [P, B]$ . Thus  $B$  acts of type (NN) and in particular  $Q$  acts irreducibly on  $P$ . But this is impossible as well. It follows that  $[P, H] = [P, K]$ .

Assume for a further contradiction that  $H \leq K$  neither induces power automorphisms on  $P$  nor does it act irreducibly on  $[P, K]/\Phi([P, K]) = [P, H]/\Phi([P, H])$ . Then there is a batten  $B$  of  $H$  that neither induces power automorphisms on  $P$  nor does it act irreducibly on  $[P, H]/\Phi([P, H])$ . Similarly to the arguments above, we deduce that  $[P, K] = [P, H] = [P, B]$ , and Lemma 5.2 gives that  $B$  avoids  $L_9$  in its action on  $P$ . Therefore Lemma 4.11 yields that  $B$  is not nilpotent. From Definition 4.16 we further see that  $B$  does not act of type (NN), and thus  $B$  acts of type (Cy) on  $P$ . Consequently  $[P, \mathcal{B}(B)] = 1$  and  $B$  has a cyclic Sylow subgroup  $Q$  such that  $B = \mathcal{B}(B)Q$  and  $Q$  acts on  $P$  avoiding  $L_9$ . Again we have  $[P, K] = [P, B] = [P, Q]$  and Lemma 4.11 gives that  $Q$  induces power automorphisms on  $P$  or acts irreducibly on  $[P, Q]/\Phi([P, Q]) = [P, K]/\Phi([P, K])$ . In the first case  $B = C_B(P)Q$  induces power automorphism on  $P$  and in the second case  $B$  acts irreducibly on  $[P, K]/\Phi([P, K])$ . This is a contradiction.  $\square$

**Lemma 5.5.** *Let  $K$  be a batten group that acts on the  $p$ -group  $P$  avoiding  $L_9$ , and suppose that  $H$  is a subgroup of  $K$  that acts non-trivially on  $R \leq P$ .*

*Then  $C_H(R) = C_H(P)$ ,  $C_P(H) = C_P(K)$  and  $[P, H] = [P, K]$ .*

*Proof.* From Lemma 5.4 we see that  $[P, H] = [P, K]$ . In addition  $C_P(K) \leq C_P(H) \leq C_P(Q)$  for every  $q$ -subgroup  $Q$  of  $H$  and every prime  $q$ . Let  $Q$  be a  $q$ -subgroup of  $H$  for some prime  $q$  such that  $C_P(Q) \neq P$ . Then  $Q$  is a batten by Lemma 2.7, and it acts on  $P$  avoiding  $L_9$  by Lemma 5.2. If  $Q \trianglelefteq K$ , then  $K$  centralizes  $C_P(Q)$  by Lemma 4.17(c). Thus  $C_P(K) \leq C_P(H) \leq C_P(Q) \leq C_P(K)$ , and this gives that  $C_P(K) = C_P(H)$ . If  $Q$  is not a normal subgroup of  $K$ , then Lemma 2.8 provides a non-nilpotent batten  $B$  of  $K$  such that  $B = \mathcal{B}(B)Q$ . Since the action of  $K$  on  $P$  avoids  $L_9$ , the action of  $B$  also does. If  $B$  acts of type (NN), then  $Q$  acts irreducibly on  $P$  and therefore  $C_P(Q) = 1 \leq C_P(K)$ . Again we deduce that  $C_P(K) = C_P(H)$ . If  $B$  acts of type (Cy), then  $[P, \mathcal{B}(B)] = 1$  and hence  $C_P(B) = C_P(Q)$ . But now  $B$  is a normal subgroup of  $K$ , and then Lemma 4.17(c) gives that  $C_P(B) = C_P(Q) \leq C_P(K)$ . As above we deduce that  $C_P(K) = C_P(H)$ .

Finally, suppose that  $R \leq P$  is  $H$ -invariant, but not centralized by  $H$ , and set  $H_0 := C_H(R) \geq C_H(P)$ . Assume for a contradiction that  $H_0$  does not centralize  $P$ . Then we deduce, as above, that  $C_P(K) = C_P(H_0) \geq R$ . This is a contradiction, because  $H$  does not centralize  $R$ .  $\square$

**Corollary 5.6.** *Let  $K$  be a batten group that acts non-trivially and avoiding  $L_9$  on the  $p$ -group  $P$ . Then the following hold:*

- (a) *If  $C_P(K) \neq 1$ , then  $p = 2$ .*
- (b) *If  $C_P(K) = 1$ , then  $P = [P, K]$  is elementary abelian.*
- (c) *If  $K$  induces power automorphisms on  $P$ , then  $P = [P, K]$  is elementary abelian of odd order. In particular  $C_P(K) = 1$  in this case.*

*Proof.* Let  $B$  be a batten of  $K$  that does not centralize  $P$ . Then Lemma 5.5 implies that  $C_P(K) = C_P(B)$ . Thus Part (a) and (b) of Lemma 4.17 yield the statements (a) and (b) of our lemma. For Part (c) we suppose that  $K$  induces power automorphisms on  $P$ . Then  $P$  is not an elementary abelian 2-group by Lemma 1.3. If  $C_P(K) = 1$ , then our assertion holds by (b). Otherwise  $p = 2$  by (a), and then Lemma 1.3 implies that  $[P, K]$  is neither elementary abelian nor isomorphic to  $Q_8$ , contradicting Part (b) of Lemma 5.3.

For the final comment we just use that  $p$  is odd and then apply (a). □

**Lemma 5.7.** *Let  $B$  be a batten that acts on the  $p$ -group  $P$  avoiding  $L_9$ . Let  $R \leq P$  be  $B$ -invariant and  $R_0 \leq C_P(B)$ .*

*Then  $B$  avoids  $L_9$  in its action on  $R/R_0$ .*

*Proof.* Since  $B$  centralizes  $R_0$ , the action of  $B$  on  $R/R_0$  is well-defined.

We first suppose that  $B \cong Q_8$ . Then Lemma 4.9 yields that  $B$  acts irreducibly on  $P$ , and then it follows that  $R = P$  and  $R_0 = 1$ . Thus our assertion is true in this case.

Next suppose that  $B$  is cyclic. If  $B$  acts of type (std) on  $P$ , then  $R_0 \leq C_P(B) = 1$ . If  $B$  acts irreducibly on  $P$ , then again  $P = R$  and there is nothing left to prove. Otherwise  $B$  and all of its subgroups induce power automorphisms on  $P$ , and hence on  $R = [R, B]$  as well. It follows that  $B$  also acts of type (std) on  $R \cong R/R_0$ .

Suppose now that  $B$  acts of type (cent). Then, since  $B$  does not centralize  $R$  and  $B$  acts irreducibly on  $[P, B]$ , it follows that  $[P, B] \leq R$ . Moreover  $P$  is abelian and then we use the fact that  $R_0 \leq C_P(B)$ . This gives that  $R/R_0 = [R/R_0, B] \times C_{R/R_0}(B) \cong [R, B] \times C_R(B)/R_0$ , where  $C_R(B)/R_0$  is a cyclic 2-group. Since every subgroup of  $B$  that does not centralize  $P$  acts irreducibly on  $[P, B] \cong [R/R_0, B]$  in this case, there are two possibilities for the action of  $B$  on  $R \cong R/R_0$ : If  $R_0 \neq C_R(B)$ , then  $B$  acts of type (cent), and otherwise it acts of type (std).

Suppose now that  $B$  acts of type (hamil). Then the cyclic 3-group  $B$  acts irreducibly on  $[P, B]/\Phi([P, B])$ , by Lemma 5.4, and we see again that  $[P, B] \leq R$ . It follows that  $R \cong Q_8 \times I$ , where  $I$  is a group of order at most 2, and then  $R_0 \leq C_R(B) \leq \Phi([R, B]) \times I$ . We remark that  $[R/R_0, B]B/Z([R/R_0, B]B) \cong [R, B]B/Z([R, B]B) \cong [P, B]B/Z([P, B]B) \cong \text{Alt}_4$ .

If  $R_0 \cap [P, B] = 1$ , then  $R/R_0 \cong Q_8 \times \tilde{J}$  for some group  $J$  of order  $\frac{|I|}{|R_0|}$ . Thus  $B$  acts on  $R/R_0$  of type (hamil) in this case.

Otherwise we have that  $R_0 \geq \Phi([P, B])$  and therefore  $R/R_0$  is elementary abelian of order 4 or 8. Moreover  $B$  acts irreducibly on  $[R/R_0, B]$ , which is a group of order 4. In addition every proper subgroup of  $B$  centralizes  $R/R_0$ . Consequently, if  $R/R_0 = [R/R_0, B]$ , then  $B$  acts on  $R/R_0$  of type (std) or of type (cent).

The final case is that  $B$  is not nilpotent, and we suppose that  $B$  acts of type (NN) on  $P$ . Then Definition 4.16 yields that  $B$  acts irreducibly on  $P$ . Hence there is nothing left to prove.

Suppose that  $B$  acts of type (Cy). Then we choose a Sylow subgroup  $Q$  of  $B$  such that  $B = \mathcal{B}(B)Q$ . Then  $[R/R_0, \mathcal{B}(B)] = [R, \mathcal{B}(B)] = [P, \mathcal{B}(B)] = 1$  and  $Q$  acts on  $P$  avoiding  $L_9$  in such a way that  $\Phi(Q) = Z(B)$  does not centralize  $P$ . Then Lemma 5.5 yields that  $\Phi(Q)$  does not centralize  $R$ . In particular, we have that  $[R/R_0, Z(B)] \neq 1$ . In addition  $Q$  acts on  $R/R_0$  avoiding  $L_9$ , by our arguments above. Altogether  $B$  acts on  $R/R_0$  avoiding  $L_9$  of type (Cy) in this final case. □

## 6. The first implication

We now investigate the general case.

**Proposition 6.1.** *Let  $G$  be a finite  $L_9$ -free group. Then  $G = NK$ , where  $N$  is a nilpotent normal Hall-subgroup of  $G$  with modular Sylow subgroups and  $K$  is a batten group. Moreover, for all  $p \in \pi(N)$ , every batten of  $K$  acts on  $O_p(N)$  avoiding  $L_9$  or it centralizes  $O_p(N)$ .*

*Proof.* We first remark that  $G$  is  $L_{10}$ -free, whence Theorem A of [9] implies that  $G$  is soluble. Furthermore, Corollary C of [9] provides normal Hall-subgroups  $N$  and  $M$  of  $G$  such that  $N \leq M$  and such that  $N$  is nilpotent,  $M/N$  is a 2-group and  $G/M$  is metacyclic. We choose  $N$  as large as possible with these constraints. From Lemma 4.1 we see that  $N \neq 1$ . We also have that every Sylow subgroup of  $N$  is  $L_9$ -free, and hence it is modular by Lemma 4.2. In addition the Schur-Zassenhaus Theorem (see for example 3.3.1. of [5]) provides a complement  $K$  of  $N$  in  $G$ .

(1) If  $RQ$  is a non-nilpotent Hall  $\{r, q\}$ -subgroup of  $K$ , where  $R$  is a normal Sylow  $r$ -subgroup of  $RQ$  and  $Q \in \text{Syl}_q(RQ)$ , then  $RQ$  is a batten. For all  $p \in \pi(N)$ , the group  $RQ$  centralizes  $O_p(N)$  or acts on it avoiding  $L_9$ .

*Proof.* If there is some  $p \in \pi(N)$  such that  $[O_p(N), R] \neq 1$ , then we set  $P := O_p(N)$ . Otherwise the maximal choice of  $N$  implies that  $R$  is not a normal subgroup of  $K$ . Then, using the solubility of  $G$ , we find a prime  $s \in \pi(K) \setminus \{r\}$  and a normal  $s$ -subgroup  $T$  of  $K$  such that  $[T, R] \neq 1$  (see 5.2.2 of [5]). Then  $s \neq q$  because  $1 \neq [R, Q] \leq R$  and  $1 \neq [T, R] \leq T$ . In this case we set  $P := T$ .

In both cases  $p$ ,  $r$  and  $q$  are pairwise different primes and  $PRQ$  is a non-nilpotent  $\{p, r, q\}$ -subgroup that satisfies the hypothesis of Proposition 4.15. For this we note that  $P \trianglelefteq PRQ$ ,  $P \not\leq N_G(R)$  and  $R \not\leq N_G(Q)$ . Since  $[R, Q] \neq 1$ , the assertion in (1) follows.  $\square$

(2) Every Sylow subgroup  $S$  of  $K$  is a batten, and for all  $p \in \pi(N)$  it is true that  $S$  centralizes  $O_p(N)$  or acts on  $O_p(N)$  avoiding  $L_9$ .

*Proof.* Let  $S$  be a Sylow subgroup of  $K$ . If  $S$  centralizes  $N$ , then the choice of  $N$  provides some Sylow subgroup  $R$  of  $K$  such that  $RS$  is not nilpotent. Then (1) implies that  $RS$  is a batten, then that  $S$  is cyclic and hence that  $S$  is a batten.

Let  $p \in \pi(N/C_N(S))$ . Then  $O_p(N)S$  is an  $L_9$ -free  $\{p, q\}$ -group for some prime  $q$ . Hence Corollary 4.10 implies the assertion.  $\square$

(3)  $K$  is a batten group.

*Proof.* Let  $1 \neq B \leq K$  be such that there is some  $K_1 \leq K$  such that  $K = K_1 \times B$ , where  $(|K_1|, |B|) = 1$  and  $B$  is not a direct product of nontrivial subgroups of coprime order. In particular  $B$  is a Hall subgroup of  $K$ . If  $B$  is nilpotent, then  $B$  is a Sylow  $q$ -subgroup of  $K$  for some prime  $q$ . In this case (2) implies that  $B$  is a batten.

Assume for a contradiction that  $B$  is not a batten of  $K$ . Then  $B$  is not nilpotent and therefore (1) yields that  $|B|$  is divisible by at least three different primes. Since  $B \leq G$  is  $L_9$ -free, Lemma 4.1 provides a normal Sylow  $r$ -subgroup  $R$  of  $B$  for some prime  $r \in \pi(B)$ . We remark that  $R$  is a normal subgroup of  $K = K_1 \times B$ . In addition  $B$  is not a direct product of non-trivial subgroups with coprime order and hence there are a prime  $q \in \pi(B)$  and some  $Q \in \text{Syl}_q(B)$  such that  $RQ$  is not nilpotent. Now  $B$  is a Hall subgroup of  $K$  and thus  $RQ$  is a Hall subgroup of  $K$ . In particular (1) implies that  $RQ$  is a batten and it follows that  $|R| = r$  and  $1 \neq C_Q(R) = \Phi(Q)$ . We further see, from Definition 4.16 and (1), that for every  $p \in \pi(N)$  with the property  $[O_p(N), R] \neq 1$  we have that  $|O_p(N)| = p^q$ . Since  $R$  is a normal Sylow subgroup of  $K$ , the maximal choice of  $N$  provides some  $p \in \pi(N)$  such that  $R$  does not centralize  $P := O_p(N)$ . In particular we have that  $|P| = p^q$ .

Let  $s \in \pi(B) \setminus \{q, r\}$  and let  $S$  be a Sylow  $s$ -subgroup of  $B$  such that  $QS = SQ$ . Such a subgroup exists by Satz VI. 2.3 in [3]. If  $S$  does not centralize  $R$ , then  $RS$  is not nilpotent and therefore our arguments above show that  $p^s = |P| = p^q$ . This is impossible because  $r \neq s$ . Consequently  $[R, S] = 1$ .

Since  $B$  is directly indecomposable, we conclude that  $SQ$  is not nilpotent. But  $SQ$  is a Hall subgroup of  $B$  and then it is a Hall subgroup of  $K$ . In particular (1) yields that  $SQ$  is a batten. From the fact that  $1 \neq C_Q(R) = \Phi(Q)$  we conclude that  $|Q| \neq q$ , and then  $|S| = s$  and  $S \trianglelefteq SQ$ . In addition  $C_Q(S) = \Phi(Q) = C_Q(R)$ . But  $(R \times S)Q$  is an  $L_9$ -free group, and this situation contradicts Corollary 4.13.  $\square$

We conclude that, by construction,  $G = NK$ , where  $N$  is a nilpotent normal subgroup of  $G$  with modular Sylow subgroups and  $K$  is a batten group, by (3), such that for all  $p \in \pi(N)$  it is true that every batten of  $K$  centralizes  $O_p(N)$  or acts on  $O_p(N)$  avoiding  $L_9$ , by (1) and (2).  $\square$

The converse of Proposition 6.1 is false, as can be seen in the following example and subsequent lemma.

**Example 6.2.** Let  $H = C_{19} \times C_{19}, J = C_5 \times C_5$  and let  $x, y \in GL(2, 19) \times GL(2, 5)$  be such that

$$x = \left( \left( \begin{matrix} -1 & 0 \\ 0 & -1 \end{matrix} \right), \left( \begin{matrix} 0 & 3 \\ 1 & 0 \end{matrix} \right) \right) \text{ and } y = \left( \left( \begin{matrix} 4 & 0 \\ 0 & 4 \end{matrix} \right), \left( \begin{matrix} 2 & 3 \\ 1 & 2 \end{matrix} \right) \right).$$

Then  $xy = \left( \left( \begin{matrix} -4 & 0 \\ 0 & -4 \end{matrix} \right), \left( \begin{matrix} 3 & 1 \\ 2 & 3 \end{matrix} \right) \right) = yx$ . Hence  $G := (H \times J) \rtimes (\langle x \rangle \times \langle y \rangle)$  is a group.

Moreover,  $N := H \times J$  is a nilpotent normal subgroup of  $G$  with modular Sylow subgroups. Since

$$x^8 = (x^2)^4 = \left( \left( \begin{matrix} 1 & 0 \\ 0 & 1 \end{matrix} \right), \left( \begin{matrix} 3 & 0 \\ 0 & 3 \end{matrix} \right) \right)^4 = \left( \left( \begin{matrix} 1 & 0 \\ 0 & 1 \end{matrix} \right), \left( \begin{matrix} -1 & 0 \\ 0 & -1 \end{matrix} \right) \right)^2 = 1$$

and

$$y^9 = \left( \left( \begin{matrix} 7 & 0 \\ 0 & 7 \end{matrix} \right), \left( \begin{matrix} 1 & 0 \\ 0 & 1 \end{matrix} \right) \right)^3, \text{ it follows that } x \text{ and } y \text{ have coprime order.}$$

Thus  $K := \langle x \rangle \times \langle y \rangle$  is cyclic, which means that it is a batten group.

We see that  $x$  and  $y$  induce non-trivial power automorphisms on  $H$ . Thus every batten of  $K$  acts on  $H = O_{19}(N)$  avoiding  $L_{19}$  of type (std). In addition  $x^2$  and  $y^3$  induce power automorphisms on  $J$ . Since  $x$  and  $y$  act irreducibly on  $J$ , it follows that every batten of  $K$  acts on  $J = O_5(N)$  avoiding  $L_{19}$  of type (std), too.

Altogether  $G$  satisfies the conclusion of Proposition 6.1.

On the other hand we observe that  $\pi(K) = \{2, 3\} = \pi(\langle x, y \rangle / \langle x^2 \rangle) = \pi(K/C_K(H))$  and that  $C_H(C_K(J)) = C_H(\langle y^3 \rangle) = 1$ .

If  $g \in HJ$  centralizes  $\langle x \rangle$  or  $\langle y \rangle$ , then  $g = 1$ . Thus the following lemma yields that  $G$  is not  $L_9$ -free.

**Lemma 6.3.** Let  $H$  be a non-trivial abelian group where all non-trivial Sylow subgroups are non-cyclic elementary abelian, let  $L$  be a cyclic group inducing power automorphism on  $H$  such that  $\pi(L) = \pi(L/C_L(H))$  and let  $1 \neq J$  be an abelian group admitting  $L$  as a group of automorphisms such that the action of  $L$  on  $O_p(J)$  avoids  $L_9$  for every  $p \in \pi(J)$  and such that  $(|H|, |J|) = 1$ .

Let  $\pi := \{q \in \pi(L) \mid C_{O_q(L)}(H) < C_{O_q(L)}(J)\}$ . Suppose that  $C_H(C_L(J)) = 1$  and, for all  $g \in (H \times J)^\#$ , suppose that  $g$  centralizes neither  $O_\pi(L)$  nor  $O_{\pi'}(L)$ .

Then  $(H \times J) \rtimes L$  is not  $L_9$ -free.

*Proof.* We first set  $L_1 := O_\pi(L)$  and  $L_2 := O_{\pi'}(L)$ . Since none of the groups  $L_1$  nor  $L_2$  is centralized by any element of  $HJ \setminus \{1\} \neq \emptyset$ , it follows that  $L_1$  and  $L_2$  are both non-trivial.

For every odd prime  $p \in \pi(H)$  there is an elementary abelian subgroup  $H_p$  of  $H$  that has order  $p^2$ . In particular there are elements  $a_p$  and  $b_p$  of  $H$  such that  $H_p = \langle a_p \rangle \times \langle b_p \rangle$ .

We set  $a := \prod_{p \in \pi(H)} a_p$  and  $b := \prod_{p \in \pi(H)} b_p$ .

These elements are well-defined (in the sense that the ordering of the primes does not matter) because  $H$  is abelian. For every  $p \in \pi(J)$ , we further see that  $L$  acts on  $O_p(J)$  avoiding  $L_9$ . Since  $J$  is abelian, we deduce from Lemma 5.3(b) that  $[O_p(J), L]$  is elementary abelian. In addition  $L$  acts irreducibly on  $[O_p(J), L]$  or it induces power automorphisms on  $O_p(L)$ , by Lemma 5.4. We choose  $x_p \in [O_p(J), L]^\#$ . Then  $L$  acts irreducibly on  $P := \langle x_p^L \rangle$ . Next we choose  $l \in L$  such that  $L = \langle l \rangle$ . Then  $1 \neq x_p^l \in P \leq HJ$  and  $1 \neq [l, x_p] \in P \leq HJ$ . Thus our hypothesis implies that  $1 \neq [[l, x_p], L_1] \leq P$  and  $1 \neq [x_p^l, L_2] \leq P$ . Altogether we have that  $P = \langle x_p^L \rangle = \langle (x_p^l)^L \rangle = \langle [[l, x_p], L_1]^L \rangle = \langle [x_p^l, L_2]^L \rangle$ . Moreover, Lemma 5.5 yields that  $C_L(x_p) = C_L(P) = C_L(O_p(J))$ .

For every  $p \in \pi(L)$  we choose  $1 \neq x_p \in [O_p(J), L]$ , and then we set  $x := \prod_{p \in \pi(J)} x_p$ .

Since  $J$  is abelian, it follows that  $C_L(J) = \bigcap_{p \in \pi(J)} C_L(O_p(J)) = \bigcap_{p \in \pi(J)} C_L(x_p) = C_L(x)$ . Next we set  $y := x^l$ . Then our previous arguments show that  $J_0 := \langle x^L \rangle = \langle y^L \rangle = \langle [[l, x], L_1]^L \rangle = \langle [y, L_2]^L \rangle (*)$ .

We will construct a subgroup lattice  $L_9$  using Lemma 3.5. For this we set  $E := C_L(HJ) \leq HJL$  and  $D := C_L(J)$ .

For every  $q \in \pi'$  we have  $C_{O_q(L)}(H) \geq C_{O_q(L)}(J)$  and so  $C_{O_q(L)}(J) \leq E$ . This implies that  $C_{L_2}(J) = C_{L_2}(HJ) \leq E (**)$  and that  $D = C_L(J) = C_{L_1}(J)$ . We conclude that  $C_{L_1}(x)E = (L_1 \cap C_L(x))E = (L_1 \cap C_L(J))E = C_{L_1}(J)E = DE = D$ .

If  $q \in \pi = \pi(L_1)$ , then  $C_{O_q(L)}(H) < C_{O_q(L)}(J) \leq C_{L_1}(x)$  and therefore  $C_{L_1}(H) = C_{L_1}(HJ) \leq E (***)$ . Then it follows that  $E \cap L_1 = C_{L_1}(HJ) = C_{L_1}(H) < C_{L_1}(x) \leq D \cap L_1$ . In particular  $E \neq D$  and hence (L9(i)) of Lemma 3.5 holds.

Next we set  $A := \langle a \rangle L_1^x E$ ,  $S := L_1^{ax} E$  and  $T := L_1^{a^{-1}x} E$ . Then we have that  $A$  contains the subgroups  $S, T$  and  $D (= C_{L_1}(x)E)$ . In addition, if  $c \in \{a, a^{-1}, a^2\}$ , then we see that  $\langle c \rangle = \langle a \rangle$ , because  $o(a)$  is odd by construction. Since  $C_{\langle a \rangle}(D) \leq C_H(D) = C_H(C_L(J)) = 1$ , it follows that  $\langle a \rangle = \langle c \rangle = [c, D] \times C_{\langle a \rangle}(D) = [c, D]$ , by Lemma 1.1. The group  $L_1$  induces power automorphisms on  $H$ , which means that it normalizes  $[c, D]$ . Together with Part (d) of Lemma 1.4 we conclude that

$$\langle D, L_1^c E \rangle = \langle [c, D]^{L_1^c E} \rangle L_1^c E = [c, D] L_1^c E = \langle c \rangle L_1 E = A^{x^{-1}}.$$

In particular, since  $D$  centralizes  $x$ , it follows that  $\langle D, T \rangle = \langle D, S \rangle = A$ . Moreover, we have that  $A \geq \langle T, S \rangle = \langle L_1, L_1^{a^2} E \rangle^{a^{-1}x} \geq \langle D, L_1^{a^2} E \rangle^{a^{-1}x} = (A^{x^{-1}})^{a^{-1}x} = A$  and therefore we conclude that  $\langle S, T \rangle = A$  as well. Next Lemma 5.5 gives that  $C_{L_1}(a) = C_{L_1}(H) \leq E$  by (\*\*). Furthermore  $L_1^c$  is a  $\pi$ -group for all  $c \in \{a, a^{-1}, a^2\}$ , whence

$$L_1 E \cap L_1^c \leq O_\pi(L_1 E) \cap L_1^c = L_1 \cap L_1^c = C_{L_1}(c) = C_{L_1}(a) \leq E$$

by Part (b) of Lemma 1.4. Altogether Dedekind's modular law gives that  $L_1 E \cap L_1^c E = (L_1 E \cap L_1^c) E \leq E$  for all  $c \in \{a, a^{-1}, a^2\}$ . We conclude that  $T \cap S = L_1^{ax} E \cap L_1^{a^{-1}x} E \leq E^x = E$ , that  $D \cap T \leq (L_1 E \cap L_1^{a^{-1}x} E)^x \leq E^x = E$  and that  $D \cap S \leq (L_1 E \cap L_1^a E)^x \leq E$ . With all these properties, we see that (L9(ii)) of Lemma 3.5 is true.

Now we set  $C := \langle b \rangle D L_2$  and  $U := \langle b \rangle L_2 E$ . Then  $C = \langle D, U \rangle$  and  $D \cap U = C_L(J) \cap \langle b \rangle L_2 E = (C_L(J) \cap \langle b \rangle L_2) E = C_{L_2}(J) E$  by Dedekind's modular law and by Part (b) of Lemma 1.4. Hence (\*\*\*) implies that (L9(iii)) of Lemma 3.5 holds.

Let  $c \in \{a, a^{-1}\}$  and let  $X := \langle L_1^{cx}, L_2 \rangle$ . Then  $X$  contains a  $\pi$ -Hall subgroup as well as a  $\pi(L_2)$ -Hall subgroup of  $HJL$ . Since  $HJL$  is soluble, there is a  $\pi(L)$ -Hall subgroup  $K$  of  $X$  such that  $L_2 \leq K$  and some  $g \in HJ$  such that  $L^g = K$ . It follows that  $L_2 \leq K \cap L = L^g \cap L = C_L(g)$  by Lemma 1.4(b). The hypothesis of our lemma yields that  $g = 1$  and therefore  $L = K \leq X$ . From there we obtain some  $h \in X$  such that  $L_1^h = L_1^{cx}$  and hence  $L_1 = L_1 \cap L_1^{hx^{-1}c^{-1}} \leq C_L(hx^{-1}c^{-1})$  by Lemma 1.4(b). This forces  $cx = h \in X$ , and then  $c, x \in X$ , because  $H$  and  $J$  have coprime order and centralize each other. Altogether  $\langle c \rangle = \langle a \rangle$  and  $\langle x^L \rangle = J_0$  are subgroups of  $X$ , and we conclude that  $X = \langle a \rangle J_0 L$ . Thus

$$\langle U, T \rangle = \langle L_1^{a^{-1}x}, \langle b \rangle L_2 E \rangle = \langle b \rangle \langle L_1^{a^{-1}x}, L_2, E \rangle = \langle b \rangle X E = \langle a, b \rangle J_0 L = \langle b \rangle \langle L_1^{ax}, L_2, E \rangle = \langle U, L \rangle.$$



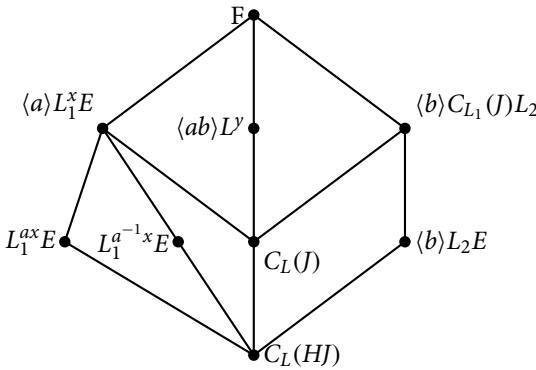
We set  $F := \langle a, b \rangle_{J_0} L$  in order to obtain Part (L9(iv)) of Lemma 3.5. Moreover, Dedekind's law and Part (a) of Lemma 1.4 gives that

$$\begin{aligned} A \cap C &= \langle a \rangle L_1^x E \cap \langle b \rangle DL_2 = (\langle a \rangle L_1^x E \cap \langle b \rangle L_2) D \\ &= (\langle a \rangle (L_1 E \cap L_2)^x \cap \langle b \rangle (L_1 E \cap L_2)) D = (\langle a \rangle C_{L_2}(HJ)^x \cap \langle b \rangle C_{L_2}(HJ)) D \\ &= (\langle a \rangle C_{L_2}(HJ) \cap \langle b \rangle C_{L_2}(HJ)) D = (\langle a \rangle \cap \langle b \rangle C_{L_2}(HJ)) C_{L_2}(HJ) D = C_{L_2}(HJ) D = D. \end{aligned}$$

We set  $B := \langle ab \rangle L^y$ . Then

$$A \cap B = (\langle a \rangle L_1 E \cap \langle ab \rangle L^{yx^{-1}})^x \leq (\langle a \rangle (L_1 E \cap HL^{yx^{-1}}))^x \leq (\langle a \rangle C_{L_1 E}(yx^{-1}))^x \leq (\langle a \rangle C_L(J))^x = \langle a \rangle D$$

by Lemma 1.4(b), because  $\langle (yx^{-1})^L \rangle \cap H \leq J \cap H = 1$ .



In a similar way we obtain that  $A \cap B \leq \langle ab \rangle D$  and therefore  $D \leq A \cap B \leq \langle a \rangle D \cap \langle ab \rangle D = (\langle a \rangle \cap \langle ab \rangle D) D = D$ .

We further calculate that

$$B \cap C = \langle ab \rangle L^y \cap \langle b \rangle DL_2 \leq \langle ab \rangle (L^y \cap HDL_2) \leq \langle ab \rangle C_{DL_2}(y) \leq \langle ab \rangle C_L(J) = \langle ab \rangle D$$

and similarly  $B \cap C \leq \langle b \rangle D$ . Therefore  $D \leq B \cap C \leq \langle ab \rangle D \cap \langle b \rangle D = (\langle ab \rangle \cap \langle b \rangle D) D = D$ .

Finally (\*) and Part (d) of Lemma 1.4 yield that

$$\begin{aligned} \langle A, B \rangle &= \langle \langle a \rangle L_1^x E, \langle ab \rangle L^y \rangle = \langle a, b \rangle \langle L_1^x E, L^y \rangle = \langle a, b \rangle \langle [yx^{-1}, L_1]^L \rangle L = \langle a, b \rangle \langle [[l, x], L_1]^L \rangle L \\ &= \langle a, b \rangle_{J_0} L = F = \langle a, b \rangle \langle [y, L_2]^L \rangle L = \langle a, b \rangle \langle L^y, DL_2 \rangle = \langle \langle a \rangle L^y, \langle b \rangle DL_2 \rangle = \langle B, C \rangle. \end{aligned}$$

Altogether  $\{A, B, C, D, E, F, S, T, U\}$  satisfies every condition of Lemma 3.5, which means that it is isomorphic to  $L_9$ . □

The previous lemma and Lemma 4.12 motivate the following definition:

**Definition 6.4.** Here we define a class  $\mathfrak{L}$  of finite groups, and each group in  $\mathfrak{L}$  has a type.

We say that  $G \in \mathfrak{L}$  has type  $(N, K)$  if and only if the following hold:

- (E1)  $G = N \rtimes K$ , where  $N$  is a normal nilpotent Hall subgroup of  $G$  with modular Sylow subgroups and  $K$  is a batten group.
- (E2) If  $p \in \pi(N)$ , then every batten of  $K$  centralizes  $O_p(N)$  or it acts on it avoiding  $L_9$ .
- (E3) For all Sylow subgroups  $Q$  of  $K$  and all distinct Sylow subgroups  $P$  and  $R$  of  $N$  that are not centralized by  $Q$ , we have that  $C_Q(P) \neq C_Q(R)$ .
- (E4) Suppose that  $H \leq N$  is abelian, that its nontrivial Sylow subgroups are not cyclic and that  $L \leq \text{Pot}_K(H)$  is cyclic and such that  $\pi(L) = \pi(L/C_L(H))$ . Let  $1 \neq J \leq N$  be  $L$ -invariant and abelian, suppose that  $(|H|, |J|) = 1$ ,  $[H, J] = 1$  and  $C_H(C_L(J)) = 1$ , and set  $\pi := \{q \in \pi(L) \mid C_{O_q(L)}(H) < C_{O_q(L)}(J)\}$ .

Then there is some  $g \in (HJ)^\#$  that centralizes  $O_\pi(L)$  or  $O_{\pi'}(L)$ .

**Theorem 6.5.** *Let  $G$  be a finite  $L_9$ -free group. Then  $G \in \mathfrak{L}$ .*

*Proof.* From Lemma 6.1 we see that  $G = NK$  and that (E1) and (E2) are satisfied.

For (E3) we let  $Q$  be a Sylow subgroup of  $K$  and we let  $P$  and  $R$  be distinct Sylow subgroups of  $N$  that are not centralized by  $Q$ . Since  $N$  is a nilpotent normal Hall subgroup of  $G$ , it follows that  $[P, R] = 1$  and that  $Q$  normalizes  $P$  and  $R$ . Then  $(P \times R)Q$  is directly indecomposable and  $L_9$ -free, whence Corollary 4.13 gives that  $C_Q(P) \neq C_Q(R)$ .

Finally, we look at (E4) and we assume that it is not true. Then there is an abelian subgroup  $H$  of  $N$  such that the nontrivial Sylow subgroups are not cyclic, and we find a cyclic group  $L \leq \text{Pot}_K(H)$  such that  $\pi(L) = \pi(L/C_L(H))$  and a nontrivial  $L$ -invariant abelian subgroup  $J$  of  $N$  such that  $(|H|, |J|) = 1$ ,  $[H, J] = 1$  and  $C_H(C_L(J)) = 1$ . Let  $\pi := \{q \in \pi(L) \mid C_{O_q(L)}(H) < C_{O_q(L)}(J)\}$ . Then we have, for all  $g \in (HJ)^\#$ , that  $g$  centralizes neither  $O_\pi(L)$  nor  $O_{\pi'}(L)$  for  $\pi := \{q \in \pi(L) \mid C_{O_q(L)}(H) < C_{O_q(L)}(J)\}$ .

We note that  $P$  does not centralize  $O_\pi(L) \leq L$ . Then we find a prime  $q \in \pi(L)$  such that a Sylow  $q$ -subgroup  $Q$  of  $L$  does not centralize  $P$ . Using Lemma 5.2, we see that  $Q$  acts on  $O_p(N)$  avoiding  $L_9$  and then Lemma 5.7 yields that  $Q$  acts non-trivially on  $P$ , and avoiding  $L_9$ . Now we may apply Corollary 5.6: Since  $L$  induces power automorphisms on  $P$ , Part (c) shows that  $P$  is elementary abelian. Then the hypotheses of Lemma 6.3 are satisfied. It says that  $(H \times J) \rtimes L$  is not  $L_9$ -free, which is false. We conclude that (E4) holds.  $\square$

## 7. The class $\mathfrak{L}$

**Lemma 7.1.** *All groups in the class  $\mathfrak{L}$  are soluble.*

*Proof.* Let  $G \in \mathfrak{L}$  be of type  $(N, K)$ . Then  $N$  is nilpotent normal Hall subgroup of  $G$  and  $G/N \cong K$  is a direct product of  $p$ -groups or of groups whose order is divisible by exactly two primes. Thus  $G/N$  is soluble as well, and it follows that  $G$  is soluble.  $\square$

**Lemma 7.2.** *Let  $G \in \mathfrak{L}$  be of type  $(N, K)$  and  $\pi := \pi([N, K])$ . Then every subgroup of  $O_\pi(N)$  is normal in  $N$ .*

*Proof.* Let  $U$  be a subgroup of  $O_\pi(N)$ . Then  $U \trianglelefteq N$  if and only if  $O_p(U) \trianglelefteq O_p(N)$  for all  $p \in \pi$ , since  $N$  is nilpotent. Let  $p \in \pi$ . We note that this implies that  $O_p(N)$  is not centralized by  $K$ . In particular there is a batten of  $K$  that acts non-trivially on  $O_p(N)$  and avoiding  $L_9$ . If  $O_p(N)$  is abelian, then  $O_p(U) \trianglelefteq O_p(N)$ . If  $O_p(N)$  is not abelian, then we apply Lemma 4.17 (b) to a batten  $B$  of  $K$  that acts non-trivially on  $O_p(N)$ . The first possibility described there implies that  $O_p(N)$  is abelian, which is not the case here. Thus the second possibility holds, and then  $O_p(N) \cong Q_8 \times I$ , where  $I$  is cyclic of order at most 2. We conclude that  $O_p(N)$  is hamiltonian and it follows that  $O_p(U) \trianglelefteq O_p(N)$ .  $\square$

**Lemma 7.3.** *Let  $G \in \mathfrak{L}$  be of type  $(N, K)$  and  $X \leq G$ . Then there is some  $x \in [N, K]$  such that  $X = (N \cap X)(K^x \cap X)$ .*

*Proof.* We set  $M := N \cap X$ . Then  $M$  is a normal Hall subgroup of  $X$ , because  $N$  is one of  $G$ . Then the Schur-Zassenhaus Theorem provides a complement  $C$  of  $M$  in  $X$ , and we notice that  $C$  and  $M$  have coprime orders. Therefore  $\pi(C) = \pi(X) \setminus \pi(M) \subseteq \pi(G) \setminus \pi(N) = \pi(K)$ . It follows that  $C$  is contained in a complement for  $N$  in  $G$ . Since  $G$  is soluble by Lemma 7.1, such a complement is conjugate to  $K$ , and thus we find  $g \in G$  such that  $C \leq K^g$ . The coprime action of  $K$  on  $N$  yields, together with Lemma 1.1, that  $N = C_N(K)[N, K]$ , and therefore  $G = KN = KC_N(K)[N, K]$ . We notice that  $[N, K] \trianglelefteq G$  and we let  $x \in [N, K]$  and  $y \in KC_N(K) \leq N_G(K)$  be such that  $g = yx$ . Then  $C = K^g \cap X = K^x \cap X$  and hence  $X = MC = (N \cap X)(K^x \cap X)$ .  $\square$

**Lemma 7.4.** *Let  $G \in \mathfrak{E}$  be of type  $(N, K)$  and suppose that  $U \leq G$ . Then  $U$  is a group in class  $\mathfrak{E}$  of type  $(U \cap N, U \cap K^g)$  for some  $g \in [N, K]$ .*

*Proof.* Lemma 7.3 provides some  $g \in [N, K]$  such that  $U = (U \cap N) \cdot (U \cap K^g)$ . By conjugation we may suppose that  $g = 1$  and we set  $K_1 := U \cap K$ . Then Lemma 2.7 yields that  $K_1 = U \cap K^g \leq K^g \cong K$  is a batten group. Moreover,  $M := U \cap N \leq N$  is a normal nilpotent Hall subgroup of  $U$  with modular Sylow subgroups, by  $(\mathfrak{E}1)$ . This means that  $(\mathfrak{E}1)$  holds for  $U$ , and now we turn to  $(\mathfrak{E}2)$  and let  $p \in \pi(M)$ . Suppose that  $B$  is a batten of  $K_1$  that does not centralize  $O_p(M)$ . Then it does not centralize  $O_p(N)$  and therefore Lemma 5.2 implies that  $B \cong B/1$  acts on  $O_p(N)$  avoiding  $L_9$ . Then we may apply Lemma 5.7 to see that  $B$  also acts on  $O_p(M)/1 \cong O_p(M)$  avoiding  $L_9$ .

This gives property  $(\mathfrak{E}2)$  of Definition 6.4 for  $U$ , and  $(\mathfrak{E}4)$  follows because  $M \leq N$  and  $K_1 \leq K$ .

For  $(\mathfrak{E}3)$  we let  $Q_1$  be a Sylow subgroup of  $K_1$  and we let  $p, r \in \pi(M)$  be different primes such that  $[O_p(M), Q_1] \neq 1 \neq [O_r(M), Q_1]$ . We need to prove that  $C_{Q_1}(O_p(M)) \neq C_{Q_1}(O_r(M))$ .

First we let  $Q$  be a Sylow subgroup of  $K$  that contains  $Q_1$ . Then  $[O_p(N), Q] \neq 1 \neq [O_r(N), Q]$  and therefore  $C_Q(O_p(N)) \neq C_Q(O_r(N))$ , using Property  $(\mathfrak{E}3)$  for  $G$ . In particular, these centralizers cannot both be trivial, and we may suppose that  $C_Q(O_p(N)) \neq 1$ . Then  $Q$  does not act faithfully on  $O_p(N)$ , but the action of  $Q$  on  $O_p(N)$  avoids  $L_9$ . Definition 4.8 immediately gives that  $Q \not\cong Q_8$ . Then it follows that  $Q$  is cyclic, which means that the subgroup lattice  $L(Q)$  of  $Q$  is a chain, and  $Q_1$  is also cyclic.

We assume for a contradiction that  $C_{Q_1}(O_p(M)) = C_{Q_1}(O_r(M))$ . Then Lemma 5.5, with  $Q_1$  in the role of  $H$ ,  $O_p(M)$  in the role of  $R$  and  $O_p(N)$  in the role of  $P$ , gives that  $C_{Q_1}(O_p(M)) = C_{Q_1}(O_p(N))$ . Similarly  $C_{Q_1}(O_r(M)) = C_{Q_1}(O_r(N))$ , and then it follows that  $C_{Q_1}(O_p(N)) = C_{Q_1}(O_r(N))$ . We recall that  $C_Q(O_p(N)) \neq C_Q(O_r(N))$  and that  $Q$  is cyclic, and now we may suppose that  $C_Q(O_p(N)) \leq C_Q(O_r(N))$ . This forces  $C_{Q_1}(O_p(N)) \leq C_{Q_1}(O_r(N))$ , which is impossible. Thus  $C_{Q_1}(O_p(M)) \neq C_{Q_1}(O_r(M))$  and  $(\mathfrak{E}3)$  holds for  $U$  as well.  $\square$

**Lemma 7.5.** *Let  $G \in \mathfrak{E}$  be of type  $(N, K)$  and suppose that  $S$  is a normal Sylow  $q$ -subgroup of  $K$  that centralizes  $N$  for some prime  $q$ . Let  $K_1$  be a Hall  $q'$ -subgroup of  $K$ .*

*Then  $G$  is also of type  $(N \times S, K_1)$ . In particular, if we choose  $(N, K)$  such that  $|N|$  is as large as possible, then  $\pi(K) = \pi(K/C_K(N))$ .*

*Proof.* We show that  $G = (N \times S)K_1$  satisfies  $(\mathfrak{E}1)$ – $(\mathfrak{E}4)$  of Definition 6.4, and we first note that  $K_1$  is a batten group by Lemma 2.7. The structure of  $K$  forces all Sylow subgroups of  $K$  to be cyclic or quaternion, more specifically  $S$  is cyclic or isomorphic to  $Q_8$ . This means that  $S$  is modular. Since  $S$  is a normal Sylow  $q$ -subgroup of  $K$  and  $N$  is a Hall subgroup of  $G$ , by hypothesis, it follows that  $N \times S$  is a Hall subgroup of  $G$  where all Sylow subgroups are modular.

By hypothesis  $[N, S] = 1$  and  $N$  is nilpotent, hence  $N \times S$  is nilpotent, too. This is  $(\mathfrak{E}1)$ .

For  $(\mathfrak{E}2)$  we let  $B$  be a batten of  $K_1$  and  $p \in \pi(N \times S)$ . We keep in mind that  $B$  is not necessarily a batten of  $K$  – if it is, then it centralizes  $O_p(N \times S)$  or it acts on it avoiding  $L_9$ , because of  $(\mathfrak{E}2)$  for  $G$ .

Now we suppose that  $B$  is not a batten of  $K$  and that  $[O_p(N \times S), B] \neq 1$ . Then  $SB$  is a non-nilpotent batten of  $K$ . If  $p \neq q$ , then  $SB$  acts on  $O_p(N)$  avoiding  $L_9$ , and  $[O_p(N), S] = 1$ . Then Definition 4.16 implies that  $SB$  acts of type  $(Cy)$  and it follows that  $B$  acts on  $O_p(N)$  avoiding  $L_9$ . Finally suppose that  $q = p$ . Then  $\Phi(B)$  centralizes  $S = O_p(NS)$ , while  $B$  induces power automorphisms on the cyclic group  $S$  of order  $p$ . Thus  $SB$  satisfies  $(std)$  of Definition 4.6, and we deduce that  $B$  acts on  $S$  avoiding  $L_9$ .

We turn to  $(\mathfrak{E}3)$ . Let  $Q$  be a Sylow subgroup of  $K_1$  and let  $P$  and  $R$  be distinct Sylow subgroups of  $N \times S$  that are not centralized by  $Q$ . First we note that  $Q$  is a Sylow subgroup of  $K$  because  $K_1$  is a Hall subgroup of  $K$  by hypothesis. Therefore, if  $PR \leq N$ , then we immediately have that  $C_Q(R) \neq C_Q(P)$ , by  $(\mathfrak{E}3)$  in  $G$ .

Without loss suppose that  $R \not\leq N$ , i.e.  $R = S$ . Then we recall that  $Q$  was chosen not to centralize  $P$  and  $R = S$ , which means that  $Q$  and  $S$  cannot come from distinct battens of  $K$ , but their product must be a non-nilpotent batten of  $K$ . Moreover,  $[P, QS] \neq 1$ . We obtain from Lemma 2.5 and Definition 4.16 that  $\Phi(Q) = Z(Q) = C_Q(S)$  does not centralize  $P$  and therefore  $C_Q(R) \neq C_Q(P)$ . This is  $(\mathfrak{E}3)$ .

Finally, let  $H \leq N \times S$  be such that its nontrivial Sylow subgroups are not cyclic, let  $L \leq \text{Pot}_{K_1}(H)$  be cyclic and such that  $\pi(L) = \pi(L/C_L(H))$  and let  $1 \neq J$  be an  $L$ -invariant abelian subgroup of  $M$  such that  $(|H|, |J|) = 1$ ,  $[H, J] = 1$  and  $C_H(C_L(J)) = 1$ .

As  $K$  is a batten group, the set  $\pi(K/C_K(S))$  contains at most one element. We recall that  $L \leq K_1 \leq K$ , and then it follows that, for every set of primes  $\pi$ , the group  $S$  centralizes  $O_\pi(L)$  or  $O_{\pi'}(L)$ . In order to prove (ℓ4) of [Definition 6.4](#), we may thus suppose that  $H$  and  $J$  are subgroups of  $N$ .

Then  $L \leq K_1 \leq K$  shows that  $L \leq \text{Pot}_K(H)$ . Hence we apply (ℓ4) of [Definition 6.4](#) to  $G$ , i.e. to the type  $(N, K)$ . If  $\pi := \{q \in \pi(L) \mid C_{O_q(L)}(H) < C_{O_q(L)}(J)\}$ , then we find some  $g \in (H)^\#$  that centralizes  $O_\pi(L)$  or  $O_{\pi'}(L)$ .

Altogether,  $G = (N \times S)K_1$  satisfies [Definition 6.4](#).

We now suppose that  $|N|$  is as large as possible and we assume for a contradiction that  $S \leq C_K(N)$  is a Sylow subgroup of  $K$ . Then  $S$  is not normal in  $K$ , hence there is a non-nilpotent batten  $B$  of  $K$  such that  $B = \mathcal{B}(B)S$ . For all  $p \in \pi(N)$  it follows that  $[O_p(N), Z(B)] \leq [O_p(N), S] = 1$ , by [Lemma 2.5](#). Then [Definition 4.16](#) yields that  $B$  does not act on  $O_p(N)$  avoiding  $L_9$ , whence  $B$  centralizes  $O_p(N)$ . But now  $\mathcal{B}(B) \leq C_K(N)$  and  $\mathcal{B}(B)$  is a normal Sylow subgroup of  $K$ . This contradicts the maximal choice of  $N$ .  $\square$

**Lemma 7.6.** *Let  $G \in \mathfrak{L}$  and suppose that  $M \trianglelefteq G$ . Then  $G/M \in \mathfrak{L}$ .*

*Proof.* By induction we may suppose that  $M$  is a minimal normal subgroup of  $G$ . We recall that  $G$  is soluble by [Lemma 7.1](#), and we let  $r$  be prime such that  $M$  is an elementary abelian  $r$ -group. If  $M$  has a complement  $C$  in  $G$ , then  $G/M \cong C$  and [Lemma 7.4](#) gives that  $C \in \mathfrak{L}$  and hence  $G/M \in \mathfrak{L}$ .

Consequently we may suppose that  $M$  does not have a complement in  $G$ . We choose  $N, K \leq G$  such that  $G$  has type  $(N, K)$  and such that  $|N|$  is as large as possible. Then  $\pi(K) = \pi(K/C_K(N))$  by [Lemma 7.5](#).

First suppose that  $r \in \pi(K)$ . Then  $M \leq K$  and we see that  $[N, M] \leq N \cap M \leq N \cap K = 1$ , because  $N$  is a Hall subgroup of  $G$ . Hence  $M \leq C_K(N)$ . Next we let  $B$  be a batten of  $K$  that contains  $M$ . Then  $M \leq C_B(N)$ , which means that for all  $p \in \pi(N)$ ,  $B$  does not act faithfully on  $O_p(N)$ .

Since there is some  $p \in \pi(N)$  such that  $B$  acts on  $O_p(N)$  avoiding  $L_9$ , it follows from [Definition 4.8](#) that  $B$  is not isomorphic to  $Q_8$ . In addition  $M \leq Z(B)$ , if  $B$  is not nilpotent, by [Definition 5.1](#). Therefore, in this case, the section  $B/M$  is a non-nilpotent batten as well. We conclude that  $K/M$  is a batten group.

Assume for a contradiction that  $r \in \pi(N)$ , but that  $M \not\leq C_N(K)$ . Then we note that  $C_M(K) \trianglelefteq G$  by [Lemma 7.2](#), and we deduce that  $C_M(K) = 1$ , because  $M$  is a minimal normal subgroup of  $G$ . This forces  $M \leq [O_r(N), K]$ . Then  $[O_r(N), K]$  is not elementary abelian, because otherwise  $M$  would have a complement in this commutator and hence in  $G$ . But we are working under the hypothesis that it does not.

Now [Lemmas 5.4](#) and [4.17\(b\)](#) imply that  $M \leq [O_r(N), K] \cong Q_8$ . But now  $Z([O_r(N), K])$  is the unique subgroup of order 2 of  $[O_r(N), K]$ , which means that it must be centralized by  $K$  and contained in  $M$ . But this is a contradiction. Thus  $M \leq C_N(K)$  and [Corollary 5.6](#) implies that  $p = 2$ . We summarise that  $M \leq C_K(N)$  and that  $K/M$  is a batten group or  $M \leq C_N(K)$  and  $p = 2$ .

Let  $- : G \rightarrow G/M$  be the natural homomorphism. Then  $\bar{G} = \bar{N} \cdot \bar{K}$ , where  $\bar{N}$  is a normal nilpotent Hall subgroup of  $G$  with modular Sylow subgroups, since sections of modular  $p$ -subgroups are modular. Moreover  $\bar{K}$  is a batten group. Thus  $\bar{G}$  satisfies (ℓ1) of [Definition 6.4](#). We further deduce (ℓ2) from [Lemmas 5.2](#) and [5.7](#).

For (ℓ3) we let  $Q$  be a Sylow subgroup of  $K$  and we let  $p, s \in \pi(\bar{N})$  be distinct primes such that  $[O_s(\bar{N}), \bar{Q}] \neq [O_p(\bar{N}), \bar{Q}]$ . Then  $[O_s(N), Q] \neq [O_p(N), Q]$  and therefore  $C_Q(O_s(N)) \neq C_Q(O_p(N))$ . Since  $M \leq C_N(Q)$  or  $M \leq C_K(O_s(N)) \cap C_K(O_p(N))$ , it follows that  $C_{\bar{Q}}(O_s(\bar{N})) \neq C_{\bar{Q}}(O_p(\bar{N}))$ .

We finally let  $1 \neq \bar{H} \leq \bar{N}$  be abelian with non-cyclic Sylow subgroups and  $\bar{L} \leq \text{Pot}_{\bar{K}}(\bar{H})$  be cyclic with  $\pi(\bar{L}) = \pi(\bar{L}/C_{\bar{L}}(\bar{H}))$  and we let  $\bar{J}$  be an abelian  $\bar{L}$ -invariant subgroup of  $\bar{N}$  such that  $(|\bar{H}|, |\bar{J}|) = 1$  and  $C_{\bar{H}}(C_{\bar{L}}(\bar{J})) = 1$ . We set  $\bar{\pi} := \{q \in \pi(\bar{L}) \mid \forall \bar{Q} \in \text{Syl}_q(\bar{L}) : C_{\bar{Q}}(\bar{H}) < C_{\bar{Q}}(\bar{J})\}$ .

Then we assume for a contradiction that every nontrivial element  $\bar{g} \in \bar{H}\bar{J}$  centralizes neither  $O_\pi(\bar{L})$  nor  $O_{\pi'}(\bar{L})$ . Then Lemma 1.1 yields that  $[\bar{H}\bar{J}, \bar{L}] = \bar{H}\bar{J}$ . We choose pre-images  $H, L$  and  $J$  in  $G$  of smallest possible order. Then  $HJ = [HJ, L]$  and  $\pi(\bar{X}) = \pi(X)$  for all  $X \in \{H, L, J\}$ , because  $G$  is soluble by Lemma 7.1. In particular we have that  $(|H|, |J|) = 1$ .

If  $r \in \pi(X)$  for some  $X \in \{H, J\}$ , then  $r = 2$ . Then our assumption implies that  $C_{O_2(X)}(L) \leq M$ . It follows that  $X \cong \bar{X}$  or that  $M \leq \Phi(X) = \Phi([X, L])$ . In the second case, we apply Lemmas 5.4 and 4.17. Together they show that  $[O_2(N), K] = [O_2(N), L] \cong Q_8$  and thus  $\pi(L/C_{O_2(N)}(L)) = \{3\}$ . This means that  $O_2(N)$  centralizes  $O_\pi(L)$  or  $O_{\pi'}(L)$ . But then we also have that  $[O_2(\bar{X}), O_\sigma(\bar{L})] = 1$  for some  $\sigma \in \{\pi, \pi'\}$ , which is a contradiction. We deduce that  $\bar{H} \cong H$  and  $\bar{J} \cong J$ . In particular  $H \leq N$  is abelian, with non-cyclic Sylow subgroups, and  $J \neq 1$  is an abelian  $L$ -invariant subgroup of  $N$ . Since  $\bar{L}$  is cyclic, it follows from our arguments above that  $L$  is also cyclic. Moreover  $\pi(L) = \pi(\bar{L}) = \pi(\bar{L}/C_{\bar{L}}(\bar{H})) = \pi(L/C_L(H))$ , because  $M \leq C_K(N)$  or  $M \cap L = 1$ .

We now investigate the action of  $L$  on  $H$ . Since  $\bar{H} \cong H$  and  $M \cap L = 1$  or  $M \leq C_L(H)$ , we see that  $L$  induces power automorphisms on  $H$ . In addition Lemma 1.1 shows that

$$\overline{C_H(C_L(J))} \cong C_{\bar{H}}(\overline{C_L(J)}) \cong C_{\bar{H}}(C_{\bar{L}}(\bar{J})) = 1$$

and then the fact that  $H \cap M = 1$  yields that  $C_H(C_L(J)) = 1$ . Altogether we obtain, by applying (E4) to  $G$ , some  $g \in HJ^\#$  such that  $g$  centralizes  $O_\pi(L)$  or  $O_{\pi'}(L)$ , where  $\pi := \{q \in \pi(L) \mid \forall Q \in \text{Syl}_q(L) : C_Q(H) < C_Q(J)\}$ . Since  $\bar{H}\bar{J} \cong HJ$ , it follows that  $\bar{g} \neq 1$  and  $[O_\pi(\bar{L}), \bar{g}] = 1$  or  $[O_{\pi'}(\bar{L}), \bar{g}] = 1$ . Again we use that  $\bar{L}$  acts on  $\bar{H} \cong H$  and  $\bar{J} \cong L$  equivalently to  $L$ , because  $M \cap L \leq C_L(N)$ . Then we see that

$$\bar{\pi} := \{q \in \pi(\bar{L}) \mid \forall \bar{Q} \in \text{Syl}_q(\bar{L}) : C_{\bar{Q}}(\bar{H}) < C_{\bar{Q}}(\bar{J})\} = \pi.$$

This is a contradiction. □

**Lemma 7.7.** *Let  $G \in \mathfrak{E}$  be of type  $(N, K)$  such that  $C_K(N) = 1$ , let  $q \in \pi(K)$  and let  $Q \in \text{Syl}_q(K)$ . Then  $1 \neq [N, \Omega_1(Q)]$  has prime power order.*

*Proof.* We apply Lemma 7.4 and we see that  $N\Omega_1(Q) \in \mathfrak{E}$  has type  $(N, \Omega_1(Q))$ . Since  $\Omega_1(Q)$  does not centralize  $N$ , there is a prime  $p \in \pi(N)$  such that  $[O_p(N), \Omega_1(Q)] \neq 1$ . It follows that  $C_{\Omega_1(Q)}(O_p(N)) = 1$ . Now (E3) implies that  $\Omega_1(Q)$  centralizes  $O_r(N)$  for every  $r \in \pi(N) \setminus \{p\}$ , and this shows that  $1 \neq [N, \Omega_1(Q)] \leq [O_p(N), \Omega_1(Q)] \leq O_p(N)$ . □

**Lemma 7.8.** *Let  $G \in \mathfrak{E}$  be of type  $(N, K)$  such that  $C_K(N) = 1$ , let  $q \in \pi(K)$  and let  $Q \in \text{Syl}_q(K)$ . Let  $p \in \pi(N)$  be such that  $\Omega_1(Q)$  does not centralize  $P := O_p(N)$ . Then the following hold:*

- (a)  $N_G(\Omega_1(Q)) = (O_{p'}(N)K) \times C_P(K)$ .
- (b)  $G = [P, \Omega_1(Q)]N_G(\Omega_1(Q))$ .
- (c)  $[P, \Omega_1(Q)] = [P, K]$  acts transitively on  $\Omega_1(Q)^G = \{Q_0 \leq G \mid |Q_0| = q\}$ .
- (d) If  $X \leq G$ , then there is some  $x \in [P, \Omega_1(Q)]$  such that  $X = (X \cap P)N_X(\Omega_1(Q)^x)$ .
- (e) Suppose that  $X \leq G$ , that  $q$  divides  $|X|$  and that  $x \in P$ . Then  $X = (X \cap P)N_X(\Omega_1(Q)^x)$  if and only if  $\Omega_1(Q)^x \leq X$ .

*Proof.* We set  $P_0 := [P, \Omega_1(Q)]$ . Then Lemma 7.7 implies that  $P_0 = [N, \Omega_1(Q)]$  and so  $O_{p'}(N) \leq C_G(\Omega_1(Q)) \leq N_G(\Omega_1(Q))$ . Furthermore  $K$  acts on  $P$  avoiding  $L_9$  and then we have that  $P_0 = [P, Q] = [P, K]$  by Lemma 5.4. Next  $K \leq N_G(\Omega_1(Q))$  from Lemma 2.6.

Since  $N$  is nilpotent, we conclude that  $N_G(\Omega_1(Q)) = (O_{p'}(N) \times N_P(\Omega_1(Q)))K$ . But we also have that  $[N_P(\Omega_1(Q)), \Omega_1(Q)] \leq P \cap \Omega_1(Q) = 1$ , whence  $N_P(\Omega_1(Q)) \leq C_P(\Omega_1(Q)) = C_P(K)$  by Lemma 5.5. Consequently  $N_P(\Omega_1(Q)) = C_P(\Omega_1(Q))$  and it follows that  $N_G(\Omega_1(Q)) = (O_{p'}(N)K) \times C_P(K)$ , as stated in (a).

For (b) we recall that, by (a), the subgroups  $K$  and  $O_{p'}(N)$  normalize  $\Omega_1(Q)$ . Then  $G = PO_{p'}(N)K \leq PN_G(Q) \leq G$ . Moreover  $P \trianglelefteq G$  and Lemma 1.1 implies that  $P = C_P(\Omega_1(Q))P_0$ , where  $C_P(\Omega_1(Q)) \leq N_G(\Omega_1(Q))$  and therefore  $G = P_0N_G(\Omega_1(Q))$  as stated in (b).

From there we deduce that  $P_0$  acts transitively on  $\Omega_1(Q)^G$  by conjugation. The second statement of (c) follows because  $G$  is soluble (Lemma 7.1), together with the fact that  $\Omega_1(Q)$  is the unique subgroup of its order in the Hall subgroup  $K$  of  $G$  (Lemma 2.6). This means that every subgroup of order  $q$  of  $G$  is conjugate to  $\Omega_1(Q)$ , completing (c).

For (d) and (e) we let  $X \leq G$ . Lemma 7.3 provides some  $g \in G$  such that  $X = (N \cap X)(K^g \cap X)$ . Moreover, (a) implies that  $K^g$  and  $O_{p'}(N) (= O_{p'}(N)^g)$  normalize  $\Omega_1(Q)^g$ , and then we summarise:

$$X = (N \cap X)(K^g \cap X) \leq (P \cap X)(O_{p'}(N) \cap X)(K^g \cap X) \leq (P \cap X)N_X(\Omega_1(Q)^g) \leq X.$$

Using (b) we see that  $G = N_G(\Omega_1(Q))P_0$ , and then we take  $y \in N_G(\Omega_1(Q))$  and  $x \in P_0$  such that  $g = yx$ . Now we deduce that  $X = (P \cap X)N_X(\Omega_1(Q)^{yx}) = (P \cap X)N_X(\Omega_1(Q)^x)$ , as stated in (d).

Finally, suppose that  $q$  divides  $|X|$  and that  $x \in P$ . Suppose first that  $X = (X \cap P)N_X(Q^x)$ . Then  $q$  divides  $|N_X(\Omega_1(Q)^x)|$ , which provides a subgroup  $Q_0$  of order  $q$  in  $N_X(\Omega_1(Q)^x) \leq N_G(\Omega_1(Q)^x)$  and, by (d), there is some  $y \in P_0$  such that  $\Omega_1(Q)^y = Q_0 \leq N_G(\Omega_1(Q)^x) = N_G(\Omega_1(Q))^x$ . Then  $\Omega_1(Q)$  and  $\Omega_1(Q)^{yx^{-1}}$  are subgroups of  $N_G(\Omega_1(Q))$  and therefore

$$\begin{aligned} [yx^{-1}, \Omega_1(Q)] &= [[yx^{-1}, \Omega_1(Q)], \Omega_1(Q)] \leq [[P, \Omega_1(Q)] \cap N_G(\Omega_1(Q)), \Omega_1(Q)] \\ &\leq [C_P(\Omega_1(Q)), \Omega_1(Q)] = 1 \end{aligned}$$

by Lemma 1.1. We conclude that  $\Omega_1(Q)^x = \Omega_1(Q)^y = Q_0 \leq N_X(\Omega_1(Q)^x) \leq X$ .

Now, conversely, suppose that  $\Omega_1(Q)^x \leq X$ . Then (d) provides some  $z \in P_0$  such that  $X = (P \cap X)(N_X(\Omega_1(Q)^z))$ . In the paragraph above we have shown that  $\Omega_1(Q)^z \leq X$ . We apply (c) to  $X = (X \cap N)(X \cap K^g)$ , which is a group in  $\mathfrak{L}$  by Lemma 7.4, and we obtain some  $y \in P \cap X$  such that  $\Omega_1(Q)^{zy} = \Omega_1(Q)^x$ . We conclude that

$$X = X^y = (P \cap X)^y(N_{X^y}(\Omega_1(Q)^{zy})) = (P \cap X)(N_X(\Omega_1(Q)^x)),$$

because  $P \cap X \leq X$ . □

**Lemma 7.9.** *Let  $G \in \mathfrak{L}$  be of type  $(N, K)$  and let  $p \in \pi(N)$  such that  $K$  induces non-trivial power automorphisms on  $P := O_p(N)$ .*

*Then for all  $X, Y \leq G$ , there is some  $i \in \{0, 1\}$  such that  $|\langle X, Y \rangle \cap P| = |(P \cap X)(P \cap Y)| \cdot p^i$ .*

*In addition  $i = 0$  if and only if there is some  $g \in P$  such that for both  $Z \in \{X, Y\}$  we have  $Z \leq (Z \cap P)O_{p'}(N)K^g$ .*

*Proof.* We first remark that Lemma 7.2 gives that every subgroup of  $P$  is normal in  $N$ , and hence in  $G$ , because  $K$  normalizes every subgroup of  $P$  as well. In addition  $P = [P, K]$  is elementary abelian by Corollary 5.6 (c).

Let  $X, Y \leq G$ . Then Lemma 7.3 provides  $x, y \in P$  such that  $X \leq (X \cap P)O_{p'}(N)K^x$  and  $Y \leq (Y \cap P)O_{p'}(N)K^y$ .

This implies that  $\langle X, Y \rangle \leq (X \cap P)(Y \cap P)\langle x^{-1}y \rangle O_{p'}(N)K^x$ , bearing in mind that  $X \cap P$  and  $Y \cap P$  are normal subgroups of  $G$ , and therefore  $\langle X, Y \rangle \cap P = (P \cap X)(P \cap Y)\langle x^{-1}y \rangle$ .

Since  $P$  is elementary abelian, we see that  $o(xy^{-1}) \in \{1, p\}$  and we deduce the first assertion.

If it is possible to choose  $x = y$ , then  $\langle X, Y \rangle \cap P = (P \cap X)(P \cap Y)\langle x^{-1}y \rangle = (P \cap X)(P \cap Y)$  and in particular  $i = 0$  in the statement of the lemma.

For the converse we suppose that  $i = 0$ , i.e.  $|\langle X, Y \rangle \cap P| = |(P \cap X)(P \cap Y)|$ . Then  $x^{-1}y \in \langle X, Y \rangle \cap P = (P \cap X)(P \cap Y)$  and thus we find  $x_0 \in X \cap P$  and  $y_0 \in Y \cap P$  such that  $x^{-1}y = x_0y_0$ . Then  $g := yy_0^{-1} = xx_0 \in P \cap X \cap Y$ . We note that  $x_0$  normalizes  $X$ , centralizes  $P \cap X$  and normalizes  $O_{p'}(N)$ , which implies that  $X = X^{x_0} \leq ((X \cap P)O_{p'}(N)K^x)^{x_0} = (X \cap P)O_{p'}(N)K^{xx_0}$ . Similarly  $Y \leq (Y \cap P)O_{p'}(N)K^{yy_0^{-1}}$ . □

**Lemma 7.10.** *Let  $G \in \mathfrak{L}$  be of type  $(N, K)$ . Suppose that  $X$  and  $Y$  are subgroups of  $G$  such that  $\langle X, Y \rangle = G$  and let  $B$  is a batten of  $K$ . Suppose that  $K$  has a normal  $q$ -complement  $H$ . Then one of the following hold:*

(a)  $q \nmid |G : X|$ ,

- (b)  $q \nmid |G : Y|$ , or
- (c)  $q = 2$ ,  $K$  has a section isomorphic to  $Q_8$  and 4 divides  $(|X|, |Y|)$ .

*Proof.* Let  $Q \in \text{Syl}_q(K)$  and suppose that  $q$  divides neither  $|G : X|$  nor  $q \mid |G : Y|$ . Then Lemma 7.3 gives maximal subgroups  $M_X$  and  $M_Y$  of  $Q$  such that  $X \leq NHM_X$  and  $Y \leq NHM_Y$ .

If  $Q$  is cyclic, then  $M_Y = M_X = \Phi(Q)$  and it follows that  $G = \langle X, Y \rangle \leq NH\Phi(Q) \neq NHQ = G$ . This is impossible. We conclude that  $Q$  is not cyclic and then, since  $K$  is a batten group, it follows that  $Q \cong Q_8$ . We assume for a contradiction that  $4 \nmid |X|$ . Then  $X \leq NH\Phi(Q)$  and hence  $G = \langle X, Y \rangle \leq NHM_Y \neq NHQ = G$ , which is again a contradiction.  $\square$

**Lemma 7.11.** *Let  $G \in \mathfrak{L}$  be of type  $(N, K)$  such that  $K$  acts irreducibly on  $[O_p(N), K]/\Phi([O_p(N), K])$  for some prime  $p \in \pi(N)$ . If  $X, Y \leq G$  are such that  $\langle X, Y \rangle = G$ , then  $X$  or  $Y$  acts irreducibly on  $[O_p(N), K]/\Phi([O_p(N), K])$ .*

*Proof.* Let  $X, Y \leq G$  be such that  $\langle X, Y \rangle = G$  and let  $P := O_p(N)$ . We assume for a contradiction that neither  $X$  nor  $Y$  act irreducibly on  $[P, K]/\Phi([P, K])$ . Lemma 7.2 implies that  $N$  normalizes every subgroup of  $P$  (\*). Moreover, by Lemma 7.3, there are  $x, y \in N$  such that  $X = (X \cap N)(X \cap K^x)$  and  $Y = (X \cap N)(Y \cap K^y)$  and, by assumption, neither  $X \cap K^x$  nor  $Y \cap K^y$  act irreducibly on  $[P, K]/\Phi([P, K])$ . It follows from Lemma 5.4 that  $X \cap K^x$  and  $Y \cap K^y$  both induce power automorphisms on  $P$  and that  $|P| \neq p$ . Thus (\*) yields that  $X$  and  $Y$  normalize every subgroup of  $P$ . Then also  $G = \langle X, Y \rangle$  normalizes every subgroup of  $P$ , which contradicts the irreducible action of  $K$ .  $\square$

### 8. The main result

**Theorem 8.1.** *If  $G \in \mathfrak{L}$ , then  $G$  is  $L_9$ -free.*

*Proof.* Assume for a contradiction that the statement is false and let  $G$  be a minimal counterexample. Then there is a sublattice  $\mathcal{L} = \{E, S, T, D, U, A, B, C, F\}$  of  $L(G)$  isomorphic to  $L_9$ , and in particular  $\mathcal{L}$  satisfies the relations in Definition 3.1.

We let  $G$  be of type  $(N, K)$  where, among the minimal counterexamples, we choose  $G$  such that  $|N|$  is as large as possible and we set

$$\pi(K)^* := \pi(K) \setminus \{|\mathcal{B}(H)| \mid H \text{ is a non-nilpotent batten of } K\}.$$

Then  $K$  has a normal  $q$ -complement for every  $q \in \pi(K)^*$  and Lemma 7.10 is applicable.

We will first analyze how  $\mathcal{L}$  fits into the subgroup lattice of  $G$ .

- (1)  $F = G$ ,  $C_K(N) = 1$  and every subgroup of  $N$  is normal in  $N$ .

*Proof.* The group  $F$  is a subgroup of  $G$  that is not  $L_9$ -free, and Lemma 7.4 yields that  $F \in \mathfrak{L}$ . Hence the minimal choice of  $G$  implies that  $F = G$ .

Similarly, it follows from Lemma 3.4 that  $G$  is not a direct product of two non-trivial groups of coprime order. Let  $p \in \pi(N)$ . Then  $N = O_{p'}(N) \times O_p(N)$  because  $N$  is nilpotent. If  $K$  centralizes  $O_p(N)$ , then  $G = O_{p'}(N)K \times O_p(N)$ , where the direct factors have coprime order by (L1). But we just saw above that such a direct decomposition of  $G$  is not possible. Therefore  $[O_p(N), K] \neq 1$  and  $p$  divides  $|[O_p(N), K]|$ , which divides  $|[N, K]|$ . We conclude from Lemma 7.2 that every subgroup of  $O_p(N)$  is normal in  $N$ . This implies that every subgroup of  $N$  is a normal subgroup of  $N$ , because  $N$  is nilpotent.

Since we have chosen  $N$  as large as possible, Lemma 7.5 implies that  $\pi(K) = \pi(K/C_K(N))$ . Let  $q \in \pi(C_K(N))$ . Then the previous equation forces  $q \in \pi(K/C_K(N))$ , and therefore a Sylow  $q$ -subgroup of  $K$  has order at least  $q^2$ . In particular, for all non-nilpotent battens  $V$  of  $K$ , we have that  $\mathcal{B}(V) \not\leq C_K(N)$ . It follows that  $q \in \pi(K)^*$ . Now Lemma 7.10 provides  $X, Y \in \{T, U, B\} \subseteq \mathcal{L}$  such that  $X \neq Y$  and  $O_q(C_K(N)) \leq X \cap Y = E$ . Since  $O_q(C_K(N))$  is characteristic in  $C_K(N) \trianglelefteq NK = G$ , it follows that  $G/O_q(C_K(N))$  is not  $L_{10}$ -free. Moreover,  $G/O_q(C_K(N)) \in \mathfrak{L}$  by Lemma 7.6. Since  $G$  is a minimal

counterexample, we conclude that  $O_q(C_K(N)) = 1$ . We recall that  $G$  is soluble, by Lemma 7.1, hence  $C_K(N)$  is soluble, and then there must exist a prime  $q \in \pi(C_K(N))$  such that  $O_q(C_K(N)) \neq 1$ . This gives a contradiction, and therefore  $C_K(N) = 1$ .  $\square$

We remark that, by (1), we may apply Lemmas 7.7 and 7.8.

(2) For all  $p \in \pi(N)$  we have that  $O_p(N) \cap D \trianglelefteq G$ . In particular  $N \cap D \trianglelefteq G$  and  $N \cap E = 1$ .

*Proof.* Let  $H \in \{E, D\}$ , let  $p \in \pi(N)$  and set  $P := O_p(N)$ . If  $H = E$ , then we set  $\mathcal{M} := \{U, T, B\}$  and otherwise we set  $\mathcal{M} := \{A, B, C\}$ . Then for all distinct  $X, Y \in \mathcal{M}$ , we have that  $X \cap Y = H$  and  $\langle X, Y \rangle = F$ .

Assume for a first contradiction that  $H \cap P$  is not a normal subgroup of  $G$ . Since every subgroup of  $N$  is normal in  $N$  by (1), it follows that  $K$  does not induce power automorphism on  $P$ . Then Lemma 5.4 implies that  $K$  acts irreducibly on  $\tilde{P} := [P, K]/\Phi([P, K])$ . We apply Lemma 7.11 twice to find some  $X \in \mathcal{M}$  and some  $Y \in \mathcal{M} \setminus \{X\}$  such that  $X$  and  $Y$  act irreducibly on  $\tilde{P}$ . In particular  $[P, K] = [H \cap P, Y] = [H \cap P, X] \leq X \cap Y = H$ . It follows that  $[P, K] \leq H \cap P \leq [P, K]C_P(K)$ , which yields that  $H \cap P$  is normalized by  $K$  and hence  $H \cap P \trianglelefteq NK = G$ . This is a contradiction. We deduce that  $P \cap D \trianglelefteq G$  as stated, in particular  $N \cap D \trianglelefteq G$  and also  $N \cap E \trianglelefteq G$ .

For the final statement in (2) we use that  $G/(N \cap E)$  is not  $L_9$ -free. Then the minimality of  $G$  and Lemma 7.6 give that  $N \cap E = 1$ .  $\square$

(3) For every  $q \in \pi(K)$  such that  $1 \neq Q \in \text{Syl}_q(D)$ , one of the following holds:

$[N, \Omega_1(Q)] \leq D \leq A$  or  $K$  induces power automorphisms on  $[N, \Omega_1(Q)]$  and  $[N, \Omega_1(Q)] \cap A \neq 1$ .  
Moreover  $E = 1$ .

*Proof.* We adopt the same notation as in the previous step, which means that  $H \in \{E, D\}$ ,  $p \in \pi(N)$  and  $P := O_p(N)$ . If  $H = E$ , then  $\mathcal{M} := \{U, T, B\}$ , and otherwise  $\mathcal{M} := \{A, B, C\}$ . Whenever  $X, Y \in \mathcal{M}$  are distinct, then  $X \cap Y = H$  and  $\langle X, Y \rangle = F$ .

Let  $q \in \pi(K) \cap \pi(H)$  and  $Q \in \text{Syl}_q(D)$ . By conjugation we may suppose that  $Q \leq K$ . Then  $\Omega_1(Q) = \Omega_1(Q_0)$  for some Sylow  $q$ -subgroup  $Q_0$  of  $K$  by Lemmas 2.6 and 7.7 provides some  $p \in \pi(N)$  such that  $1 \neq [N, \Omega_1(Q)]$  is a  $p$ -group. Now Lemma 7.8 (e) states that  $X = (X \cap P)N_X(\Omega_1(Q))$  for all subgroups  $X \in \mathcal{M} (*)$ .

Let  $X$  and  $Y$  be distinct elements of  $\mathcal{M}$  and assume for a contradiction that  $X \cap P$  and  $Y \cap P$  are subgroups of  $C_P(K)$ . Then

$$G \stackrel{(1)}{=} F = \langle X, Y \rangle \stackrel{(*)}{\leq} \langle C_P(K), N_X(\Omega_1(Q)), N_Y(\Omega_1(Q)) \rangle \leq C_P(K)N_G(\Omega_1(Q)) = N_G(\Omega_1(Q)),$$

which contradicts (1).

For the remainder of this proof we let  $X$  and  $Y$  in  $\mathcal{M}$  be such that their intersection with  $P$  is not contained in  $C_P(K)$ . We note that  $C_P(K) = C_P(\Omega_1(Q))$  by Lemma 5.5. Then it follows that  $1 \neq [P \cap X, \Omega_1(Q)] = [[P \cap X, \Omega_1(Q)], \Omega_1(Q)]$  and hence  $[P, K] \cap X \not\leq C_P(K)$ . In a similar way we observe that  $[P, K] \cap Y \not\leq C_P(K)$ .

If  $K$  acts irreducibly on  $[P, K]/\Phi([P, K])$ , then Lemma 7.11 yields that  $X$  or  $Y$ , say  $X$ , acts irreducibly on  $[P, K]/\Phi([P, K])$ . It follows that  $[P, K] \leq X$  and  $[P, K] \cap Y \leq X \cap Y = H$ . Let  $Z \in \mathcal{M} \setminus \{X, Y\}$ . Then Lemma 7.11 yields that  $Z$  or  $Y$ , say  $Y$ , acts irreducibly on  $[P, K]/\Phi([P, K])$  and contains  $[P, K] \cap Y$ . Since  $[P, K] \cap Y \not\leq C_P(K)$ , it follows that  $[P, K] \leq V \cap X = H$ . By (1), this is only possible if  $H = D$ , in other words  $\pi(K) \cap \pi(E) = \emptyset$ . Then the fact that  $E \cap N = 1$  (see (1) once more) forces  $E = 1$ .

The previous paragraph also gives that  $[N, \Omega_1(Q)] = [P, \Omega_1(Q)] = [P, K] \leq D \leq A$ , by Lemma 5.4, in the case where  $K$  acts irreducibly on  $[P, K]/\Phi([P, K])$ .

Otherwise Lemma 5.4 gives that  $K$  and hence  $\Omega_1(Q)$  induce power automorphisms on  $P$ . Together with (1) this means that every subgroup of  $P$  is normal in  $G$ . Now, if  $V, W \in \mathcal{M}$  are distinct, then

$$PN_G(P) = G = \langle V, W \rangle \stackrel{(*)}{\leq} (V \cap P)(W \cap P)N_G(\Omega_1(Q))$$



and it follows that  $P = (V \cap P)(W \cap P)$ . We deduce that  $|P| = \frac{|V \cap P| \cdot |W \cap P|}{|H \cap P|}$  for all  $W, V \in \mathcal{M}$ . In particular  $|W \cap P| = |X \cap P| \neq 1$  for all  $W \in \mathcal{M}$ . This implies all our claims about  $D$ , because  $P = [P, K] = [P, \Omega_1(Q)] = [N, \Omega_1(Q)]$  by Lemma 5.4 and  $A \in \mathcal{M}$ .

If, still in the power automorphism case, we have that  $H = E$ , then we recall that  $E \cap P = 1$  by (1). Therefore

$$\begin{aligned} |(U \cap P)| \cdot |(T \cap P)| &= \frac{|(U \cap P)| \cdot |(T \cap P)|}{|E \cap P|} = |(U \cap P)(T \cap P)| = |P| = |(U \cap P)(A \cap P)| \\ &= \frac{|U \cap P| \cdot |A \cap P|}{|P \cap E|} = |U \cap P| \cdot |A \cap P|. \end{aligned}$$

This implies that  $A \cap P = T \cap P \neq 1$ . But in this case we may interchange  $T$  by  $S$  in  $\mathcal{M}$ . Since  $1 \neq T \cap P = A \cap P = S \cap P$ , we arrive at the contradiction that  $1 \neq S \cap T \cap P = E \cap P \leq E \cap N = 1$  (by (1)). Thus, we have that  $\pi(K) \cap \pi(E) = \emptyset$  in this case as well. Again it follows that  $E = 1$ .  $\square$

The remainder of the proof is dedicated to constructing a subgroup of  $G$  that violates Property (E4).

(4) If  $p \in \pi(N)$ , then  $A \cap K$  does not centralize  $O_p(N)$ . In particular  $A \cap K \neq 1$ .

*Proof.* We assume for a contradiction that  $A \cap K$  centralizes  $P := O_p(N)$  for some  $p \in \pi(N)$ .

It follows from Lemma 7.3 that, for every subgroup  $X$  of  $G = PO_{p'}(N)K$ , there is some  $x \in P$  such that  $X \leq (X \cap P)O_{p'}(N)K^x$ . Since  $[P, A \cap K] = 1$ , we further have THAT  $X \leq (X \cap P)O_{p'}(N)K^y$  for all  $X \leq A$  and  $y \in P$  (\*).

Assume that  $A \cap P = 1$ . Then for both  $X \in \{U, B\}$ , we see that  $P \leq G \stackrel{(1)}{=} F = \langle U, A \rangle \stackrel{(*)}{\leq} (P \cap X)O_{p'}(N)K^x$  and therefore  $P = P \cap U = P \cap B \leq U \cap B = E$ . But this contradicts (3).

Thus  $A \cap P \neq 1$  and then (1), together with our assumption at the beginning of the proof, imply that every subgroup of  $A \cap P$  is normal in  $A$ . Suppose that  $X, Y \in \{S, T, D\}$  are distinct. We recall that  $A \cap P \leq A = \langle X, Y \rangle \leq (X \cap P)(Y \cap P)O_{p'}(N)K$ , and then it follows that  $A \cap P = (X \cap P)(Y \cap P)$ . Since  $X \cap Y = E \stackrel{(2)}{=} 1$ , we know more:  $T \cap P \cong S \cap P \cong D \cap P$  and  $|T \cap P|^2 = |A \cap P|$ .

If  $K$  induces power automorphisms on  $P$  and if  $X \in \{A, T, S\}$ , then  $(X \cap P)$  and  $(U \cap P)$  are normal subgroups of  $G$  by (1). In addition there is some  $u \in P$  such that  $U \leq (U \cap P)O_{p'}(N)K^u$  and then  $X \leq (X \cap P)O_{p'}(N)K^u$  by (\*). We apply Lemma 7.9 to see that  $|P \cap A| = |P : P \cap U| = |P \cap S| = \sqrt{|P \cap A|}$ . Now it follows that  $P \cap A = 1$ , which is a contradiction.

We conclude that  $K$  does not induce power automorphisms on  $P$ . Then Lemma 5.4 gives that  $K$  acts irreducibly on  $[P, K]/\Phi([P, K])$ . Since  $P \cap D \leq G$  by (2), we see that either  $[P, K] \leq D$  or that  $1 \neq P \cap D \leq C_P(K)$ .

In the first case  $T \cap P \cong D \cap P \geq [P, K]$  and  $T \cap D = E \stackrel{(2)}{=} 1$ . This implies, together with Lemma 1.1, that  $C_P(K)$  has a subgroup isomorphic to  $[P, K]$ . We apply Lemma 5.4, in combination with Part (b) of Lemma 4.17, and we deduce that  $[P, K]$  is cyclic of order 2 and that, therefore,  $K$  centralizes it. This is impossible.

It follows that the second case holds, i.e.  $1 \neq P \cap D \leq C_P(K)$ . Then  $p = 2$  by Corollary 5.6 (a). If  $[P, K]$  is not elementary abelian, then Part (b) of Lemmas 4.17 and 5.4 give that  $[P, K] \cong Q_8$  and  $P = [P, Q] \times I$ , where  $I$  is a subgroup of  $P$  of order at most 2. We note that  $T \cap P \cong D \cap P$  and  $T \cap D = E \stackrel{(3)}{=} 1$ , and then we conclude that  $T \cap P$  and  $D \cap P$  are cyclic of order 2. Consequently  $A \cap P = (T \cap P) \cdot (D \cap P) = \Omega_1(P) \leq G$ . Now  $1 \stackrel{(3)}{=} E = U \cap A \geq U \cap \Omega_1(P)$  and therefore  $U \leq O_{\pi'}(N)K^u$ . We arrive at a contradiction:  $P \leq G = \langle U, A \rangle \leq (P \cap A)O_{\pi'}(N)K^u$ , because  $[P, K] \cong Q_8$  is not elementary abelian.

So we finally have that  $[P, K]$  is elementary abelian. Then Lemma 4.17(b) gives that  $C_P(K)$  is cyclic and Lemma 1.1 shows that  $T \cap P \cong D \cap P \leq C_P(K)$ .

Together with the fact that  $T \cap D = E \stackrel{(3)}{=} 1$ , we obtain that  $D \cap P$  is cyclic of order 2. It follows that  $P \cap D, T \cap P$  and  $P \cap S$  have order 2 and hence  $P \cap A$  is elementary abelian of order 4. Moreover

$A \cap [P, K]$  is cyclic of order 2, and therefore it equals one of the subgroups  $T \cap P$ ,  $S \cap P$  or  $D \cap P$ . The last case is not possible because  $D \cap P \leq C_P(K)$ . By symmetry between  $S$  and  $T$  in the lattice we may suppose that  $T \cap P \leq [P, K]$ . Recall that  $K$  acts irreducibly on  $[P, K]$  while  $A \cap K$  centralizes  $P$ . In particular (1) implies that  $A$  does not act irreducibly on  $[P, K]$ . Thus Lemma 7.11, together with the fact that  $G \stackrel{(1)}{=} F = \langle A, U \rangle$ , gives that  $U$  acts irreducibly on  $[P, K]$ . We also know that  $T \cap U = E \stackrel{(3)}{=} 1$ , and this implies that  $[P, K] \not\leq U$ . Then Lemma 1.2 yields that  $U \cap P \leq C_P(K)$ . But we recall that  $C_P(K)$  is cyclic, and its unique involution is contained in  $D$ . Then the fact that  $U \cap D = E \stackrel{(3)}{=} 1$  implies that  $U \cap P = 1$ . Finally, we see that  $G \stackrel{(1)}{=} F = \langle U, T \rangle \stackrel{(*)}{\leq} [P, K]O_{p'}(N)K^u$ , which gives a contradiction.  $\square$

By conjugation and by Lemma 7.3 we may suppose that  $A = (A \cap N)(A \cap K)$ .

We set  $\pi := \pi(K)^* \cap \pi(A)$  and we let  $L_1$  be a Hall  $\pi$ -subgroup of  $A \cap K$ .

Then we let

$$\sigma := \{p \in \pi(N) \mid [O_p(N), Q] \neq 1 \text{ for some } Q \leq L_1, \text{ where } |Q| \in \pi\}.$$

(5) If  $p \in \pi(N)$  and  $[O_p(A), L_1] = 1$ , then  $O_p(N) \leq D$ . Moreover  $\pi \neq \emptyset \neq \sigma$ .

*Proof.* First suppose that  $L_1$  centralizes  $P := O_p(N)$  for some  $p \in \pi(N)$ . Then (4) implies that  $A \cap K \neq L_1$  and then there is a non-nilpotent batten  $V$  of  $K$  such that  $Q := \mathcal{B}(V) \leq A$  and  $[P, Q] \neq 1$ . Now  $|Q| = q$  is a prime and  $[N, Q] = [P, Q]$  by Lemma 7.7. In addition we see, from Definition 4.16, that  $Q$  does not induce power automorphisms on  $P$  and that  $P = [P, Q]$ . If  $q$  divides  $|D|$ , then (3) implies that  $P = [N, Q] \leq D$ , as stated.

Now we suppose that  $q$  does not divide  $|D|$ . Let  $R \leq K$  be such that  $V = QR$ . Up to conjugation we may suppose that  $R \cap L_1$  is a Sylow subgroup of  $L_1$ . Then  $R$  does not centralize  $P$  by Definition 5.1 and hence  $R \not\leq L_1$ . It follows that  $R \not\leq A$ , from the definition of  $L_1$ . Since  $Q \cdot \Phi(R) = Q \cdot Z(V)$  is nilpotent by Lemma 2.5, we deduce that  $A$  has a normal  $q$ -complement. Moreover  $A \in \mathcal{E}$ , by Lemma 7.4, whence we may apply Lemma 7.10 to  $A$ . Then we see that  $S$  and  $T$  have orders divisible by  $q$ , because  $q \notin \pi(D)$ . Let  $t, s \in [P, Q]$  be such that  $Q^s \leq S$  and  $Q^t \leq T$ . The irreducible action of  $Q$  on  $P$ , together with the fact that  $P \cap T \cap S \leq E \stackrel{(3)}{=} 1$ , implies that  $P \cap T = 1$  or  $P \cap S = 1$ . Without loss  $P \cap T = 1$ . Then  $T \leq N_G(Q^t)$  by Lemma 7.8 (e). Assume that  $A$  normalizes  $Q^t$ . Then  $Q^s \leq N_G(Q^t)$ , which implies that  $[ts^{-1}, Q]Q^s = \langle Q^s, Q^t \rangle \leq N_G(Q^t)$  by Lemma 4.1.1 (b) of [7]. Then the irreducible action of  $Q^t$  on  $P$  forces  $t = s$ , contradicting the fact that  $T \cap S = E \stackrel{(3)}{=} 1$ .

Thus  $A$  does not normalize  $Q^t$  and  $\langle T, D \rangle = A \not\leq N_G(Q^t)$ , which yields that  $D \not\leq N_G(Q^t) = (O_{p'}(N)K) \times C_P(K) = O_{p'}(N)K$  by Part (a) of Lemma 7.8. It follows that  $D \cap P \neq 1$  and therefore  $P \leq D$ , because  $P \cap D \leq G$  by (2) and  $K$  acts irreducibly on  $P$ .

We turn to the second statement and assume for a contradiction that  $\pi = \pi(A) \cap \pi(K) = \emptyset$ . Then  $A \cap K = 1$ , contrary to (4). Hence if  $\pi = \pi(A) \cap \pi(K)^* = \emptyset$ , then we can draw two conclusions: First  $L_1 = 1$  and the statement we just proved gives that  $N \leq D$ . Second, there must be a prime in  $\pi(K) \setminus \pi(K)^*$  dividing  $|A|$ . By definition of  $\pi(K)^*$ , such a prime is  $|\mathcal{B}(V)|$  for some non-nilpotent batten  $V$  of  $K$ . We choose such a non-nilpotent batten  $V$  and set  $Q := \mathcal{B}(V)$ . Then there are some prime  $r$  and an  $r$ -subgroup  $R \leq K$  such that  $QR = V$ , and  $r \in \pi(K)^*$  because of the structure of non-nilpotent battens (Definition 2.1). In particular  $r \nmid |A|$  by assumption and Lemma 7.10 yields that  $B$  and  $U$  contain a conjugate of  $R$ . We recall that  $N \leq D \leq B$  by the first consequence of our assumption and because of the structure of the lattice  $L_9$ . Then Lemma 7.8(c) gives that  $\Omega_1(R)^G \subseteq B$ . Thus  $B \cap U = E \stackrel{(3)}{=} 1$ , which is a contradiction. This proves that  $\pi \neq \emptyset$ .

If  $\sigma = \emptyset$ , then for all  $p \in \pi(N)$ , all  $q \in \pi$  and all  $q$ -subgroups  $Q$  of  $L_1$ , we have that  $[O_p(N), Q] = 1$ . Then  $[N, L_1] = 1$  by definition of  $L_1$ , and  $L_1 \neq 1$  because  $\pi \neq \emptyset$ . But then  $1 \neq L_1 \leq C_K(N)$ , contrary to (1).  $\square$

Next we set  $H := O_\sigma(N)$  and we prove that  $H$  is a candidate for the desired properties in (E4).

(6) The non-trivial Sylow subgroups of  $H$  are elementary abelian (in particular  $N$  is abelian), but not cyclic, and  $K \leq \text{Pot}_K(H)$ .

*Proof.* By definition  $H \leq N$  is nilpotent. If, for all  $p \in \sigma$ , the group  $K$  does not act irreducibly on  $[O_p(N), K]/\Phi([O_p(N), K])$ , then Lemma 5.4 gives that  $K \leq \text{Pot}_K(H)$ . In particular  $O_p(N)$  is not cyclic. Moreover, Corollary 5.6 yields that  $O_p(N) = [O_p(N), K]$  is elementary abelian. Therefore, our claim is satisfied in this case.

Let us assume for a contradiction that there is some  $p \in \sigma$  such that  $K$  acts irreducibly on the group  $[O_p(N), K]/\Phi([O_p(N), K])$ . We set  $P := O_p(N)$  and we choose  $Q \leq L_1$  of order  $q \in \pi$  such that  $[P, Q] \neq 1$ , by the definition of  $\sigma$ .

**Case 1:**  $[P, Q] \leq A$ .

Then Lemma 7.8(c) implies that  $Q^g \leq A$  for every  $g \in G$ . We recall that  $U \cap A = E \stackrel{(3)}{=} 1$ , and then it follows first that  $q \notin \pi(U)$  and then that  $q \mid |B|$ , by Lemma 7.10. Here we use that  $G = F = \langle B, U \rangle$  by (1). Thus we find some  $g \in G$  such that  $Q^g \leq D$ , because  $D = A \cap B$ . We apply (3) to observe that  $[P, Q] = [N, Q] \leq D$  and then  $D$  contains every conjugate of  $Q$  by Lemma 7.8(c). Recall that  $q \notin \pi(U)$ , which implies that  $q \in \pi(T)$  by Lemma 7.10. Again we use that  $G = F = \langle T, U \rangle$ . But this contradicts the fact that  $T \cap D = E = 1$  by (3).

**Case 2:**  $[P, Q] \not\leq A$ .

Then  $[P, Q] \not\leq D$ , in particular  $P \not\leq D$ , and  $P \cap D \trianglelefteq G$  by (2). This means that  $K$  stabilizes the subgroup  $[P \cap D, K]/\Phi([P, K])$ , while acting irreducibly. This forces  $[P \cap D, K] \leq \Phi([P, K])$ , and together with coprime action (Lemma 1.1) we see that  $K$  centralizes  $P \cap D$ .

By Lemma 7.3 we know that  $A \in \mathcal{L}$ , with type  $(A \cap N, A \cap K)$ . Additionally, since  $q \in \pi(K)^*$ , the group  $K$  has a normal  $q$ -complement. Then  $A \cap K$  also has a normal  $q$ -complement. We may apply Lemma 7.10 to  $A = \langle T, S \rangle = \langle T, D \rangle = \langle S, D \rangle$ . It yields that at least two of the groups  $D, T, S$  have a subgroup of order  $|Q|$ . As  $|Q| \nmid |E|$  by (2), there is some  $g \in G$  such that  $Q^g \neq Q$  and  $Q^g \leq A$ . Then Part (e) of Lemma 7.8 implies that  $P \cap A \not\leq C_P(Q) = C_P(K)$  by Lemma 5.5. In the present case we have that  $[P, A] \not\leq A$ , and then Lemma 1.2 gives that  $A$  does not act irreducibly on  $[P, K]/\Phi([P, K])$ . Thus  $A \cap K$  induces power automorphism on  $P$  by Lemma 5.4, and these automorphisms are not trivial because  $Q \leq A \cap K$ . Corollary 5.6 (c) implies that  $P = [P, A \cap K] \stackrel{5.4}{=} [P, K]$  is elementary abelian and in particular that  $D \cap P \leq C_P(K) \stackrel{5.5}{=} C_P(A \cap K) = 1$ . Hence there is some  $d \in [P, K]$  such that  $D = N_D(Q^d)$ , by Lemma 7.8(b). In addition we see from Lemma 7.11 that  $B$  and  $C$  act irreducibly on  $P$ . If it was true that  $P \leq B$ , then it would follow that  $1 \neq P \cap A \leq P \cap B \cap A \leq P \cap D \leq C_P(K) = 1$ , which is a contradiction. We conclude that  $P \not\leq B$  and hence  $P \cap B = 1$  because of the irreducible action of  $B$  on  $P$ , and similarly  $P \cap C = 1$ .

Then Lemma 7.8(b) provides  $b, c \in P$  such that  $B = N_B(Q^b)$  and  $C = N_C(Q^c)$ . If  $Q^b = Q^c$ , then  $G = F = \langle B, C \rangle \leq N_G(Q^b)$ , which is false.

Consequently  $Q^b \neq Q^c$ , and we can use Lemma 7.8(a), Dedekind’s modular law and Lemma 1.4(c). Together this shows that

$$\begin{aligned} D &\leq N_B(Q^b) \cap N_C(Q^c) = O_{p'}(N)K^b \cap O_{p'}(N)K^c = O_{p'}(N)(K^b \cap O_{p'}(N)K^c) \\ &\leq O_{p'}(N)C_K(bc^{-1}) \leq C_G(bc^{-1}), \end{aligned}$$

because  $N$  is nilpotent and because  $\langle (bc^{-1})^K \rangle \cap O_{p'}(N) \leq P \cap O_{p'}(N) = 1$ .

We recall that  $P = [P, K]$  is abelian, hence it is contained in  $Z(N)$ , and then it follows that  $D$  centralizes  $1 \neq bc^{-1} \in [P, K]$ . Here we also use Lemma 5.4, i.e. that  $D$  centralizes  $P$ . We conclude that  $D = N_D(Q^h)$  for all  $h \in P$ . Again Lemma 7.8(b) provides  $t, s \in P$  such that  $T = (T \cap P)N_T(Q^t)$  and  $S = (T \cap S)N_C(Q^s)$ . Let  $X \in \{T, S\}$ . Then  $P \cap A \leq A = \langle D, X \rangle \leq (X \cap P)N_G(Q^x)$ , which implies that  $P \cap X = P \cap A$ . But now  $P \cap A \leq P \cap S \cap T = P \cap E = 1$ , by (3), which gives a final contradiction.  $\square$

(7) For all  $p \in \sigma$  we have that  $O_p(N) \cap A \neq 1$ .

*Proof.* We set  $P := O_p(N)$  for some  $p \in \sigma$ . Then there is some  $Q \leq L_1$  of order  $q \in \pi$  such that  $[P, Q] \neq 1$ , by the definition of  $\sigma$ . Then  $q \in \pi(K)^*$  and we see that  $K$  as well as  $K \cap A$  have a normal  $q$ -complement. Since  $A \in \mathfrak{L}$  by Lemma 7.4, we may apply Lemma 7.10. We notice that  $A = \langle D, T \rangle = \langle D, S \rangle$ , and then it follows that  $q \in \pi(D)$  or that  $q \in \pi(T) \cap \pi(S)$ . In the first case (3) implies our assertion. In the second case we use Lemma 7.8(c). It gives that  $Q^s \leq S$  and  $Q^t \leq T$  for some  $t, s \in [P, K]$ . We deduce that  $[t^{-1}s, Q] \leq \langle Q^s, Q^t \rangle \leq A$  by Lemma 4.1.1 of [7]. In conclusion, our assertion is true or  $t^{-1}s$  centralizes  $Q$ . But in the second case, we see that  $Q^t = Q^s \leq T \cap S = E \stackrel{(3)}{=} 1$ , and this gives a contradiction.  $\square$

(8) For all  $q \in \pi$  we have that  $q$  divides  $|S|$ ,  $|T|$ , and  $|B|$ .

Furthermore, if  $Q \leq L_1$  and  $|Q| = q$ , then  $[N, Q] \cap T = [N, Q] \cap S = 1$ , but  $[N, Q] \cap U \neq 1$ .

*Proof.* Suppose that  $Q \leq L_1$  has order  $q$ . Then  $[N, Q]$  is a  $p$ -group by Lemma 7.7, for some prime  $p \in \sigma$ . We set  $P = O_p(N)$ . Then for every  $X \in \{T, S\}$  there is some  $x \in P$  such that  $X = (X \cap P)N_X(Q^x)$ , by Lemma 7.8(b). Using (6) we see that  $K$  induces power automorphisms on  $P$ . Thus  $P = [P, K]$  is elementary abelian by Corollary 5.6(c), and then Lemma 7.9 is applicable.

Assume for a contradiction that  $P \not\geq (P \cap A)(P \cap U)$ . Then, for all  $X \in \{T, S, A\}$ , we have that

$$P \cap \langle X, U \rangle = P \cap F \stackrel{(1)}{=} P > (P \cap A)(P \cap U) \geq (P \cap X)(P \cap U).$$

Hence Lemma 7.9 yields that  $|P| = |(P \cap X)(P \cap U)|p \stackrel{(2)}{=} |(P \cap X)||P \cap U|p$  and, for all  $X \in \{T, S, A\}$ , we see that  $p \cdot |P \cap X| = |P : P \cap U|$ . In particular, we have that  $|P \cap A| = |P \cap S| = |P \cap T|$ . Therefore, the fact that  $T, S \leq A$  implies that  $P \cap A = P \cap T = P \cap S \leq P \cap (T \cap S) = P \cap E \stackrel{(3)}{=} 1$ . This contradicts (7).

We conclude that  $P = (P \cap A)(P \cap U)$  and hence Lemma 7.9 provides some element  $g \in P$  such that, for both  $X \in \{A, U\}$ , it is true that  $X \leq (X \cap P)O_p(N)K^g = (X \cap P)N_G(Q)^g$ .

Assume for a contradiction that  $q \in \pi(U)$ . Then Part (e) of Lemma 7.8 yields that  $Q^g \in A \cap U = E \stackrel{(3)}{=} 1$ . This is impossible. Thus  $q \notin \pi(U)$  and we deduce that  $q \in \pi(X)$  for all  $X \in \{T, S, B\}$ . Here we use Lemma 7.10 and the fact that  $G \stackrel{(1)}{=} F = \langle U, X \rangle$ . Next we apply Lemma 7.9, which gives that  $(P \cap A) \neq (P \cap S)(P \cap T)$ . Then the same lemma yields, for both combinations of  $\{X, Y\} \in \{T, S\}$ , that  $p$  divides

$$|P \cap Y||P \cap X||P \cap U| = |P \cap A||P \cap U| = |P| \leq |P \cap X||P \cap U| \cdot p.$$

It follows that  $p \cdot |P \cap Y| \leq p$  and then that  $S \cap P = T \cap P = 1$ . Finally (6) and Lemma 7.9 yield that  $p^2 \leq |P| \leq |P \cap T||P \cap U| \cdot p = |P \cap U| \cdot p$ . We conclude that  $P \cap U \neq 1$ .  $\square$

(9) For every  $p \in \sigma$  there is some  $r \in \pi(K)^*$  such that a Sylow  $r$ -subgroup of  $U$  does not centralize  $O_p(N)$ .

*Proof.* We set  $P := O_p(N)$ . Since  $p \in \sigma$ , there is some  $Q \leq L_1$  such that  $|Q| = q \in \pi$ . In addition (6) implies that  $P$  is elementary abelian, and then  $P \leq Z(N)$  because  $N$  is nilpotent. By Lemma 7.8(b) and (8) there is some  $s \in P$  such that  $S = N_S(Q^s)$ .

Assume for a contradiction that  $U$  centralizes  $P$ . Then  $U = U^s = (U \cap P)N_U(Q^s)$  by Lemma 7.8(d) and hence  $G \stackrel{(1)}{=} F = \langle U, S \rangle \leq (U \cap P)N_G(Q^s)$  implies that  $U \cap P = P$ . With (7) we obtain the contradiction that  $1 \neq P \cap A \leq U \cap A = E \stackrel{(3)}{=} 1$ .

It follows that  $U$  does not centralize  $P$ . We recall that  $P \leq Z(N)$  and then we obtain a prime  $r \in \pi(K)$  such that a Sylow  $r$ -subgroup of  $U$  does not centralize  $P$ . Since  $K$  induces power automorphisms on  $P$

by (6), Definition 5.1 gives, for every non-nilpotent batten  $V$  of  $K$ , that  $\mathcal{B}(V)$  centralizes  $P$ . This implies that  $r \in \pi(K)^*$ . □

We are now able to define  $L$  and  $J$ . Let  $u \in N$  be such that  $U = (U \cap N)(U \cap K^u)$ .

We set  $\tilde{\pi} := \{r \in \pi(K)^* \mid [H, R] \neq 1 \text{ for some } R \in \text{Syl}_r(U)\}$  and we let  $L_2$  be a Hall  $\tilde{\pi}$ -subgroup of  $(U^{u^{-1}} \cap K)$ . Then  $L_2 \leq K$  and  $L = \langle L_1, L_2 \rangle$  is a subgroup of  $K$ . In addition (5) and (9) show that  $\tilde{\pi} \neq \emptyset$ .

Next we set  $\rho := \{p \in \pi(N) \mid [O_p(N), R] \neq 1 \text{ for some } R \leq L_2 \text{ with } |R| \in \tilde{\pi}\}$  and  $J := [O_\rho(N), K]$ . Then  $\rho \neq \emptyset$  by Lemma 7.7, because  $\tilde{\pi} \neq \emptyset$ , and hence  $J \neq 1$ . Finally, we note that  $J$  is  $L$ -invariant by construction.

(10)  $\sigma \cap \rho = \emptyset$ , i.e.  $|H|$  and  $|J|$  are coprime.

*Proof.* We assume for a contradiction that the prime  $p$  divides  $|H|$  and  $|J|$ . Then by definition there are  $q \in \pi$  and  $r \in \tilde{\pi}$  and subgroups  $Q \leq L_1$  and  $R \leq L_2$  such that the following hold:

$$|Q| = q, |R| = r, 1 \neq [N, Q] \leq O_p(N) =: P \text{ and } 1 \neq [N, R] \leq P.$$

In particular (6) shows that  $K$  induces power automorphisms on  $P$ . It follows from Corollary 5.6 (c) and Lemma 5.4 that  $P = [P, K] = [P, Q] = [N, Q] = [N, R]$ , and then (8) shows that  $P \cap S = 1$ . Moreover  $P \cap A \neq 1$  by (7). We apply Lemma 7.9 to obtain some  $i, j \in \{1, 0\}$  such that

$$p^i |P \cap U| = |(P \cap U)(P \cap S)|p^i = |P| = |(P \cap U)(P \cap A)|p^j \stackrel{(2)}{=} |(P \cap U)||P \cap A|p^j.$$

This implies that  $|P \cap A|p^j = p^i$ , and we obtain that  $i = 1$  and  $j = 0$ .

In particular we see that  $P = (U \cap P)(A \cap P)$ . Then Lemma 7.9 and the fact that  $A \cap U = E \stackrel{(3)}{=} 1$  give some element  $g \in P$  such that  $X \leq (P \cap X)K^g = (P \cap X)N_G(R)^g$ , where  $X \in \{A, U\}$ . Assume for a contradiction that  $r \in \pi(A)$ . Then 7.8(d) forces  $R^g \leq U \cap A = E \stackrel{(3)}{=} 1$ . This is impossible, hence  $r \notin \pi(A)$  and we apply Lemma 7.10 to  $G \stackrel{(1)}{=} F = \langle A, B \rangle$ . Then it follows that  $r \in \pi(B)$ .

Moreover  $q \in \pi(B) \cap \pi(T)$  by (8). Since  $B \cap T = E \stackrel{(3)}{=} 1$ , this is not possible, hence Lemma 7.9 and (8) give that  $|P| = |P \cap B| \cdot |P \cap T| \cdot p = |P \cap B| \cdot p$ . On the other hand we have that  $r \in \pi(B) \cap \pi(U)$ , which is also impossible because  $B \cap U = E \stackrel{(3)}{=} 1$ . Now Lemma 7.9 implies that  $|P \cap U| \cdot |P \cap B| \cdot p = |P| = |P \cap B| \cdot p$ . In particular we see that  $P \cap U = 1$ , and this contradicts (8). □

We summarize:

The definitions of  $\sigma$  and  $H$  imply that  $H \leq N$ , and (6) shows that the non-trivial Sylow subgroups of  $H$  are not cyclic. In addition  $L \leq K$  induces power automorphisms on  $H$ .

From (10) we deduce that  $\pi \cap \tilde{\pi} = \emptyset$ . A Hall  $\pi(K)^*$ -subgroup of  $K$  is nilpotent by Lemma 2.7, which means that  $L$  is nilpotent. In particular we see that  $L = L_1 \times L_2$ . Let  $r \in \pi(L) = \pi \cup \tilde{\pi}$  and  $R = O_r(L)$ . If  $r \in \pi$ , then  $[H, R] \geq [[N, \Omega_1(R)], R] \neq 1$ , and if  $r \in \tilde{\pi}$ , then  $[H, R] \neq 1$  by (9). These arguments show that  $\pi(L) = \pi(L/C_L(H))$  (\*).

We assume for a contradiction that  $L$  is not cyclic. Then, since  $L$  is nilpotent and a batten group by Lemma 2.7, we deduce that  $O_2(L) \cong Q_8$ . Definition 4.8 implies that, for all  $p \in \pi(N)$ , the group  $O_2(L)$  does not induce non-trivial power automorphisms on  $O_p(N)$ . In particular  $O_2(L)$  centralizes  $H$ , which contradicts (\*). Thus  $L$  is cyclic.

Furthermore, we already saw that  $J$  is  $L$ -invariant and that  $1 \neq J$ . Then (10) gives that  $(|H|, |J|) = 1$ , and since  $N$  is nilpotent, this forces  $[H, J] = 1$ .

Assume for a contradiction that  $J$  is not abelian. Then Lemma 4.17(b) and Lemma 5.4 show that  $O_2(J) = [O_2(N), K] \cong Q_8$  and therefore  $2 \in \rho$ . We let  $r \in \tilde{\pi}$  be such that a Sylow  $r$ -subgroup  $R$  of  $K$  acts faithfully on  $O_2(J)$ . Then we must have that  $|R| = 3$  because  $O_2(J) \cong Q_8$ . Moreover Lemma 7.8(a) yields that  $R$  centralizes  $O_2(N) \geq H$ . This contradicts (\*).

Hence  $J$  is abelian. For every  $q \in \pi$  and every subgroup  $Q \leq L_1$  of order  $q$ , the definition of  $\sigma$  and Lemma 7.7 provide some  $p \in \sigma$  such that  $[N, Q] \leq O_p(N) = P$ . Then we see, using (6) and

**Corollary 5.6**, that  $C_P(Q) = 1$ . Furthermore (10) yields that  $Q$  centralizes  $J = O_\rho(N)$ , and then it follows that  $1 = C_P(Q) \geq C_P(C_L(J))$ . Since  $H = O_\sigma(N)$  is abelian, we conclude that  $C_H(C_L(J)) = 1$ .

The previous argument also yields that  $\{q \in \pi(L) \mid C_{O_q(L)}(H) < C_{O_q(L)}(J)\} \supseteq \pi$ . Let  $r \in \tilde{\pi}$  and suppose that  $R \leq L$  has order  $r$ . We recall the definition of  $\rho$  and apply [Lemma 7.7](#): Then we see that  $1 \neq [N, R] \leq O_\rho(N) = J$ . Thus  $r \notin \{q \in \pi(L) \mid C_{O_q(L)}(H) < C_{O_q(L)}(J)\}$  and it follows that  $\{q \in \pi(L) \mid C_{O_q(L)}(H) < C_{O_q(L)}(J)\} = \pi$ .

Since  $G \in \mathfrak{L}$ , we can use Property ( $\mathfrak{L}4$ ), which gives some  $g \in (HJ)^\#$  that centralizes  $O_\pi(L) =: L_1$  or  $O_{\pi'}(L) =: L_2$ . Let  $i \in \{1, 2\}$  be such that  $[L_i, g] = 1$ . We may suppose that  $g$  has prime order  $p$ . Then  $p \in \sigma \cup \rho$  and hence there is a subgroup  $Q \leq L$  of prime order such that  $[N, Q] \leq O_p(N) =: P$ . [Lemma 5.5](#) gives that  $g \in C_P(L_i) = C_P(K)$  or that  $L_i$  centralizes  $P$ .

In the first case [Corollary 5.6](#) yields that  $p = 2$ , and then  $K$  does not induce power automorphisms on  $P$ . Using (6) we deduce that  $p \in \rho$  and  $g \in O_p(J) \cap C_P(K) = [P, K] \cap C_P(K) \cap J$ . Since  $J$  is abelian, we obtain a contradiction in this case.

It follows that the second case above holds, i.e.  $[P, L_i] = 1$ . This means that  $i = 1$  if  $p \in \rho$  and  $i = 2$  if  $p \in \sigma$ . In addition we see, from (9), that  $i \neq 2$ . We conclude that  $L_i = L_1$ ,  $p \in \rho$  and  $q \notin \pi$ . In particular  $q \notin \pi(A)$ . Since  $G \stackrel{(1)}{=} F = \langle A, U \rangle = \langle A, B \rangle$ , [Lemma 7.10](#) implies that  $B$  and  $U$  contain a conjugate of  $Q$ . In addition (5) shows that  $P \leq D \leq B$  and therefore [Lemma 7.8\(c\)](#) gives that  $Q^G \subseteq B$ . Finally, we obtain a contradiction, because  $B \cap U = E = 1$  by (3). This concludes the proof.  $\square$

**Main Theorem.** *A finite group is in  $\mathfrak{L}$  if and only if it is  $L_9$ -free.*

*Proof.* Let  $G$  be a finite group. If  $G$  is  $L_9$ -free, then [Theorem 6.5](#) shows that  $G \in \mathfrak{L}$ .

Conversely, if  $G \in \mathfrak{L}$ , then  $G$  is  $L_9$ -free by [Theorem 8.1](#).  $\square$

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